UNIVERSITÀ DELLA CALABRIA DIMES April 9 – 11, 2019

Introduction to Microwave Imaging Part III: Performance Metrics, Filtering, Tissue Imaging

Natalia K. Nikolova nikolova@ieee.org



McMaster University Department of Electrical and Computer Engineering Electromagnetic Vision (EMVi) Research Laboratory

Hamilton, ON CANADA



COURSE OVERVIEW

Day 1: Introduction & Forward Models of Microwave Imaging

- Field-based Integral Solutions of the Scattering Problem in Time and Frequency
- Born and Rytov Approximations of the Forward Model of Scattering
- Scattering Parameters and Integral Solutions in terms of S-parameters
- 2D Model of Tomography in Microwave Scattering

Day 2: Linear Inversion Methods

- Deconvolution Methods Microwave Holography (MH) Scattered Power Mapping (SPM)
- Image Reconstruction of Pulsed-radar Data Synthetic Focusing: Delay and Sum (DAS)

Day 3: Performance Metrics & Hardware

- Spatial Resolution
- Dynamic Range
- Data Signal-to-noise Ratio

Select Topics

- Overview of Nonlinear Inversion Methods
 Direct Iterative Methods
 Model-based Optimization Methods
- Tissue Imaging Challenges and Advancements

PERFORMANCE METRICS: SPATIAL RESOLUTION

[Nikolova, Introduction to Microwave Imaging, 2017]

- **definition of spatial resolution limit**: the smallest shape detail of an imaged object correctly represented in its image
- spatial resolution in a general direction \hat{s} (derivation based on far-zone scattering)

 $\delta r'(\hat{\mathbf{s}}) = \underbrace{\frac{v_{b}}{B}}_{signal\ frequency\ bandwidth}} \underbrace{\frac{v_{b}'(2B)\ with\ scanning}{1}}_{signal\ frequency\ bandwidth}$

- resolution depends strongly on the position of the Tx&Rx antennas relative to the imaged voxel
- best case: $\theta_1 = \theta_2 = 0$ or $\theta_1 = \theta_2 = \pi$



SPATIAL RESOLUTION LIMITS: SCENARIOS

$$\delta r'(\hat{\mathbf{s}}) = \frac{v_{\mathrm{b}}}{B} \cdot \frac{1}{|\cos\theta_1 + \cos\theta_2|}$$

• <u>best case</u>: $\theta_1 = \theta_2 = 0$ or $\theta_1 = \theta_2 = \pi$

example - monostatic radar (reflection or back-scatter measurements) has best resolution along range axis (*aka range* or *depth* resolution)

• <u>worst case #1</u>: $\theta_1, \theta_2 = \pm 90^\circ$ $\delta r' \rightarrow \infty$ (complete loss of resolution) \Box **example** - monostatic radar has no *crossrange* (*lateral*) resolution without scanning



SPATIAL RESOLUTION LIMITS: SCENARIOS – 2

• worst case #2: $\theta_2 = \pi - \theta_1$

example – bi-static radar with Tx & Rx antennas aligned along boresight has no resolution along both range and cross-range axes if target is on the boresight line



- implications
 - imaging is impossible with a single static Tx/Rx antenna bore-sight aligned pair
 - > mechanical or electronic scanning of Tx or Rx or both antennas is required to achieve a variety of viewing angles θ_1 and θ_2
 - best viewing angles provided by scanning on closed surfaces (spherical, cylindrical) around target – rarely possible in practice
 - wide-beam antennas are preferred

FUNDAMENTAL SPATIAL RESOLUTION LIMITS

• fundamental resolution limit is the best possible range or cross-range limit

$$\delta_r = \frac{v_b}{2B}$$
 or $\delta_r = \frac{v_b}{2f_{\text{max}}} = \frac{\lambda_b^{\text{min}}}{2}$

• for isotropic antennas and full-range of viewing angles from $-\pi$ to $+\pi$, effective bandwidth is doubled [Nikolova, *Introduction to Microwave Imaging*, 2017]

$$\delta r'(\hat{\mathbf{s}}) = \frac{v_{b}}{2B} \cdot \frac{1}{|\cos\theta_{1} + \cos\theta_{2}|} \quad \Longrightarrow \quad \delta_{r} = \frac{v_{b}}{4B} \text{ or } \delta_{r} = \frac{\lambda_{b}^{\min}}{4}$$

