

Design Optimization Via Surrogate Modeling and Space Mapping

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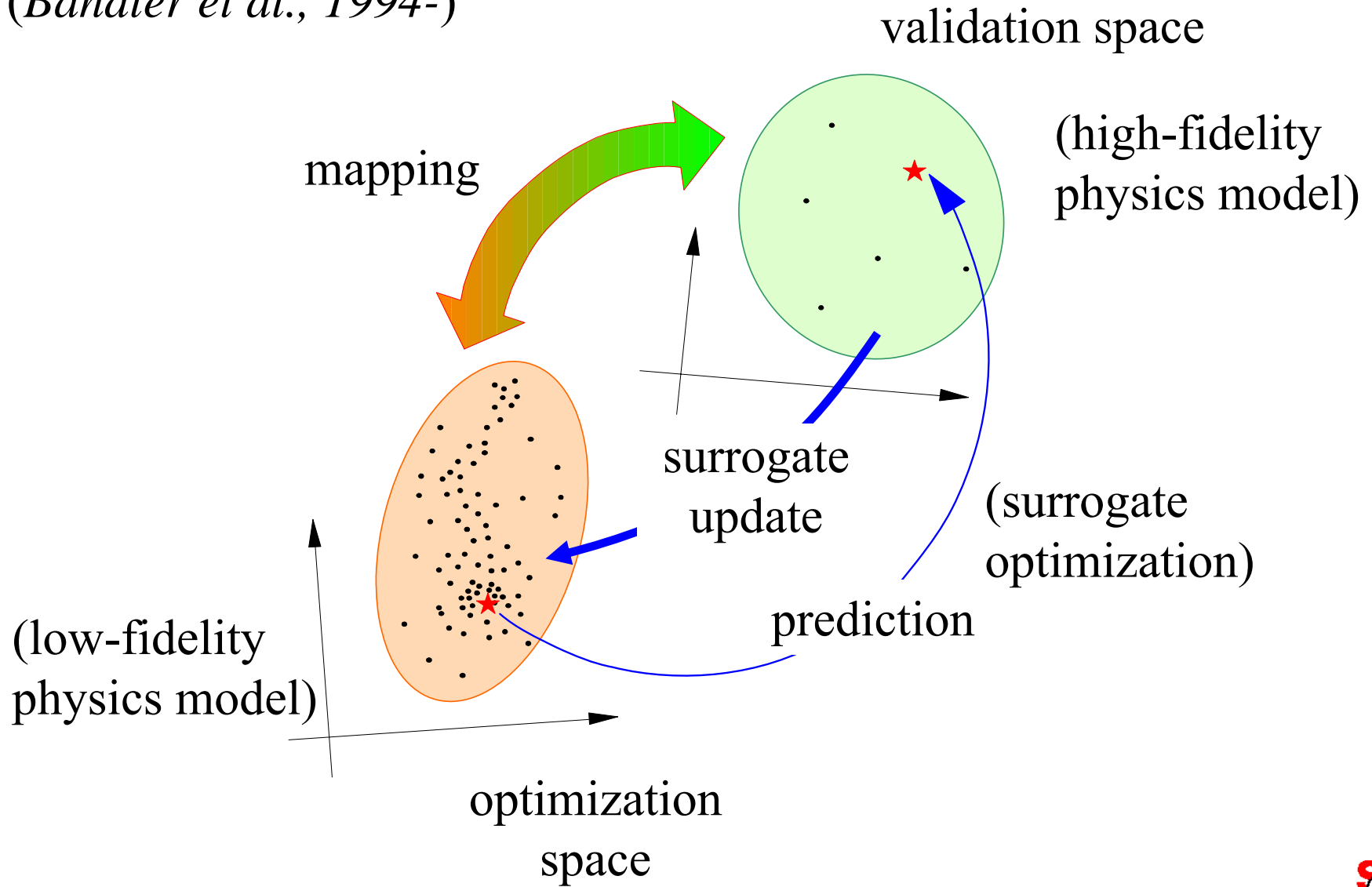
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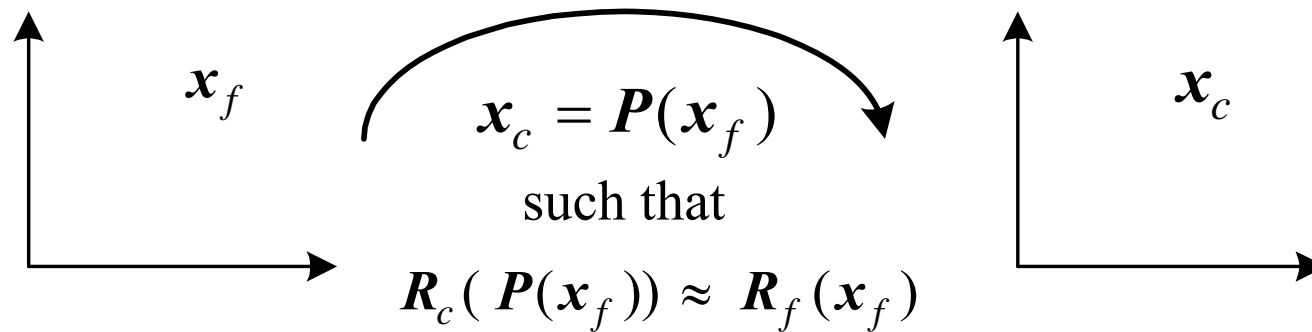
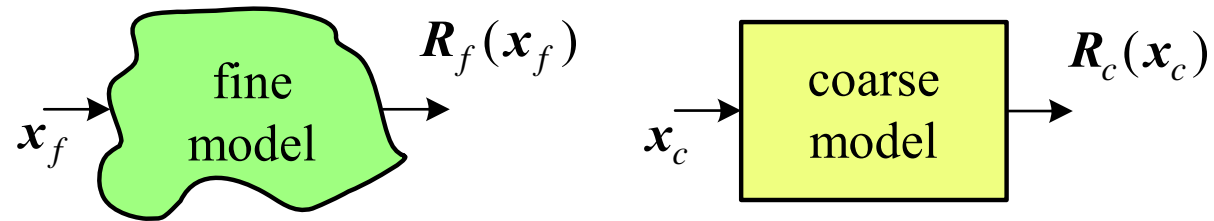
The **Space Mapping** Concept

(Bandler et al., 1994-)



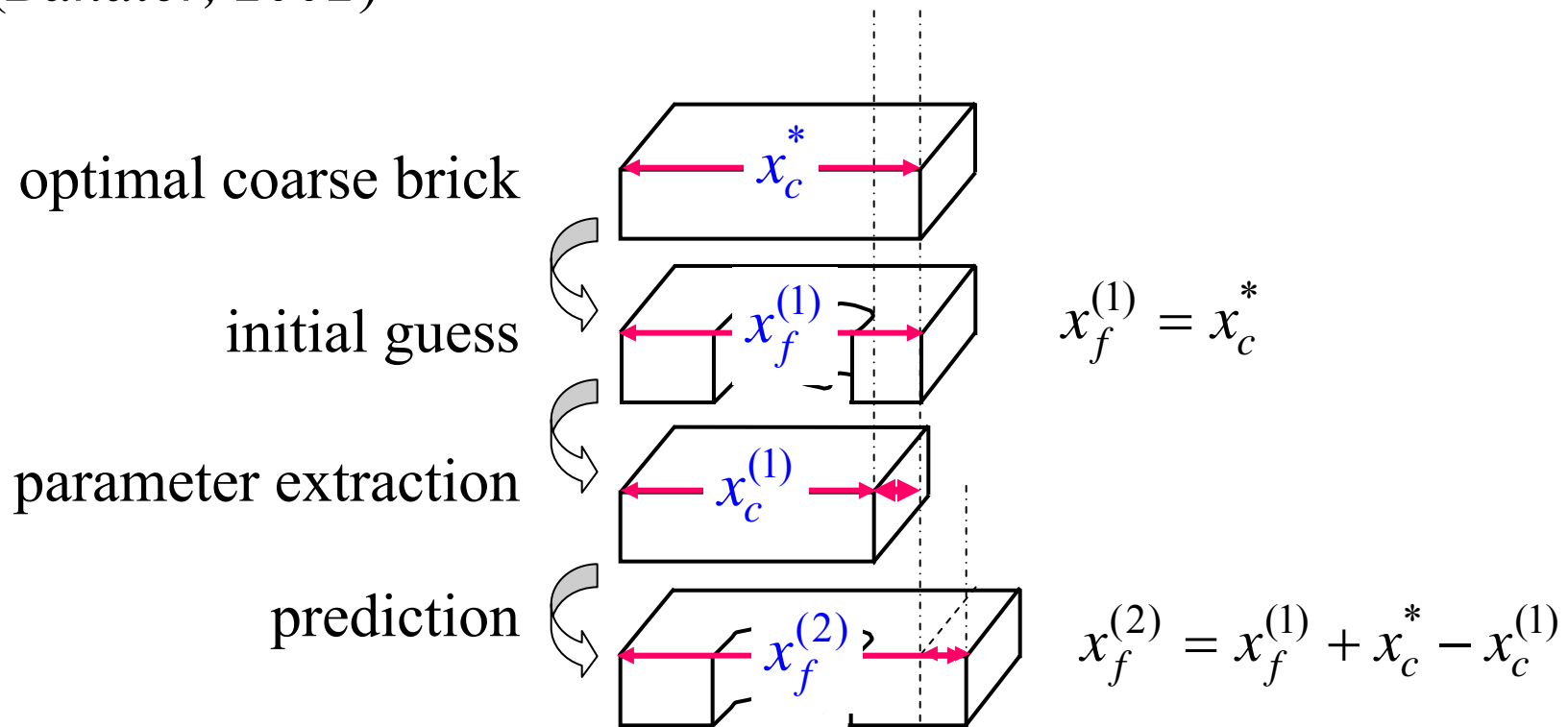
The **Space Mapping** Concept

(Bandler et al., 1994-)



Aggressive Space Mapping Practice—Cheese-Cutting Problem

(Bandler, 2002)