• *only near-zone imaging can overcome the fundamental resolution limit* IF the fastvarying spatial distribution of the near-field scattering can be measured (its strength must exceeds system noise) PERFORMANCE METRICS: DATA CONSTRAST-TO-NOISE RATIO (CNR) [results presented at EuCAP'19, courtesy of Daniel Tajik, Ph.D. student]

DATA QUALITY

- the quality of the measured responses is critical for the image fidelity
- major factors degrading data quality
 - ➤ radar clutter: reflections from components of the imaging setup
 - > positioning errors
 - electronic noise
- metrics for data quality: SNR, CNR
- consequences of poor data quality
 - reduced system sensitivity
 - \succ ... which leads to loss of resolution
- **objectives of data-quality control**: (i) identify and remove low-quality data, (ii) provide metric for an overall system performance

DATA QUALITY ESTIMATION USING MEASURED PSFs

• typical PSF data in a tissue-imaging experiment with transmission S-parameters



• incomplete background clutter removal due to positioning uncertainties

DATA QUALITY ESTIMATION USING CNR METRIC

region separation

- signal region A_s
- exclusion zone $A_{\rm e}$
- background $A_{\rm b}$

CNR threshold

• depends on reconstruction algorithm – 3-dB is typical

data contrast-to-noise ratio calculation

$$CNR(\omega) = \frac{average(S_{ik}^{PSF}(A_{s},\omega)) - average(S_{ik}^{PSF}(A_{b},\omega))}{std(S_{ik}^{PSF}(A_{b},\omega))}$$



Image Credit: Daniel Tajik

average(D) =
$$|\overline{d}| = \left|\frac{1}{N}\sum_{i=1}^{N}d_i\right|$$

std(D) = $\left(\frac{1}{N-1}\sum_{i=1}^{N}|d_i-\overline{d}|^2\right)^{1/2}$

CNR EXAMPLE: PLANAR SCANNING FOR BREAST-PHANTOM EXPERIMENTS

- Tx: TEM horn, Rx: 9 element bowtie array
- platform raster scans along *x*, *y*
- tray holds embedding medium, OUT, CO and RO
- frequency range from 3 GHz to 8 GHz (100 MHz steps)



Image Credit: Daniel Tajik



[Amineh et al., IEEE Trans. Antennas Propag., 2011]



[Photo credit: Justin J. McCombe] [Amineh et al., IEEE Trans. Instr. Meas., 2015]

CNR EXAMPLE: SETUP IN ACQUIRING THE PSF

- desired resolution $\approx 1 \text{ cm}^3$
- approximate tumour relative permittivity $\approx 50 i25$
- Reference Object (RO): averaged Type-2 breast tissue ($\epsilon_{r,b} \approx 10 i5$)

Type 2: 25%-50% fibroglandular tissue, remaing adipose (fatty) tissue

- Calibration Object (CO): same embedding medium, with central scattering probe
- scattering probe (cylinder): diameter = 1 cm, height = 1 cm
- scattering probe permittivity \approx cancerous tissue, $\epsilon_{r,sp} = 50 i0.5$

CNR EXAMPLE: RESULTS

- over 50% frequencies above 3 dB CNR
 - system deemed of acceptable quality to proceed with OUT imaging
 - system expected to have sufficient resolution (1 cm³)
- several poor frequencies, possibly due to antenna impedance mismatch – to be removed from data set



CNR EXAMPLE: TISSUE PHANTOMS

- phantom: five 1.1 cm thick carbon rubber sheets stacked
- filled with fibroglandular&tumour simulants
- simulants surrounded with matched medium

Tissue	Color	Permittivity (5 GHz)
Tumour Simulant	Blue Circles	50 — 25i
Fibroglandular Simulant	White	13 — 6i
Averaged Type-2 Breast Tissue	Brown	7 — 3i
Absorbing Foam	Black	10-3i





CNR EXAMPLE: IMPACT OF DATA "CLEAN UP" (BORN HOLOGRAPHY)

• CNR filtering sharpens image & reduces weaker ringing artifacts





layer 2



layer 4



16

FILTERING STRATEGIES FOR INVERSION IN FOURIER SPACE: APODIZATION AND FILTERING IN FOURIER SPACE

[results courtesy of Daniel Tajik, Ph.D. student]