coarse model can be imaginary

actual human brain process

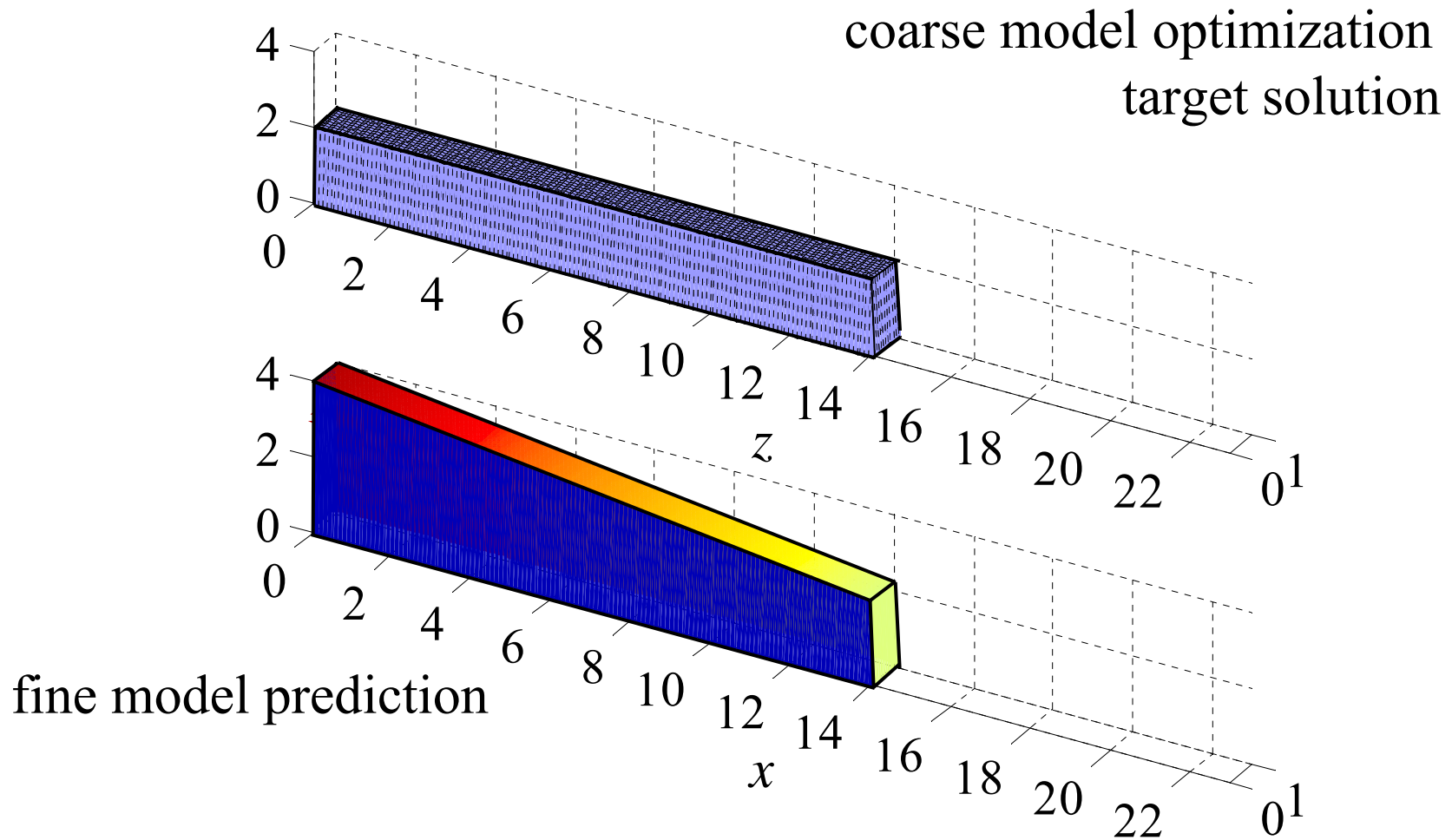
different person may have different imaginary coarse model

space mapping is a mathematical representation of brain process



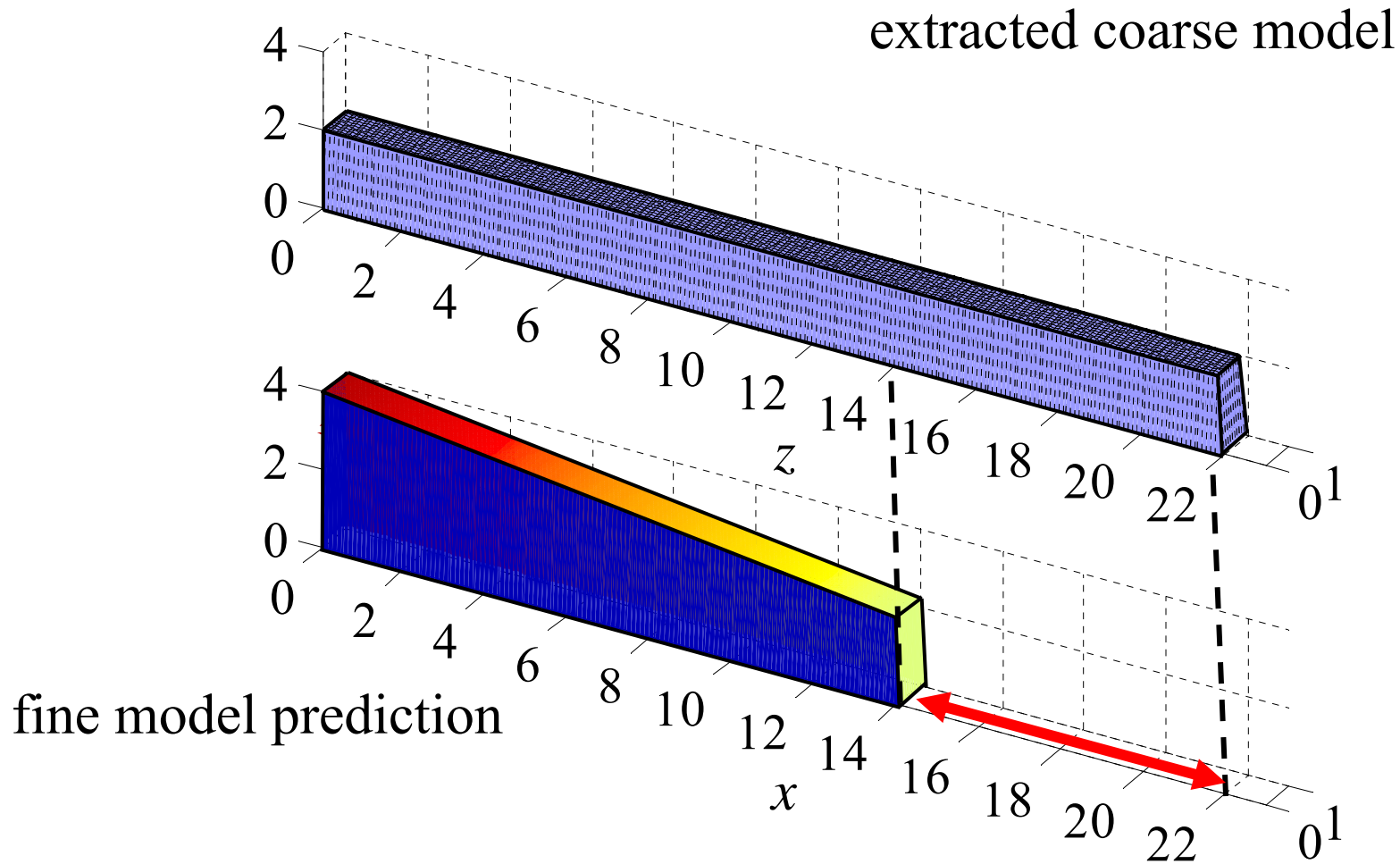
ASM: The Wedge-Cutting Illustration (*Dakroury et al., 2002*)

Initialization



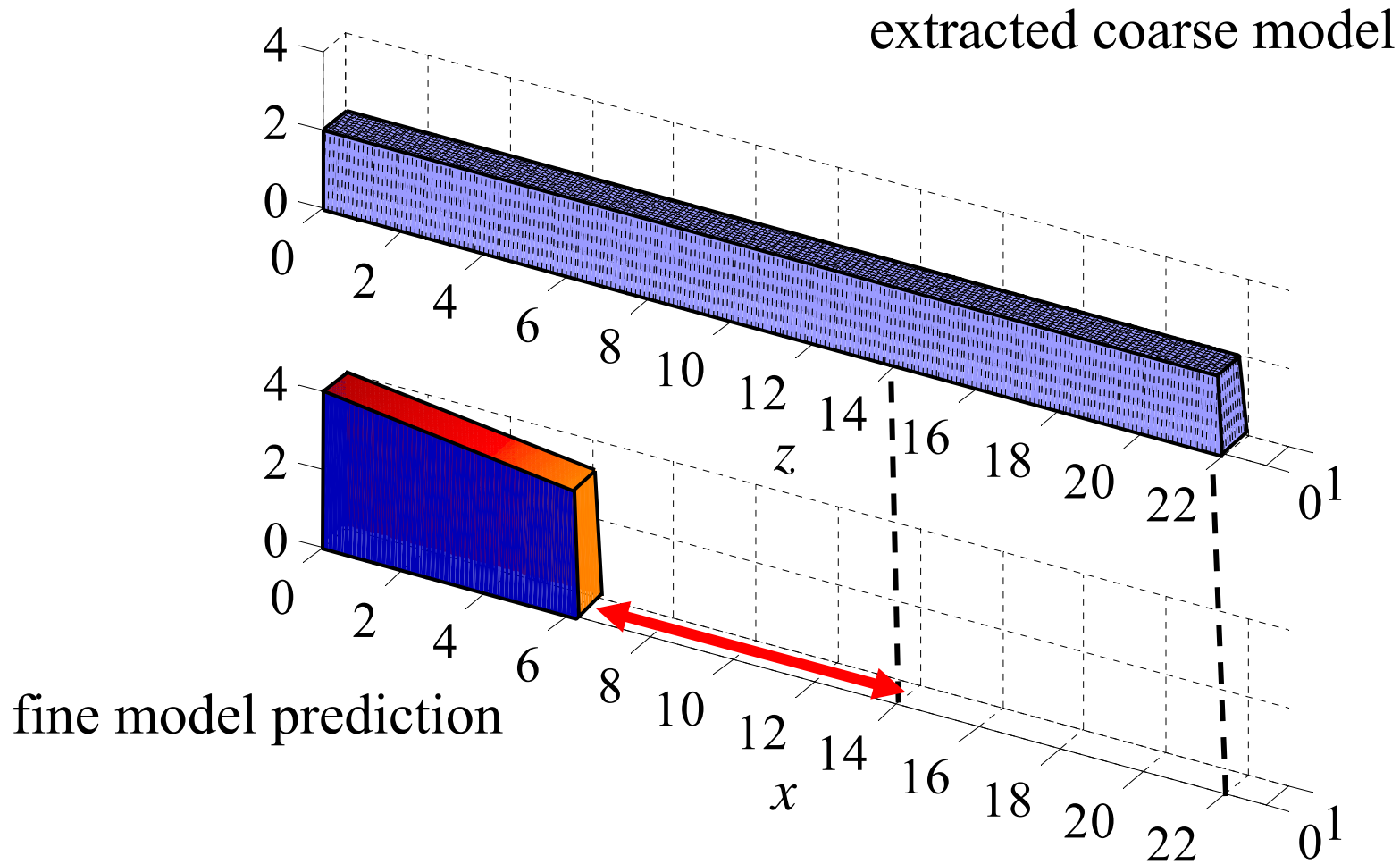
ASM: The Wedge-Cutting Illustration (*Dakroury et al., 2002*)

Iteration 1



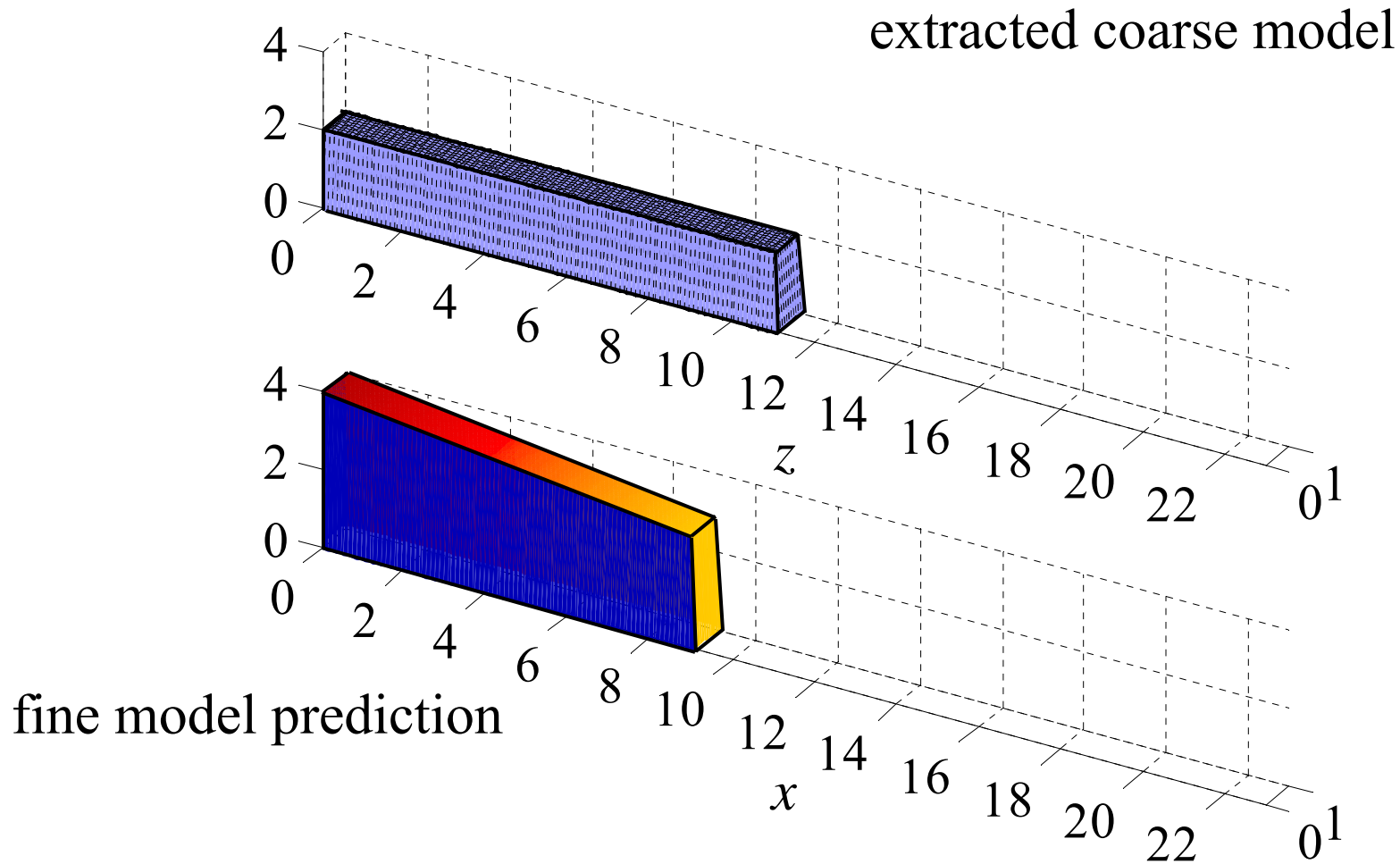
ASM: The Wedge-Cutting Illustration (*Dakroury et al., 2002*)

Iteration 1



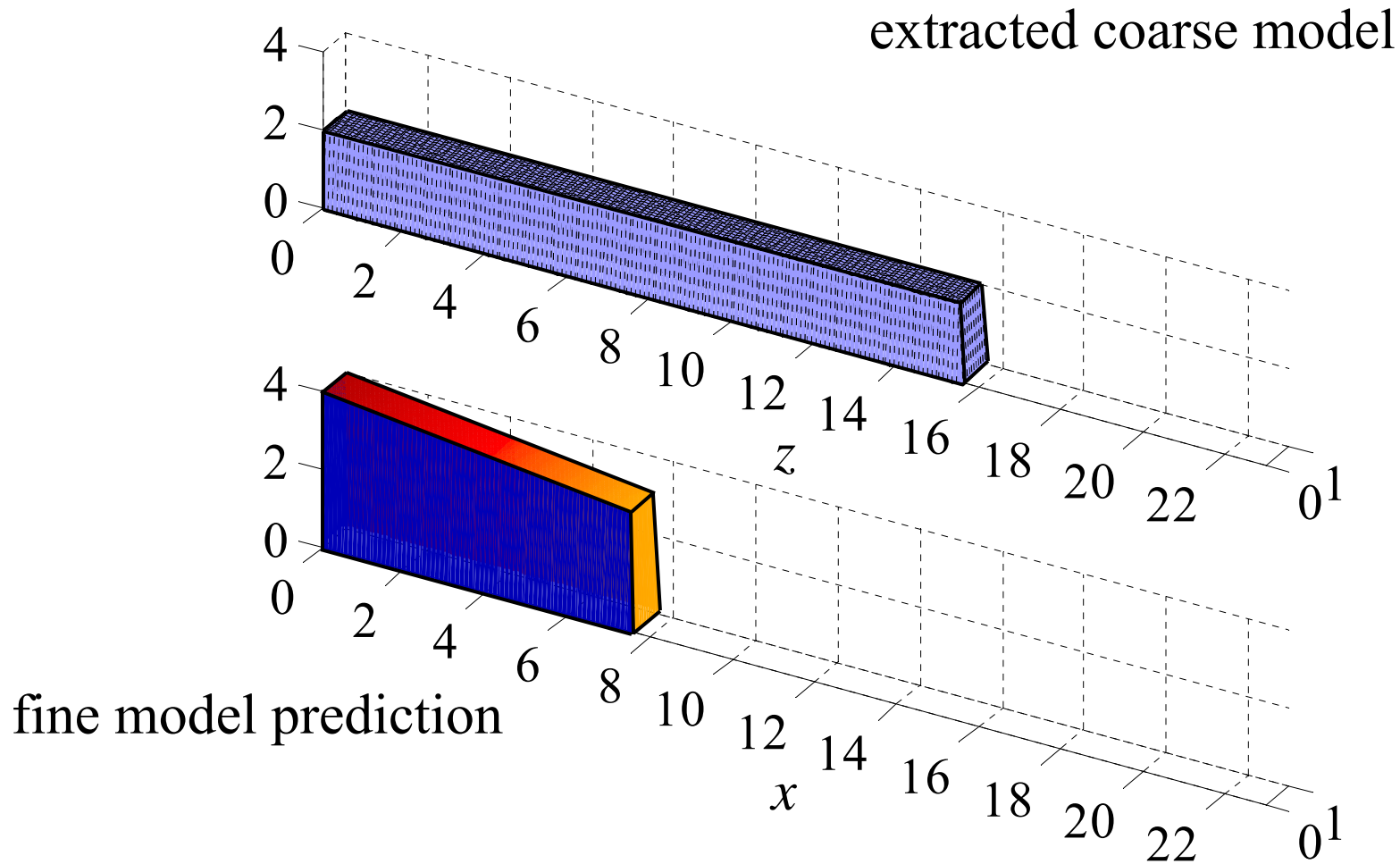
ASM: The Wedge-Cutting Illustration (*Dakroury et al., 2002*)

Iteration 2



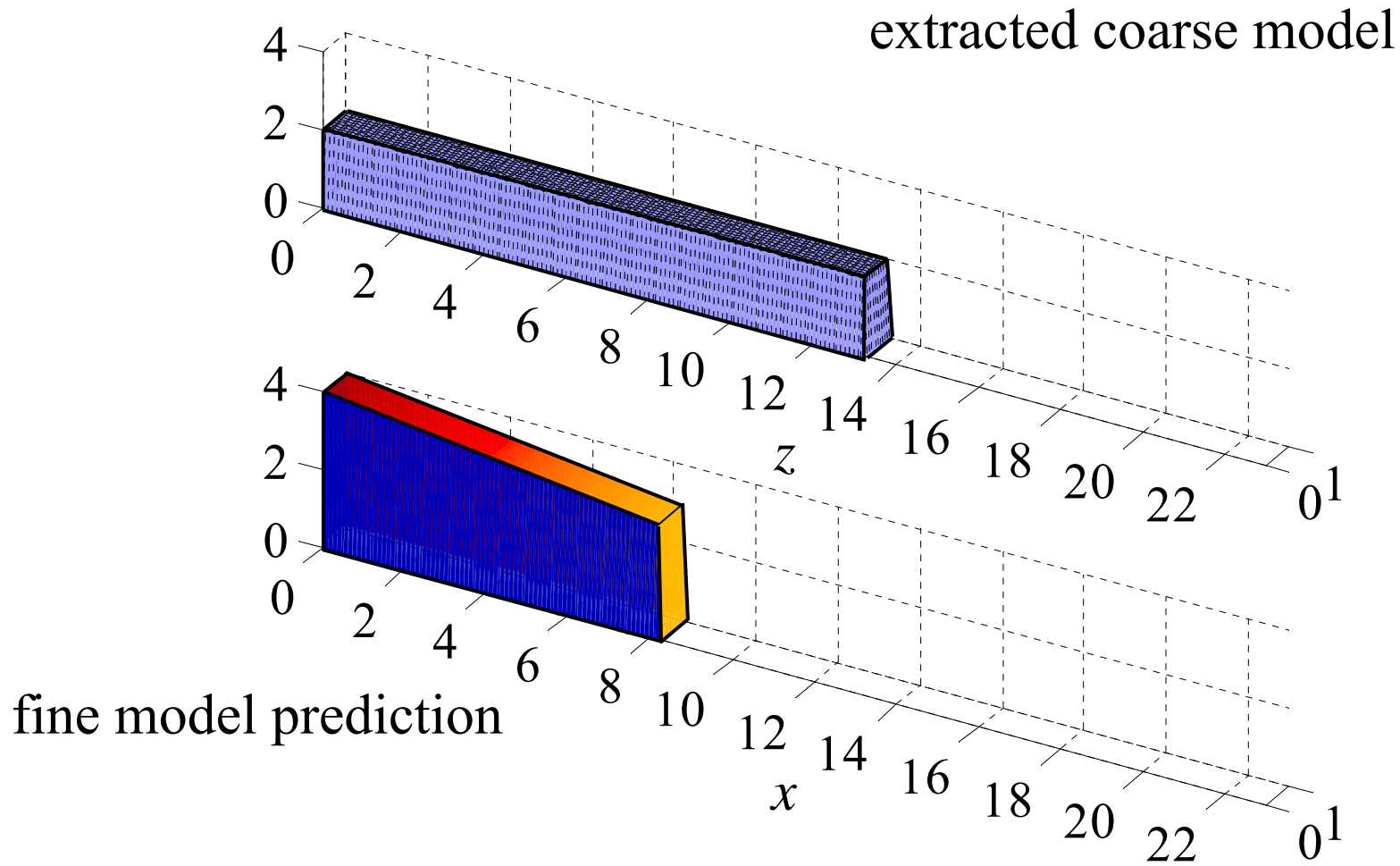
ASM: The Wedge-Cutting Illustration (*Dakroury et al., 2002*)

Iteration 3



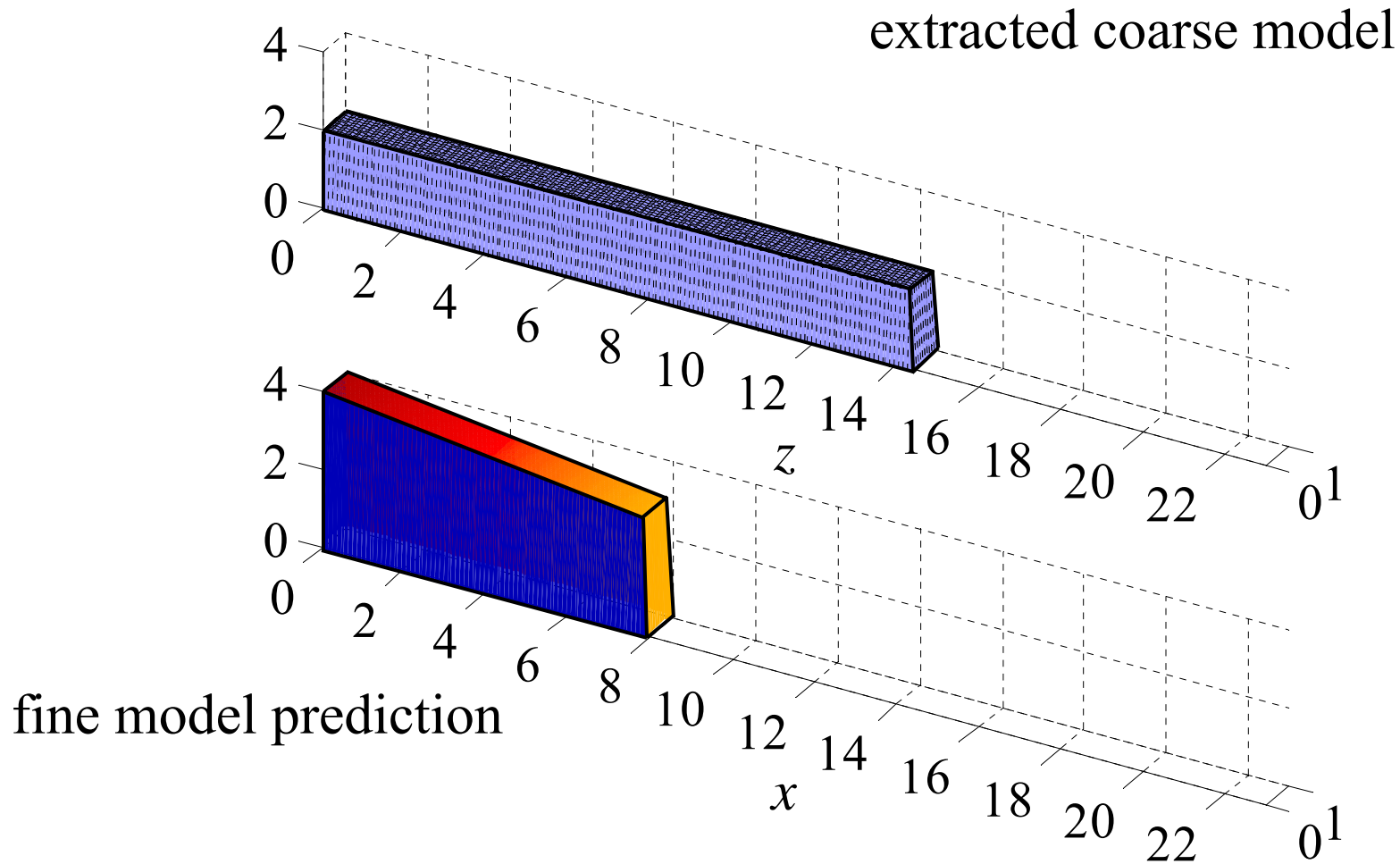
ASM: The Wedge-Cutting Illustration (*Dakroury et al., 2002*)