APODIZATION: DATA FILTERING IN REAL (X-Y) SPACE

- apodization (edge) filtering is used to reduce "ringing" artifacts generated by FFT of data
- widely used in photography, ultrasound and MR imaging
- apodization is a mandatory step when inversion is done in Fourier (or *k*) space, especially when signal strength is significant at the edge of the acquisition aperture
- let us re-visit a simulation experiment with microwave holography



[Tajik et al., JPIER-B 2017]

[Tajik et al., JPIER-B 2017]

- edge "discontinuities" lead to Gibb's artifacts ("ringing") in Fourier space
- this corrupts the reconstruction leading to noise-like images in real space



[Tajik et al., JPIER-B 2017]

• apodization filtering aims at tapering down to zero the signal strength at the aperture edges



2-D cosine apodization filter



POST-INVERSION FILTERING IN FOURIER SPACE

• inversion in *k*-space involves solving systems of equations one $\mathbf{\kappa} = (k_x, k_y)$ point at a time microwave holography

$$\begin{bmatrix} \tilde{\mathbf{S}}_{0}^{\text{PSF}}(\mathbf{\kappa}_{ij};z_{1}') \end{bmatrix}^{(1)} \cdots \begin{bmatrix} \tilde{\mathbf{S}}_{0}^{\text{PSF}}(\mathbf{\kappa}_{ij};z_{N_{z}}') \end{bmatrix}^{(1)} \\ \vdots & \ddots & \vdots \\ \begin{bmatrix} \tilde{\mathbf{S}}_{0}^{\text{PSF}}(\mathbf{\kappa}_{ij};z_{1}') \end{bmatrix}^{(N_{\omega})} \cdots \begin{bmatrix} \tilde{\mathbf{S}}_{0}^{\text{PSF}}(\mathbf{\kappa}_{ij};z_{N_{z}}') \end{bmatrix}^{(N_{\omega})} \end{bmatrix}_{N_{\omega}N_{T}\times N_{z}} \cdot \begin{bmatrix} \tilde{f}(\mathbf{\kappa}_{ij};z_{1}') \\ \vdots \\ \tilde{f}(\mathbf{\kappa}_{ij};z_{N_{z}}') \end{bmatrix}_{N_{z}\times 1} = \begin{bmatrix} \tilde{\mathbf{S}}^{(1)}(\mathbf{\kappa}_{ij}) \\ \vdots \\ \tilde{\mathbf{S}}^{(N_{\omega})}(\mathbf{\kappa}_{ij}) \end{bmatrix}_{N_{\omega}N_{T}\times 1}$$

scattered-power mapping

$$\begin{bmatrix} \tilde{M}_{sp@(0,0,z_1)}(\mathbf{\kappa}_{ij},z_1) & \cdots & \tilde{M}_{sp@(0,0,z_{N_z})}(\mathbf{\kappa}_{ij},z_1) \\ \vdots & \ddots & \vdots \\ \tilde{M}_{sp@(0,0,z_1)}(\mathbf{\kappa}_{ij},z_{N_z}) & \cdots & \tilde{M}_{sp@(0,0,z_{N_z})}(\mathbf{\kappa}_{ij},z_{N_z}) \end{bmatrix}_{N_z \times N_z} \cdot \begin{bmatrix} \tilde{f}(\mathbf{\kappa}_{ij},z_1) \\ \vdots \\ \tilde{f}(\mathbf{\kappa}_{ij},z_{N_z}) \end{bmatrix}_{N_z \times 1} = \begin{bmatrix} \tilde{M}(\mathbf{\kappa},z_1) \\ \\ \tilde{M}(\mathbf{\kappa},z_{N_z}) \end{bmatrix}_{N_z \times 1}$$