Iteration 4



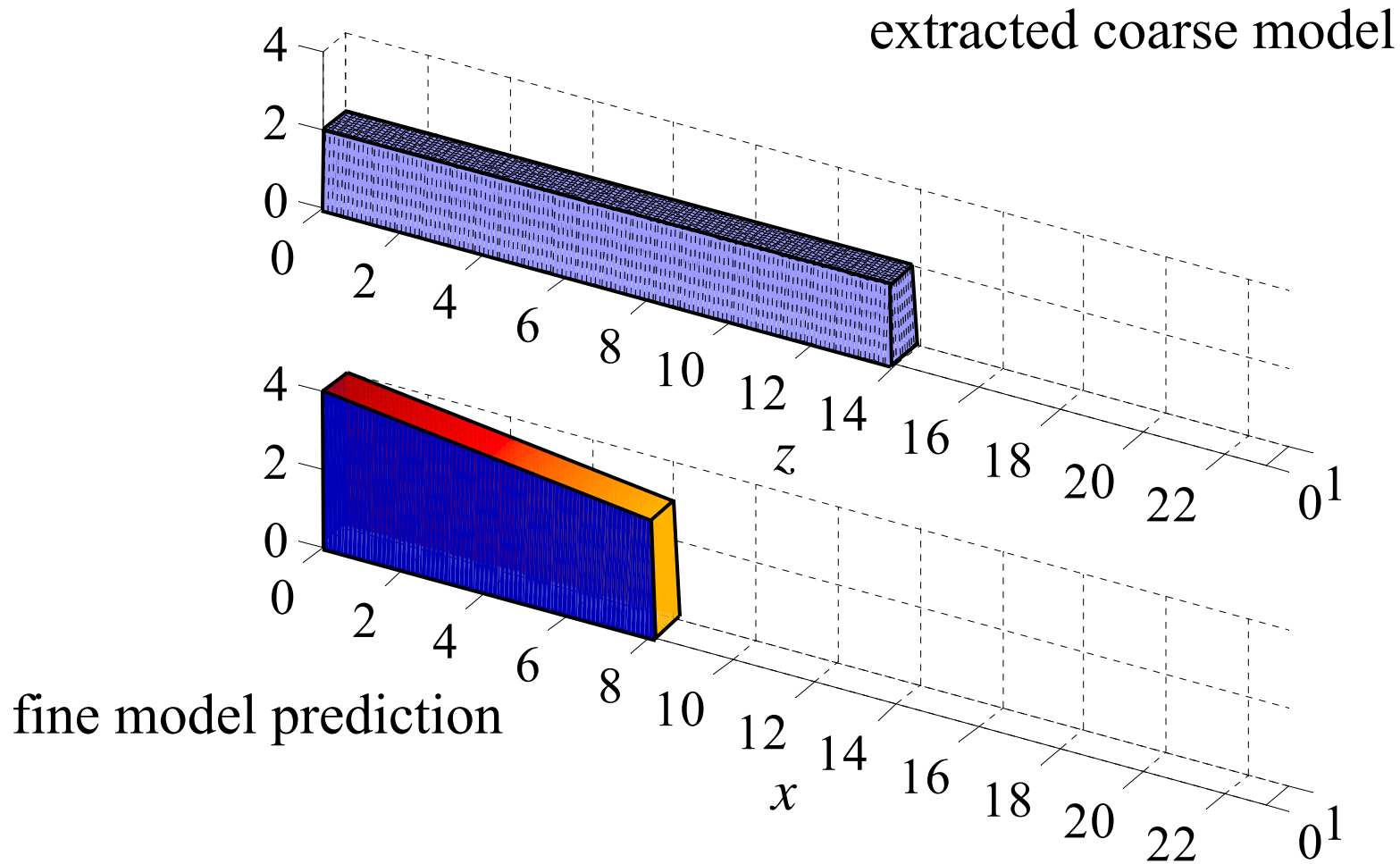
ASM: The Wedge-Cutting Illustration (*Dakroury et al., 2002*)

Iteration 5



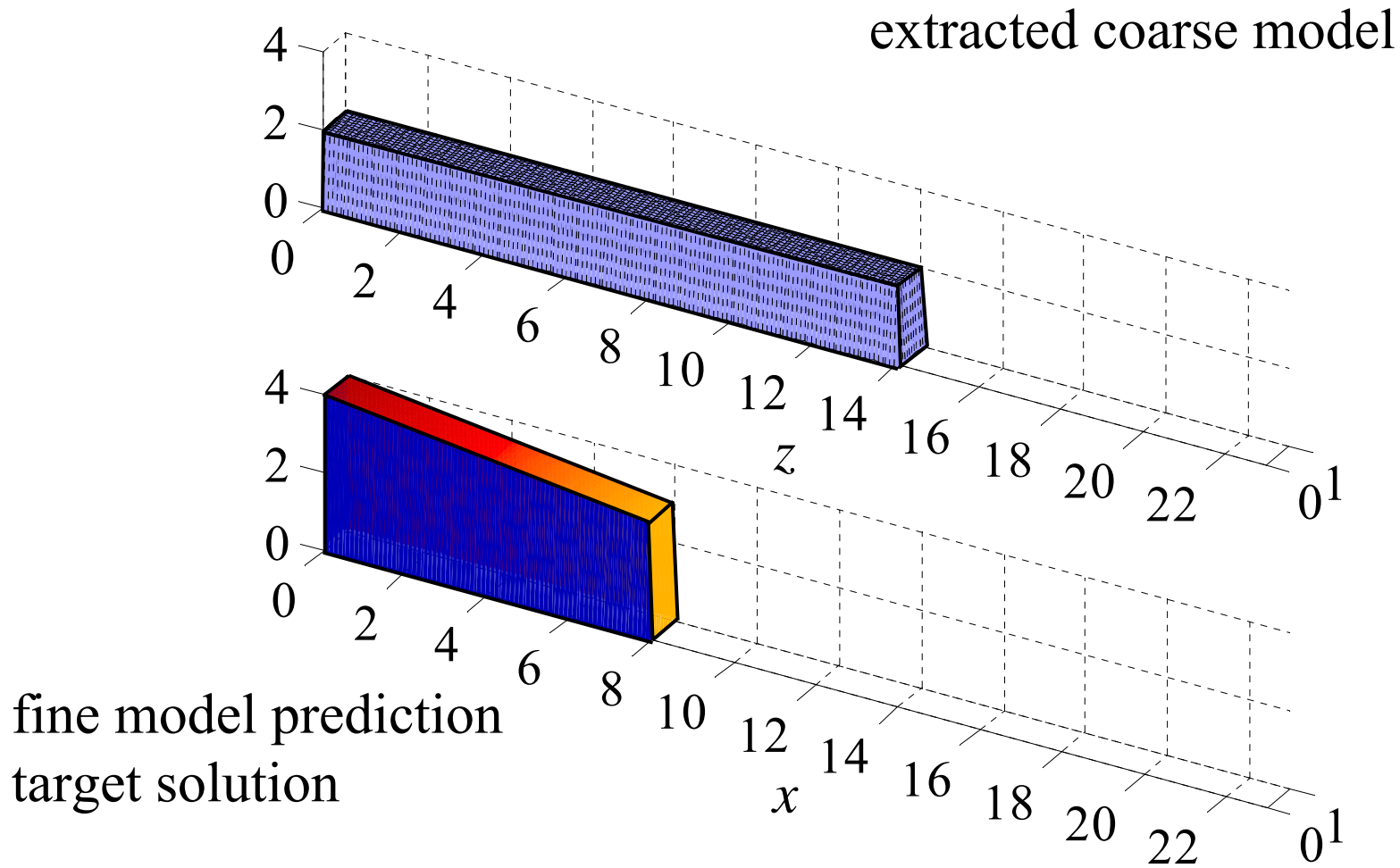
ASM: The Wedge-Cutting Illustration (*Dakroury et al., 2002*)

Iteration 6

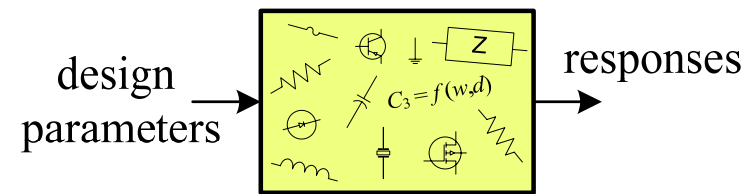
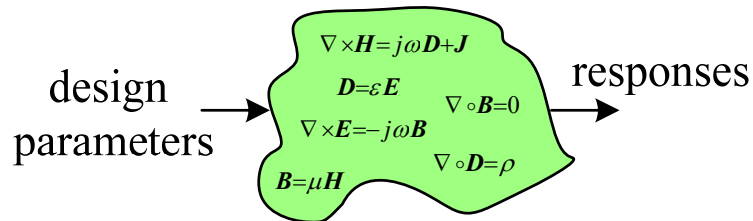
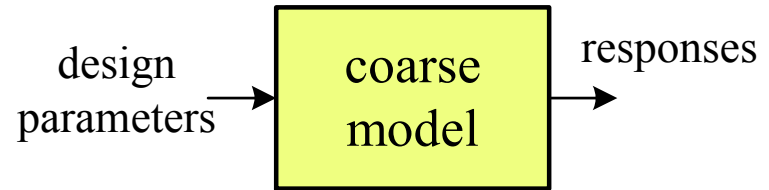
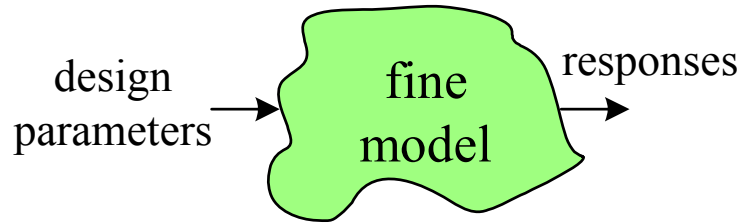


ASM: The Wedge-Cutting Illustration (*Dakroury et al., 2002*)

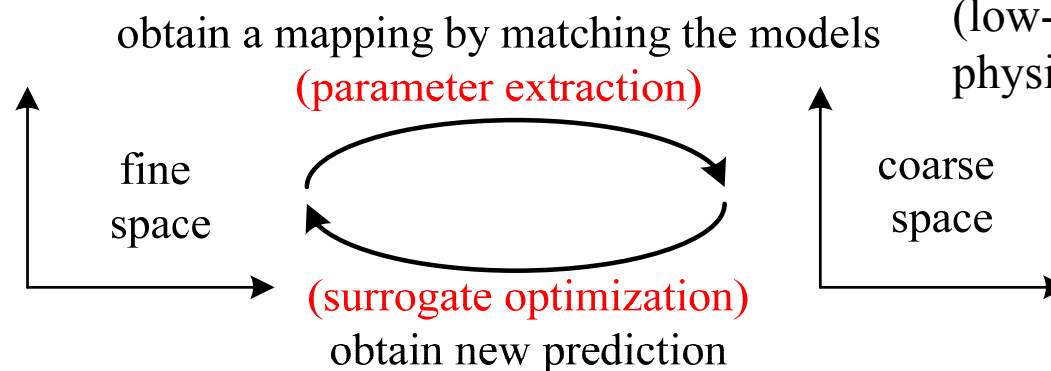
Iteration 7



Linking Companion Coarse (Empirical) and Fine (EM) Models Via **Space Mapping** (*Bandler et al., 1994-*)



(high-fidelity physics model)

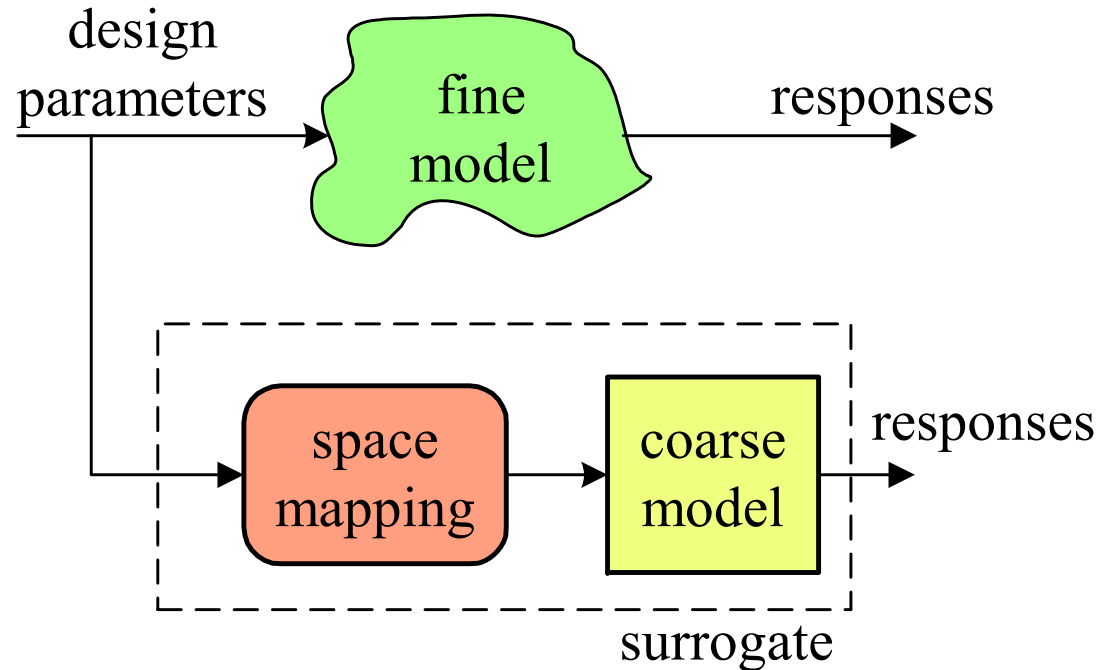


(low-fidelity physics model)



Explicit (Input) **Space Mapping** Concept

(*Bandler et al., 1994-*)



used in the microwave industry (e.g., Com Dev, since 2003, for optimization of dielectric resonator filters and multiplexers)



Aggressive Space Mapping Optimization

(Bandler et al., 1995)

corresponds to solving the nonlinear system of equations

$$\mathbf{f}(\mathbf{x}_f) \triangleq \mathbf{P}(\mathbf{x}_f) - \mathbf{x}_c^*, \quad \mathbf{f} \rightarrow \mathbf{0}$$

equivalently, “solve”

$$\mathbf{x}_c = \mathbf{x}_c^*$$



Aggressive Space Mapping Optimization

(Bandler *et al.*, 1995)

iteratively solves the nonlinear system

$$\mathbf{f}(\mathbf{x}_f) = \mathbf{0}$$

the quasi-Newton step $\mathbf{h}^{(j)}$ in the fine space is given by

$$\mathbf{B}^{(j)} \mathbf{h}^{(j)} = -\mathbf{f}^{(j)}$$

the next iterate

$$\mathbf{x}_f^{(j+1)} = \mathbf{x}_f^{(j)} + \mathbf{h}^{(j)}$$



Aggressive Space Mapping Optimization

(Bandler et al., 1995)

Broyden update

$$\mathbf{B}^{(j+1)} = \mathbf{B}^{(j)} + \frac{\mathbf{f}^{(j+1)} - \mathbf{f}^{(j)} - \mathbf{B}^{(j)} \mathbf{h}^{(j)}}{\mathbf{h}^{(j)T} \mathbf{h}^{(j)}} \mathbf{h}^{(j)T}$$



Aggressive Space Mapping Optimization

(Bandler et al., 1995)

$$\mathbf{f}^{(j)} = \mathbf{x}_c^{(j)} - \mathbf{x}_c^* ,$$

$$\mathbf{B}^{(j)} \mathbf{h}^{(j)} = -\mathbf{f}^{(j)} \text{ and}$$

$$\mathbf{x}_f^{(j+1)} = \mathbf{x}_f^{(j)} + \mathbf{h}^{(j)}$$

estimate the fine model Jacobian (*Bakr et al., 1999*)

$$\mathbf{J}_f(\mathbf{x}_f) \approx \mathbf{J}_c(\mathbf{x}_c) \mathbf{B}$$

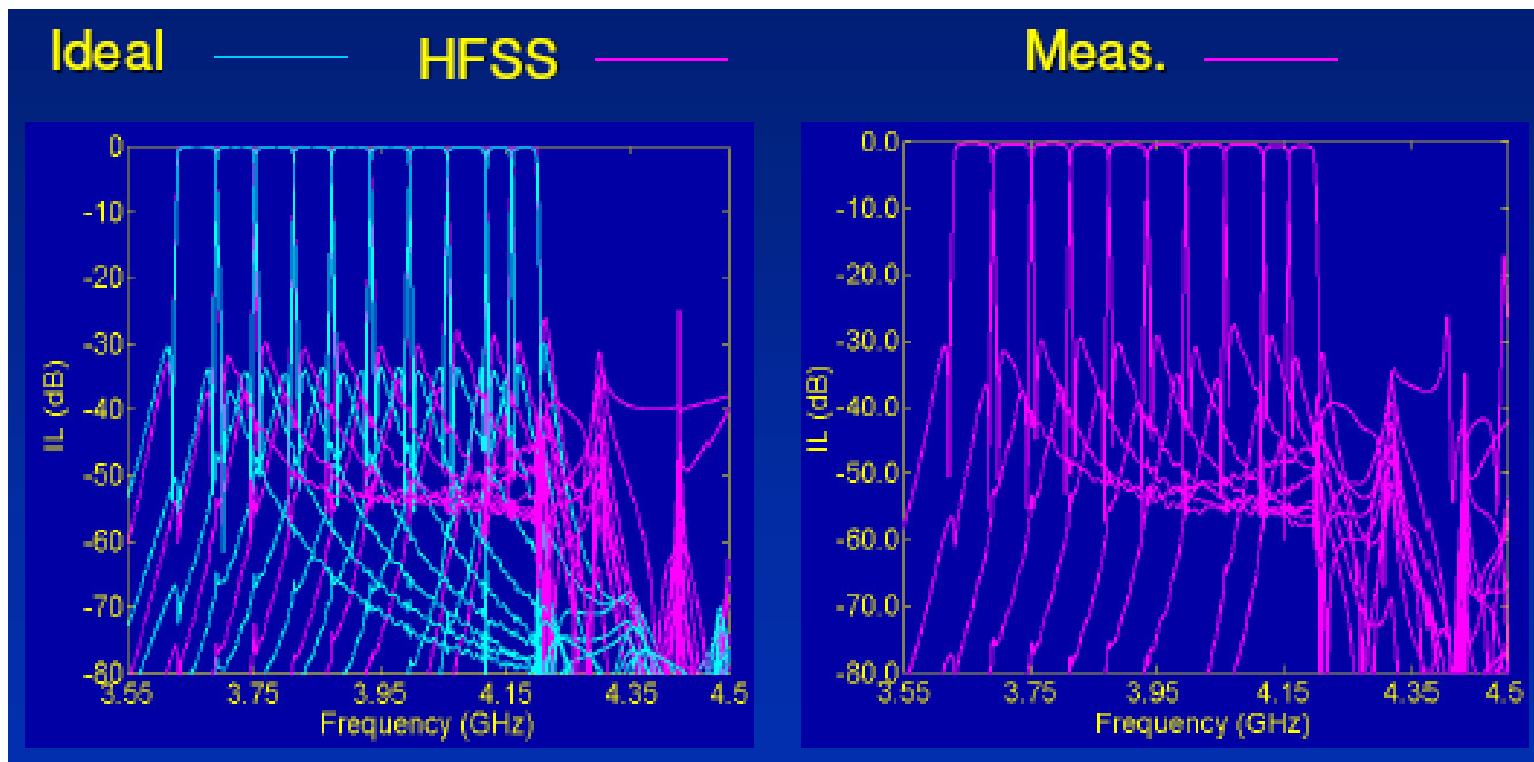
estimate the mapping matrix

$$\mathbf{B} \approx (\mathbf{J}_c^T \mathbf{J}_c)^{-1} \mathbf{J}_c^T \mathbf{J}_f$$



Space Mapping Design of Dielectric Resonator Multiplexers (Ismail et al., 2003, Com Dev, Canada)

10-channel output multiplexer, 140 variables, aggressive SM



Space Mapping Crashworthiness Design of Saab 9³

(Redhe et al., 2001-2004, Sweden)

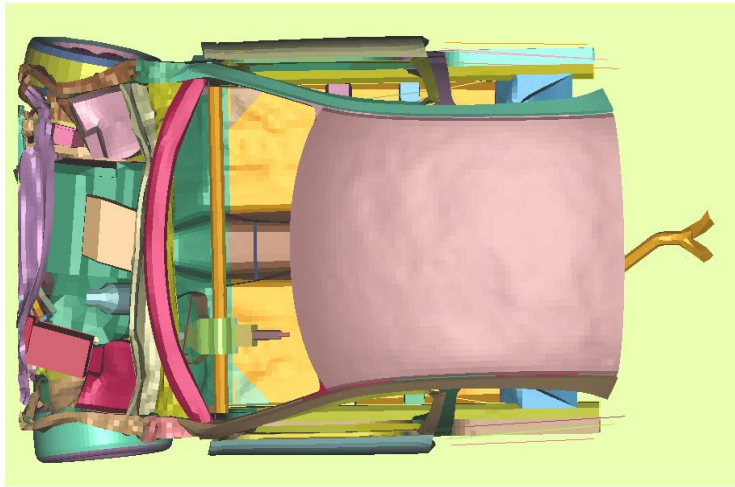
[type “saab **space mapping**” into Google]

in crashworthiness finite element design, **space mapping** reduces the total computing time to optimize the vehicle structure more than 50% compared to traditional optimization