- oversampling underlying reason for inversion errors
 - → advantage: improves spatial resolution by capturing near-field scattering (fast variation along x and y → high spatial frequencies k_x and k_y)
 - → **disadvantage**: if near-field scattering is weak (below noise), the results at high spatial frequencies k_x and k_y are erroneous → degrade the entire IFFT solution in (x,y)
- recommended sampling based on plane-wave far-zone scattering (see Day 1 lecture)

$$\Delta \zeta \leq \Delta \zeta_{\max} \approx \frac{\lambda_{b}^{\min}}{4\sin\alpha}, \ \zeta \equiv x, y \qquad \Rightarrow \Delta \zeta = \frac{\lambda_{b}^{\min}}{4}$$
$$\Rightarrow k_{\zeta}^{\max} = \frac{\pi}{\Delta \zeta} = \frac{4\pi}{\lambda_{b}^{\min}}, \ \zeta \equiv x, y \qquad \Rightarrow \Delta \zeta = \frac{\lambda_{b}^{\min}}{4}$$



EXAMPLE: POST-INVERSION FILTERING



- data has no edge "discontinuities" here apodization not needed
- maximum recommended sampling step $\Delta x_{\text{max}} = \Delta y_{\text{max}} \approx 1 \text{ cm} \rightarrow \overline{K}_{\text{max}} = 100\pi \text{ rad/m}$
- sampling step chosen $\Delta x = \Delta y \approx 0.5 \text{ cm} \rightarrow K_{\text{max}} = 200\pi \text{ rad/m}$



[Tajik et al., JPIER-B 2017]

EXAMPLE: POST-INVERSION FILTERING – 2

- before IFFT, 2-D contrast solution in k-space is filtered by 4th order Butterworth filter
 - 3-dB cut-off at $\overline{K}_{\text{max}} = 100\pi$
 - 10-dB cut-off at $1.1\overline{K}_{max} = 110\pi$



[Tajik et al., JPIER-B 2017]

BRIEF OVERVIEW OF NONLINEAR INVERSION STRATEGIES

NONLINEAR INVERSION: SOLVING DATA AND STATE EQUATIONS





reconstruction is an interplay of the two equations

state equation:

internal field

$$\underbrace{\mathbf{E}(\mathbf{r}\in V_{s})}_{V_{s}} = \iiint_{V_{s}} K(\mathbf{r}') \underbrace{\mathbf{G}}_{b}(\mathbf{r},\mathbf{r}') \cdot \mathbf{E}(\mathbf{r}') d\mathbf{r}'$$

- the unknown is the internal field
- ensures that for a given contrast the internal field satisfies Maxwell's eqns.

NONLINEAR INVERSION: SOLVING DATA AND STATE EQUATIONS

[Nikolova, Introduction to Microwave Imaging, 2017]



• formulating the objective (cost) function

$$\boldsymbol{K}^{*} = \arg\min_{\boldsymbol{K}} \left[\alpha \|\boldsymbol{R}_{\text{data}}\| + \beta \|\boldsymbol{R}_{\text{state}}\| + \gamma \|\boldsymbol{P}\| \right]$$

objective function *F*
[Pastorino, *Microwave Imaging*, 2010]

$$\boldsymbol{R}_{\text{data}} = \boldsymbol{\overline{D}}(\boldsymbol{\overline{E}}^{\text{sc}}) - \boldsymbol{D}(\boldsymbol{K}, \boldsymbol{E}^{\text{sc}}) \quad \text{data residual (error)}$$
$$\boldsymbol{R}_{\text{state}} = \boldsymbol{E}_{\text{Tx}}^{\text{tot}} - \int_{V_{\text{s}}} (\boldsymbol{K} \cdot \boldsymbol{\underline{G}}_{\text{b}} \cdot \boldsymbol{E}_{\text{Tx}}^{\text{tot}}) d\boldsymbol{r}' \quad \text{state residual}$$

P – physical constraints, *a priori* information, regularization terms

• objective function ensures the simultaneous fulfillment of the data and the state equations

NEWTON-KANTOROVICH OPTIMIZATION-BASED RECONSTRUCTION

[Roger, IEEE Trans. Antennas Propag. 29 (2), Mar. 1981; Joachimowicz et al., Trans. IEEE Antennas Propag. 39 (12), 1991]



NONLINEAR INVERSION: SOLVING DATA AND STATE EQUATIONS

[Nikolova, Introduction to Microwave Imaging, 2017]



BORN ITERATIVE METHOD (BIM): FLOWCHART

[Wang&Chew, Int. J. Imaging Systems & Tech., 1989]



[[]Nikolova, Introduction to Microwave Imaging, 2017]