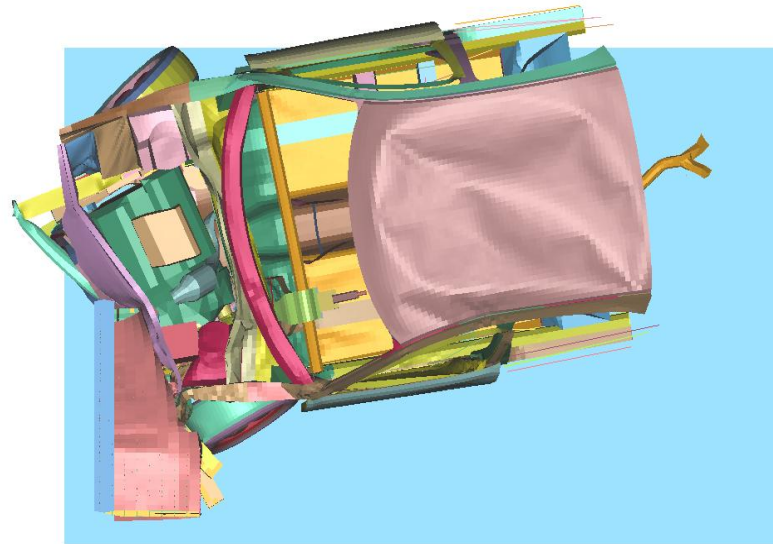
when **space mapping** was applied to the complete FE model of the new Saab 9³ Sport Sedan, intrusion into the passenger compartment area after impact was reduced by 32% with no reduction in other crashworthiness responses



Space Mapping Crashworthiness Design of Saab 9³
Frontal Impact (*Nilsson and Redhe, 2005, Sweden*)



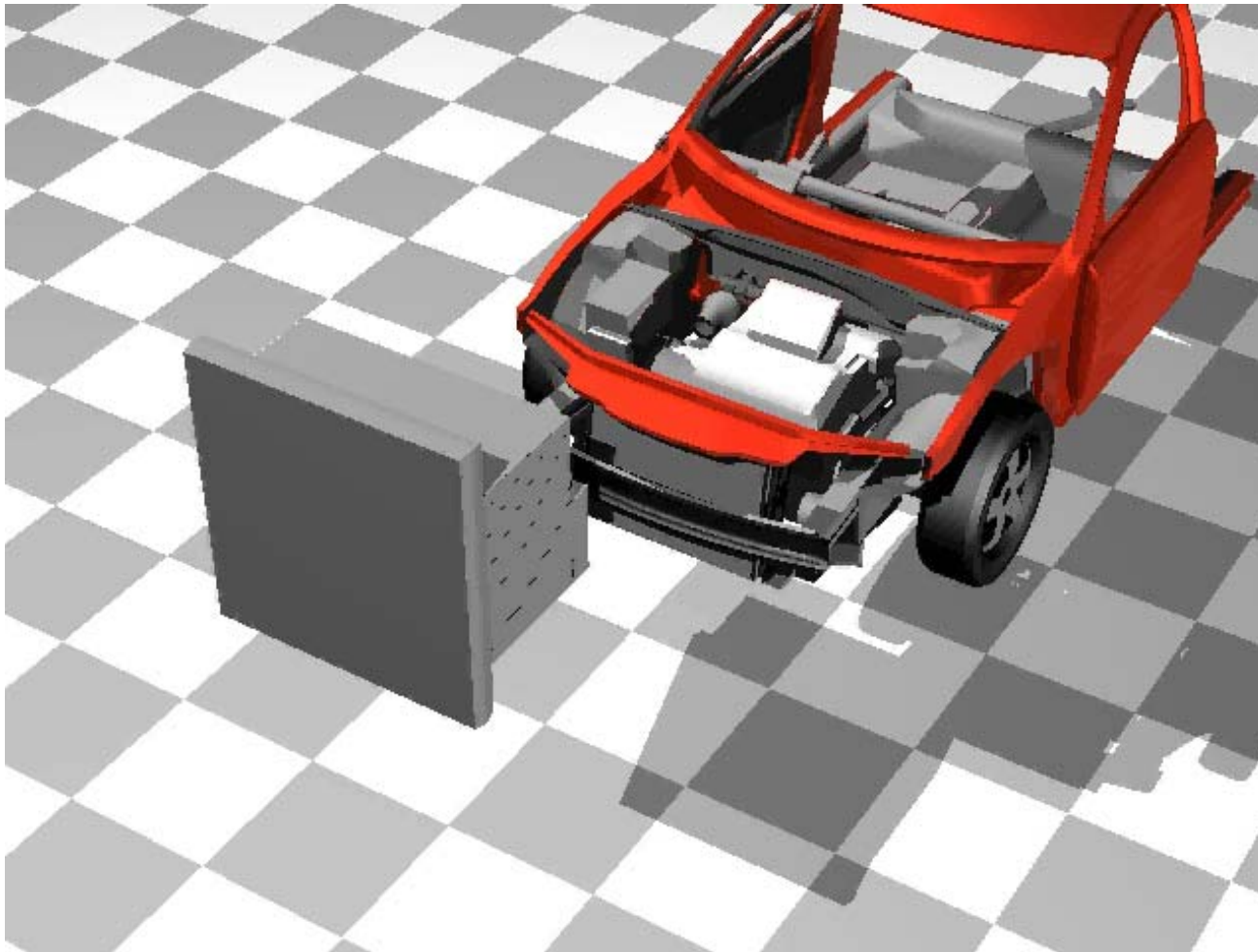
US-NCAP



EU-NCAP



Space Mapping Crashworthiness Design of Saab 9³
Frontal Impact (*Nilsson and Redhe, 2005, Sweden*)



Space Mapping Crashworthiness Design of Saab 9³

(*www.studyinsweden.se, 2005*)

space mapping cuts calculation times by three fourths compared with traditional response surface optimization

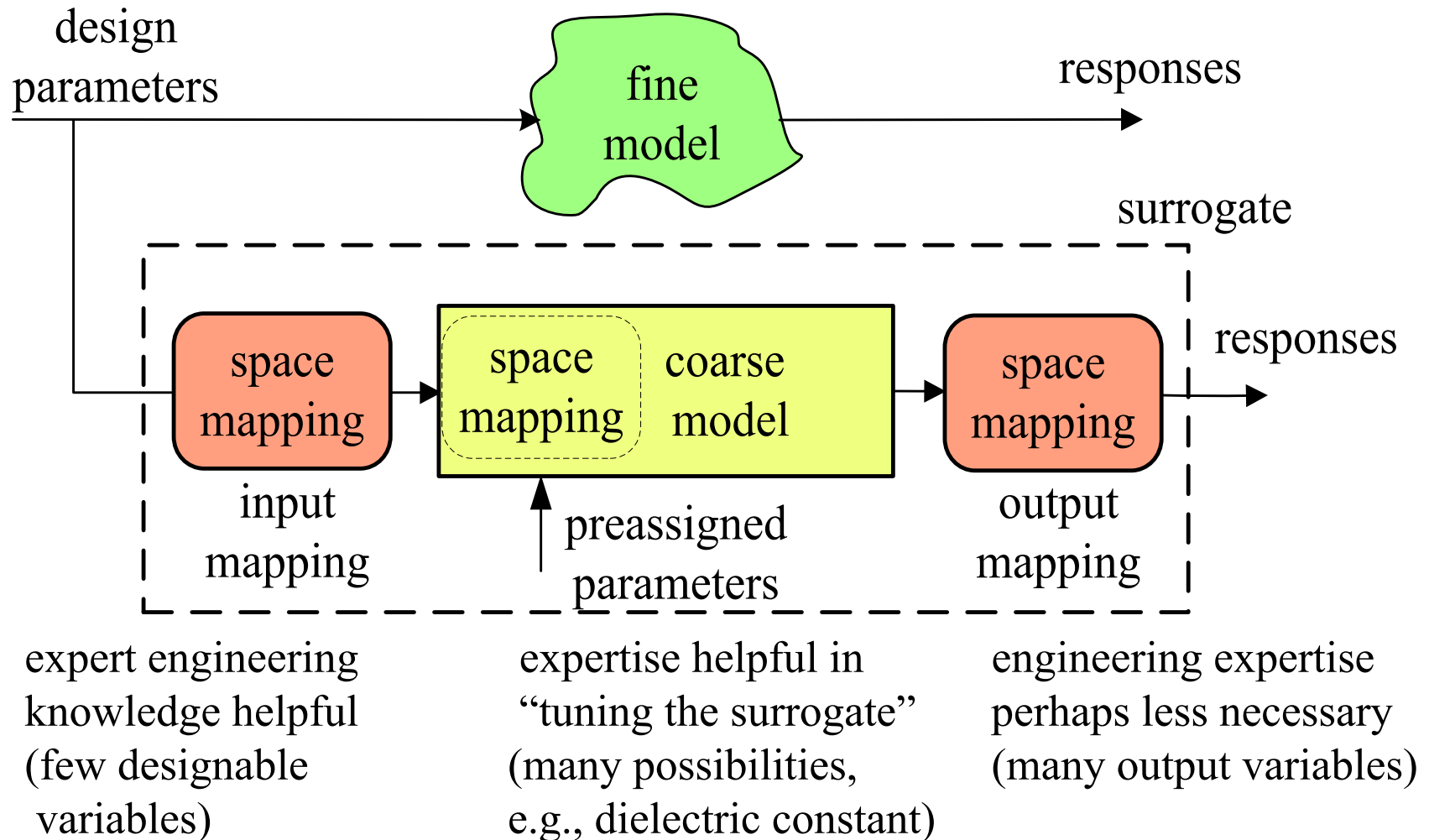
driven straight into a steel barrier
at 56 km/h

penetration of the passenger space
was reduced by 32 percent



Implicit, Input and Output **Space Mappings**

(Bandler et al., 2003-)



The Novice-Expert Continuum

output **space mapping**: a “band-aid” solution for engineers and non-engineers; the parameter extraction step does not require coarse model re-analysis; good for final touch-ups

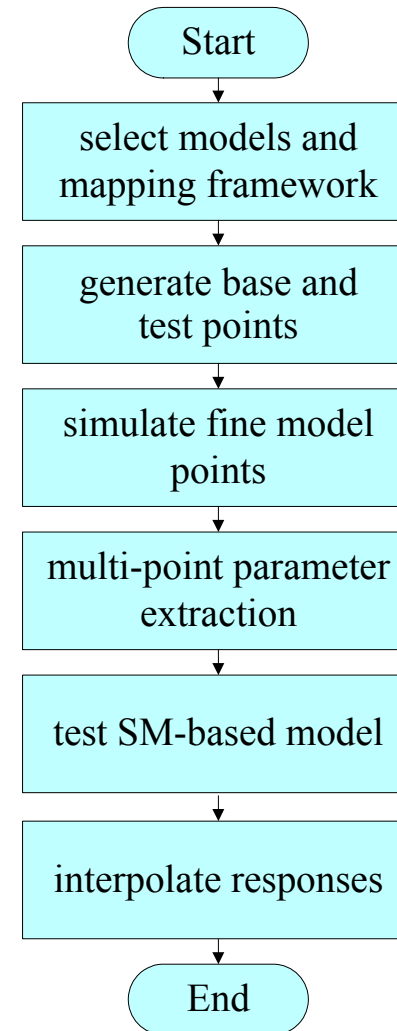
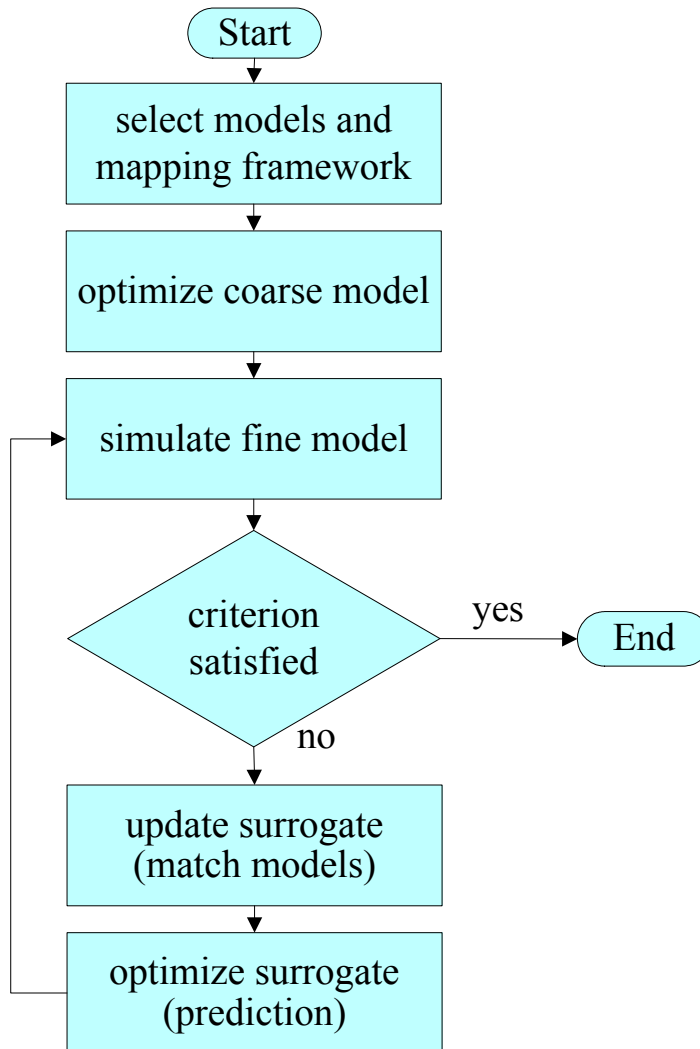
input **space mapping**: an engineering approach to find and cure the root-cause of a defect; but the parameter extraction step can be a difficult inverse optimization problem to solve w.r.t. the coarse model

tuning **space mapping** (new): simulator-based expert approach

but all types of **space mapping** can be viewed as special cases of implicit **space mapping**



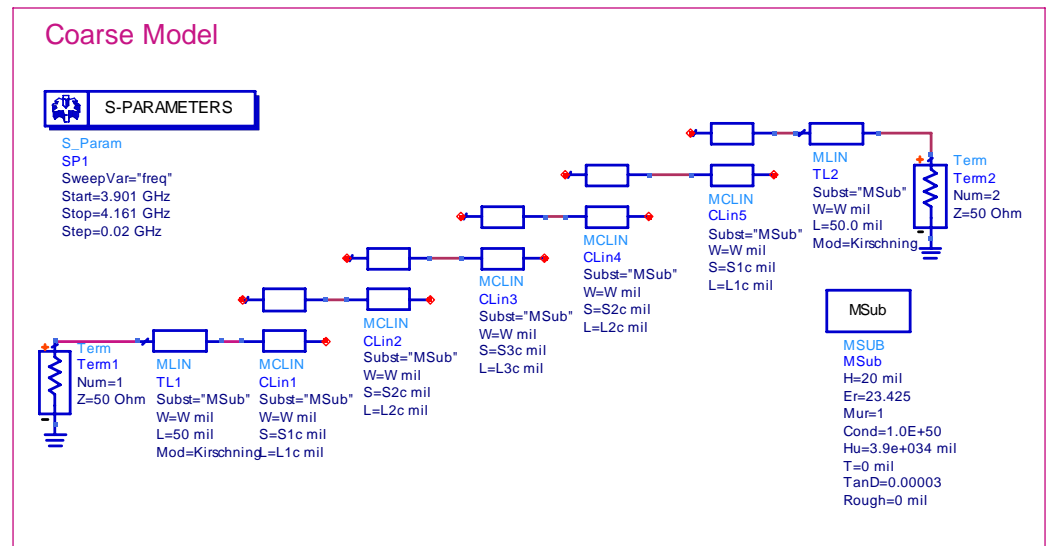
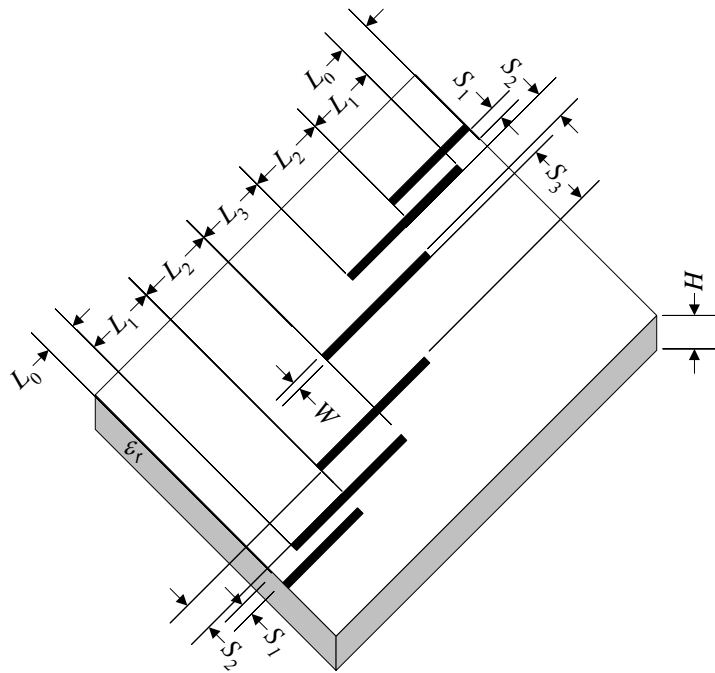
Space Mapping: (1) for Design Optimization, (2) for Modeling



High-Temperature Superconducting (HTS) Filter: Modeling + Optimization

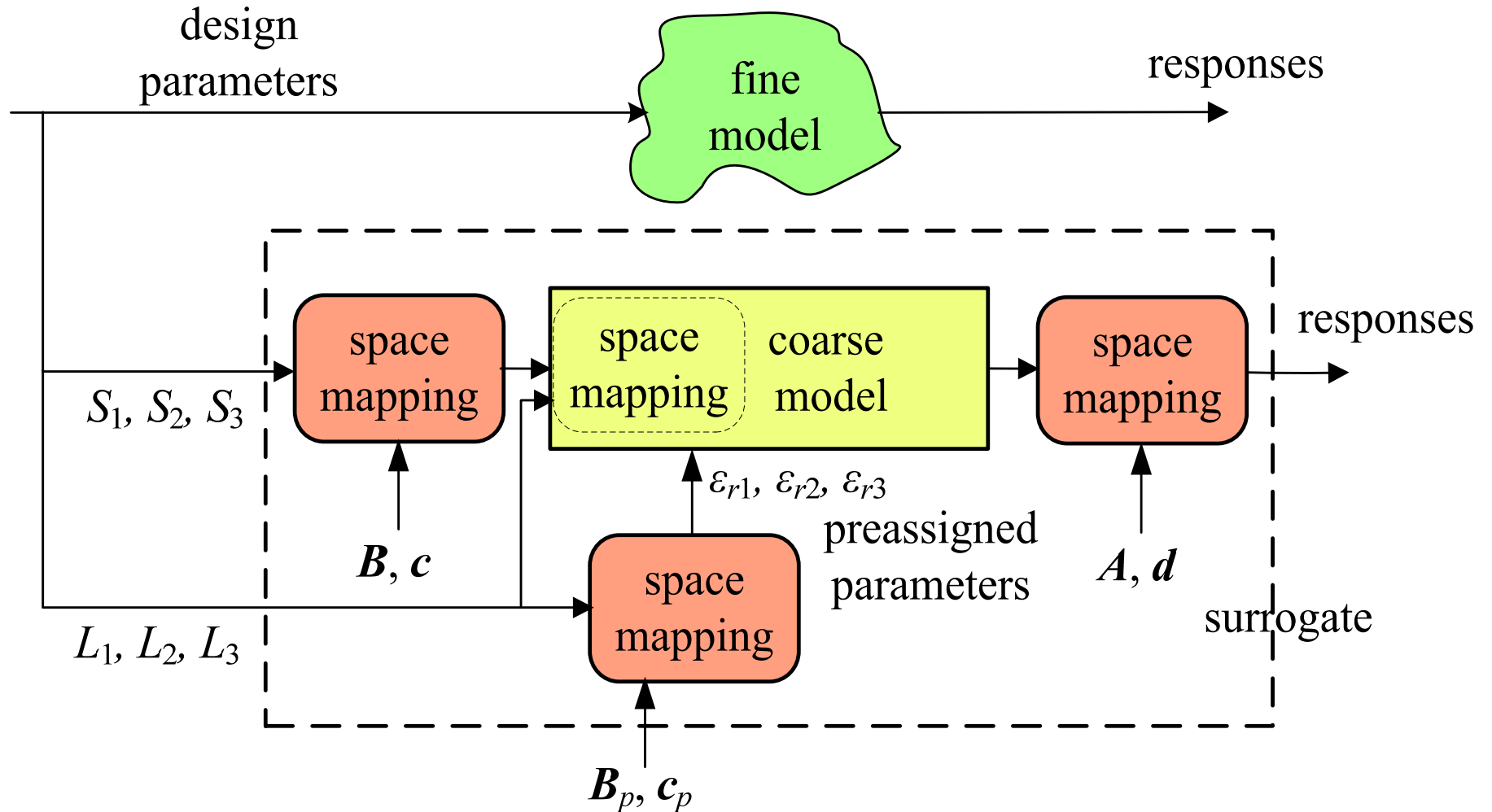
Sonnet *em* fine model
(Westinghouse, 1993)

Agilent ADS coarse model
(Bandler et al., 2004)



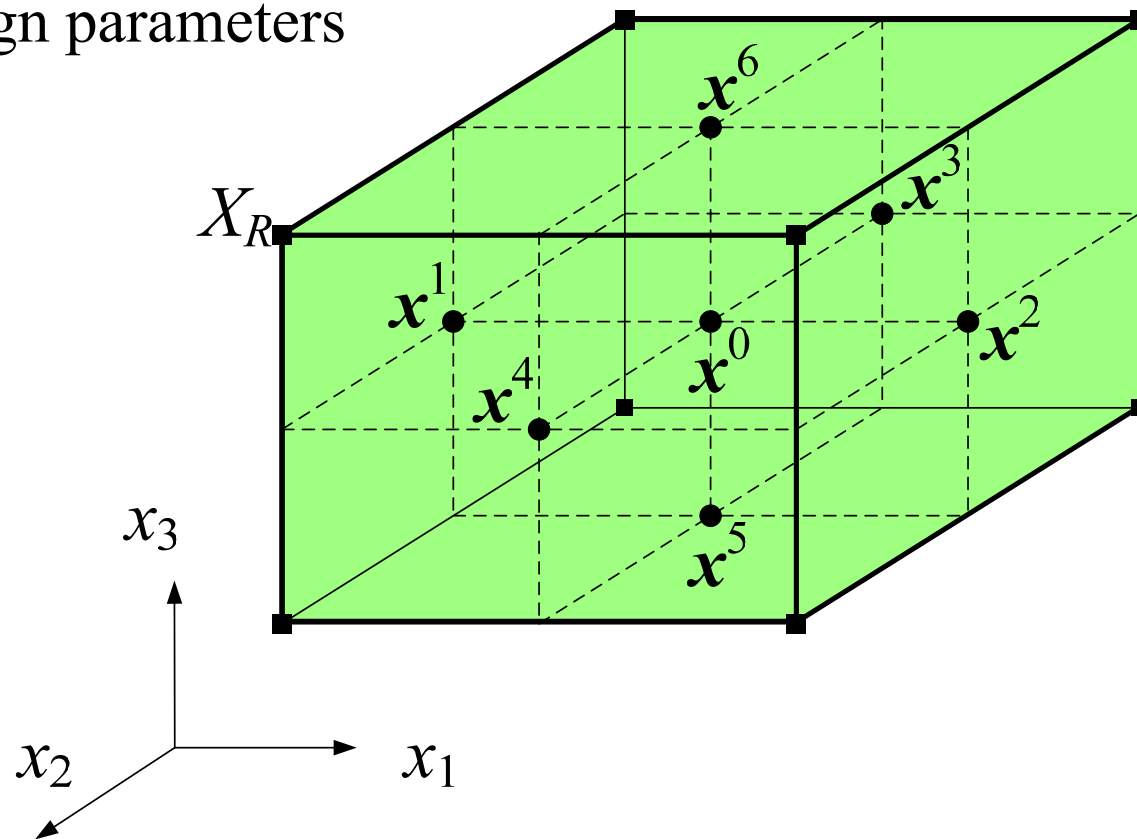
Implicit and Output **SM** Modeling, with Input **SM**: HTS Filter