- BIM iterates between state and data equations until convergence is achieved
- in each iteration, both of these equations are <u>linear</u>

NONLINEAR INVERSION: SOLVING DATA AND STATE EQUATIONS

[Nikolova, Introduction to Microwave Imaging, 2017]



DISTORTED BORN ITERATIVE METHOD (DBIM): FLOWCHART

[Wang&Chew, IEEE Trans. Med. Imaging, 1990]

[Nikolova, Introduction to Microwave Imaging, 2017]

MICROWAVE TISSUE IMAGING: OVERVIEW

MICROWAVE IMAGING OF TISSUE – EARLY RESEARCH

first systematic studies date back to 1978 [Larsen & Jacobi eds., Medical Applications of Microwave Imaging, 1986]



[Larsen & Jacobi, Med. Phys. 5 (6), 1978]

canine kidney scan in water



dissection

S₂₁ scan, 3.9 GHz



CONCLUSIONS FROM EARLY IMAGING EXPERIMENTS WITH TISSUE

- resolution on the order of a centimeter
- compromise between resolution (better at high frequencies) and penetration depth (better at low frequencies)
- optimal frequency range: 2 GHz to 8 GHz, 0.5 GHz to 3 GHz depends on the reconstruction method
 [Lin, Proc. IEEE 73 (2), 2005]

[Li et al., Rev. Sci. Instruments 75 (7), 2004] [Semenov et al., IEEE Trans. Microw. Theory Tech. 53 (7), 2005]

• promising application in early-stage breast cancer diagnostics (more than 50 patents in the USA alone)

NEED FOR ALTERNATIVE MODALITIES FOR BREAST-CANCER SCREENING

- early-stage detection is crucial (size < 15 mm \rightarrow survival rate > 90%)
- current modalities for mass-screening are unsatisfactory
 - mammography: high false-negative rate esp. with radiologically dense tissue, ionizing, discomfort due to compression (mean thickness 4.4 cm to 5.4 cm) [Helvie *et al.*, *Am. J. Roentgenol.* 163 (6), Dec. 1994]
 - ultrasound: operator dependent, high false-positive rate
 - MRI: not suitable for mass screening, requires contrast agent, somewhat high false-positive rate

advantages in medical diagnostics

- safe: non-ionizing radiation and low SAR (frequent check-ups)
- no need for significant compression
- cheap compact technology (deployment in GP offices)
- well suited for mass screening, prevention and early detection

disadvantages in medical diagnostics

- limited penetration (high signal loss)
- relatively low resolution

CHALLENGE #1: BREAST TISSUE HETEROGENEITY

- <u>complex propagation environment</u> with multiple diffraction, reflection and refraction signal paths
 - anatomical detail comparable to the radiation wavelength
 - compare with the X-ray CT propagation a straight path!





TRANSVERSE SLICE OF A T_1 weighted $\boldsymbol{M}\boldsymbol{R}$ breast image

CHALLENGE #2: CONTRAST IN BREAST-TISSUE CONSTITUTIVE PARAMETERS



[Sugitani et al., Applied Phys. Lett. 104, 253702, June 2014]

CHALLENGE #3: VARIABILITY IN BREAST-TISSUE DIELECTRIC PROPERTIES



[Sugitani 2014; Fig. 3]

CHALLENGE #4: DISSIPATION IN TISSUE

Example (6 GHz, 5cm-thick tissue layer)

- muscle: $\varepsilon_r \approx 48$, $\sigma \approx 5.6$ S/m attenuation of about 65 Db (decrease factor > 3 million)
- stroma (fibroglandular): $\varepsilon_r \approx 50$, $\sigma \approx 5.0$ S/m attenuation of about 57 dB (decrease factor > half a million)
- adipose (fat): $\varepsilon_r \approx 3$, $\sigma \approx 0.3$ S/m attenuation of about 14 dB (decrease factor > 25)

DESIGNING THE IMAGING SYSTEM: GENERAL GUIDELINES

- prone patient positioning is common (as in MRI breast examination)
 - reduces motion artifacts due to breathing
- collect as much information as possible
 - multiple observation locations
 - illumination diversity
 - polarization diversity
 - multiple samples in UWB frequency range (or time sampling)
 - prior information about patient + continuous monitoring



DESIGNING THE IMAGING SYSTEM: ACQUISITION SURFACES

cylindrical scans – require coupling liquids









[Fear et al., IEEE Trans. Microw. Theory Tech. 61 (5), 2013]

[Grzegorczyk et al., IEEE Trans. Med. Imaging 31 (8), 2012]

DESIGNING THE IMAGING SYSTEM: ACQUISITION SURFACES, CONT.

<u>hemispherical scans</u> – use coupling gels



[Porter et al., IEEE Antennas Wirel. Propag. Lett. 12, 2013]



[Klemm et al., EuCAP 2011, Apr. 2011]



[Song et al., Nature Scientific Rep., Nov. 2017]

DESIGNING THE IMAGING SYSTEM: ACQUISITION SURFACES, CONT.



SOME PROMISING CLINICAL TRIALS: BRISTOL UNIVERSITY

[Klemm et al., IEEE Trans. Antennas Propag. 58 (7), 2010]

- real-time reconstruction based on synthetic focusing (DAS)
- fixed multistatic 60-element hemispherical array



• radiologically translucent case with 30-mm tumor

SOME PROMISING CLINICAL TRIALS: HIROSHIMA UNIVERSITY

• real-time reconstruction based on synthetic focusing (DAS)

[Song et al., Nature Scientific Rep., Nov. 2017]

• handheld rotating 16-element hemispherical array

Song 2017,

Fig. 7



SOME PROMISING CLINICAL TRIALS: DARTMOUTH COLLEGE

[Poplack et al., Radiology 243 (2), May 2007]

- imaging through EM-based optimization
- cylindrical tomography system
- reconstruction time: within 10 minutes





Poplack 2007, Fig. 1



MW tomography coronal slice

MCMASTER U: OUR PRELIMINARY TESTS WITH TISSUE PHANTOMS



120

160

40

80

x (mm)

120

Tajik 2017,

Fig. 16

- frequency sweep: from 3 GHz to 9 GHz, 61 samples
- spatial sampling step: 2 mm
- reconstruction time: < 10 s

-6

160

MCMASTER U: TISSUE PHANTOM MEASUREMENT

- phantom: five 1.1 cm thick carbon-rubber sheets stacked
- permittivity of carbon-rubber sheets approximates averaged *Type-2 breast tissue* (25% - 50% fibroglandular tissue, remaing fatty tissue)
- filled with fibroglandular/tumour simulants
- simulants surrounded with matched medium
- QMH (Born)
- apodization applied
- *k*-space filtering applied
- CNR data filtering applied





assembled phantom



Image Credit: Daniel Tajik

[Tajik et al., EuCAP 2019]

MCMASTER U: TISSUE PHANTOM MEASUREMENT

[Tajik et al., EuCAP 2019]





Image Credit: Daniel Tajik

layer 2



2-D images (as in mammography): layer 2 and layer 4 are superimposed

TISSUE PHANTOM MEASUREMENT: COMPARISON WITH CT IMAGES



MICROWAVE TISSUE IMAGING: LOOKING FORWARD

software

- conceptually new iterative reconstruction algorithms real-time!
- noise suppression and filtering
- system calibration and error deembedding

hardware

- toward bias-switched arrays of on-chip radios
- SDRs: improving SNR through signal encoding (e.g., OFDM radar) [Wiesbeck, "System concepts for the radar of the future," *EuCAP 2017* Short Course "Radar 2020"]
- contrast agents for microwave imaging (carbon and magnetic nanoparticles)

SUMMARY OF DAY THREE

- imaging methods are subject to *fundamental resolution limits*, which depend on the wavelength, the target viewing angle and the presence/absence of nearfield scattering data
- *inversion in k-space* must be done with the properties of FFT in mind *apodization and post-inversion filtering* are almost always beneficial
- *noise and uncertainties* are unavoidable in experiments the CNR metric is critical in assessing: (i) the readiness of the acquisition system, (ii) the quality of a data set before submitting it to a reconstruction algorithm
- *nonlinear inversion algorithms* ensure that both the data and the state equations are satisfied (potential for better accuracy over quantitative linear reconstruction); disadvantage use of EM simulations (increased inversion time)
- the *advent of microwave imaging into medical diagnostics* is promising, especially in cancer diagnostics (except for deep-body tumours)





THANK YOU!