(Cheng and Bandler, 2006)



More Base Points for **Space-Mapping**-based Modeling (Bandler et al., 2001)

2^n more base points located at the corner of the region of interest with n design parameters



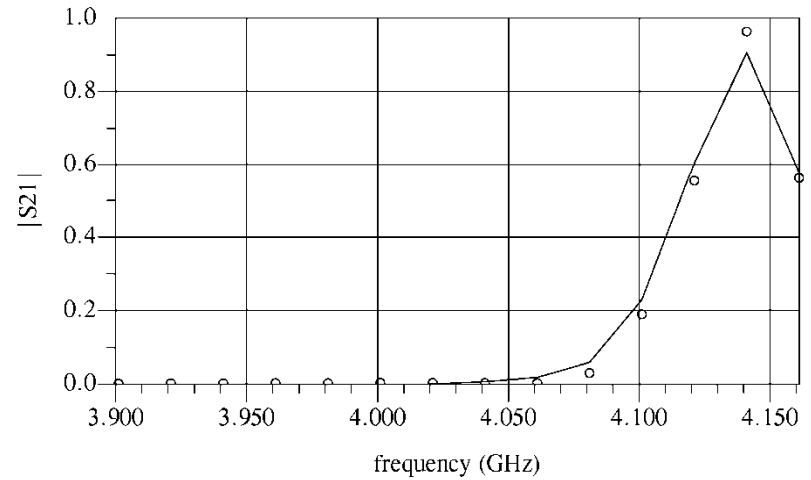
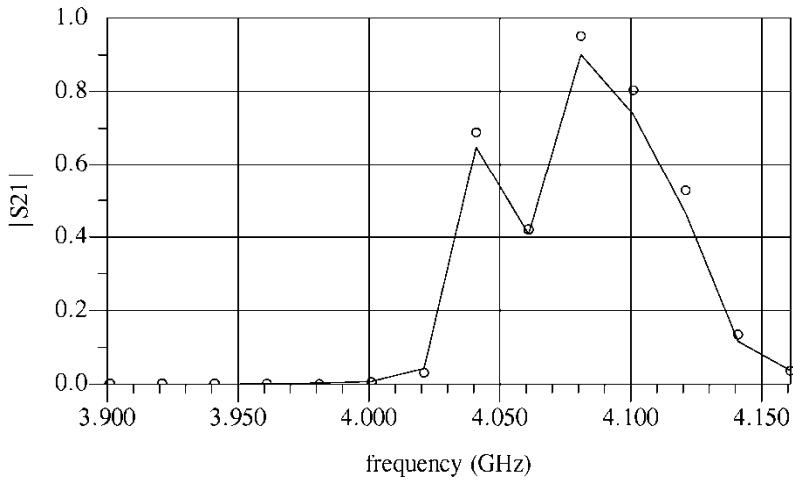
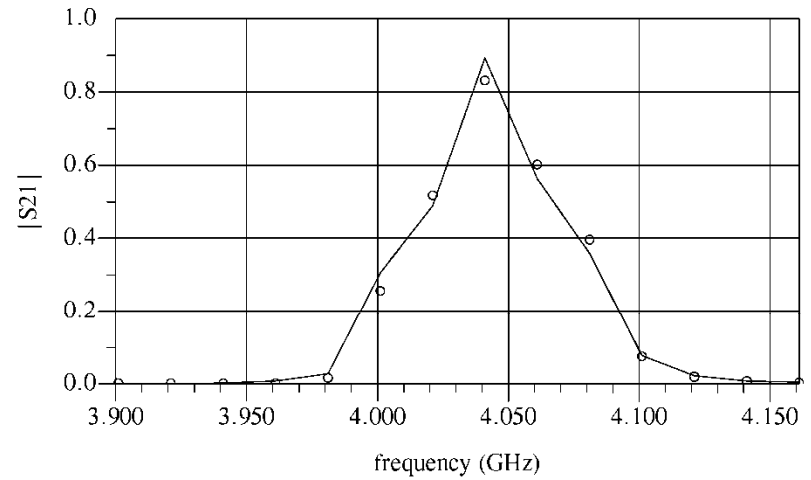
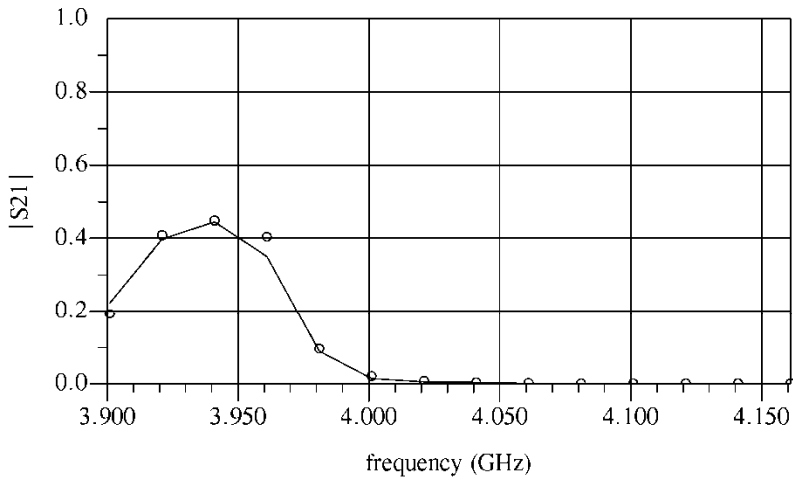
HTS Filter: Modeling Region of Interest

(Cheng and Bandler, 2006)

parameters	reference point (\mathbf{x}^0)	region 1 size (δ_1)	region 2 size (δ_2)	region 3 size (δ_3)	region 4 size (δ_4)	region 5 size (δ_5)
L_1	180	5	6	8	10	45
L_2	200	10	11	15	20	50
L_3	180	5	6	8	10	45
S_1	20	2	3	3	4	5
S_2	80	5	6	8	10	20
S_3	80	10	11	15	20	20



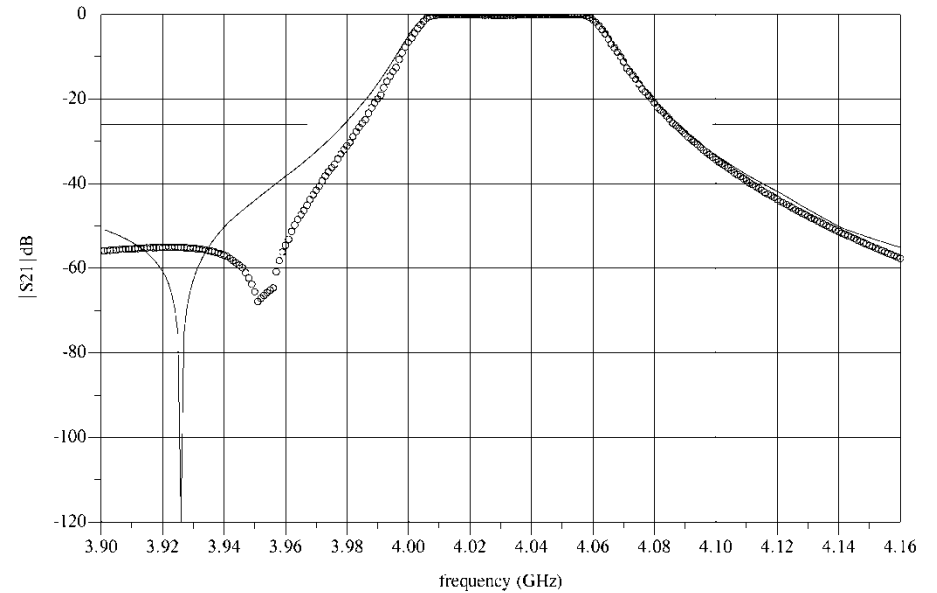
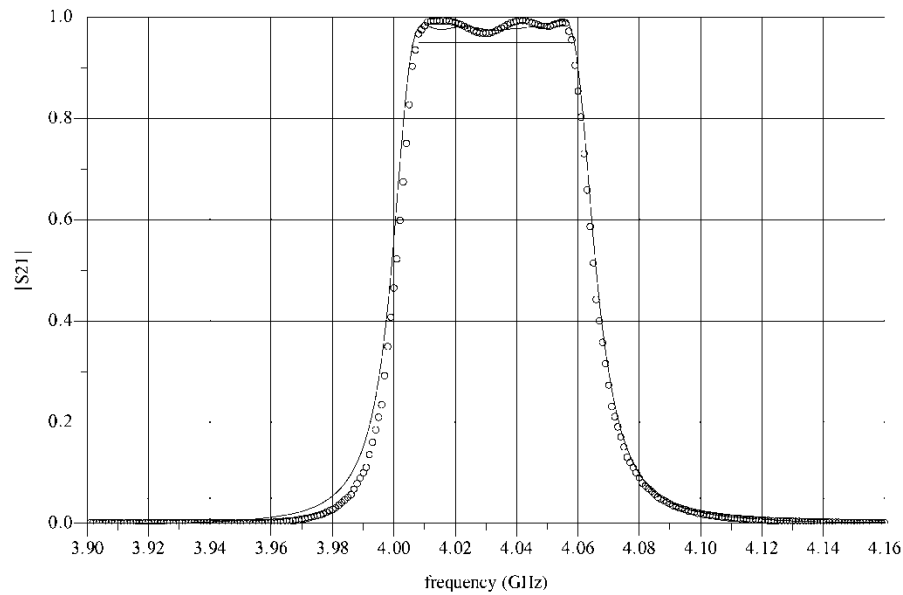
HTS Filter: Implicit **SM** Modeling Surrogate Test Region 2



fine model (○) R_s surrogate (—)



HTS Filter: Implicit **SM** Modeling + Surrogate Optimization (Cheng and Bandler, 2006)



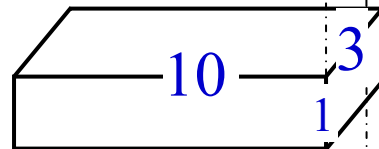
$$\mathbf{x}_f^* = [172 \ 207 \ 172 \ 20 \ 90 \ 84]^T$$



Implicit **Space Mapping** Practice—Cheese-Cutting Problem

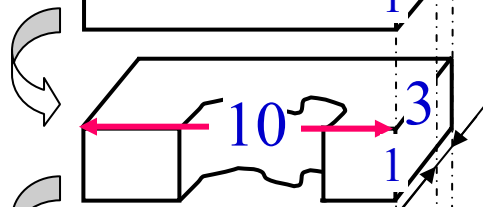
(Bandler et al., 2004)

optimal coarse brick



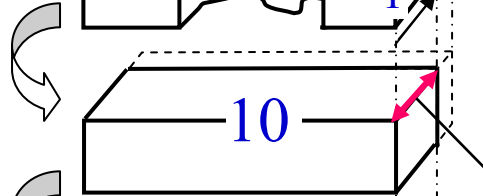
target volume = 30

initial guess



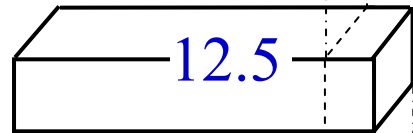
volume = 24

PE



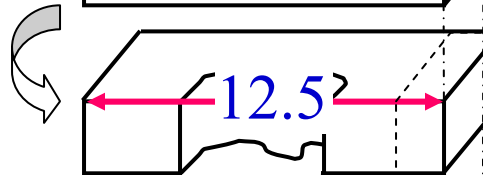
volume = 24

prediction



target volume = 30

verification

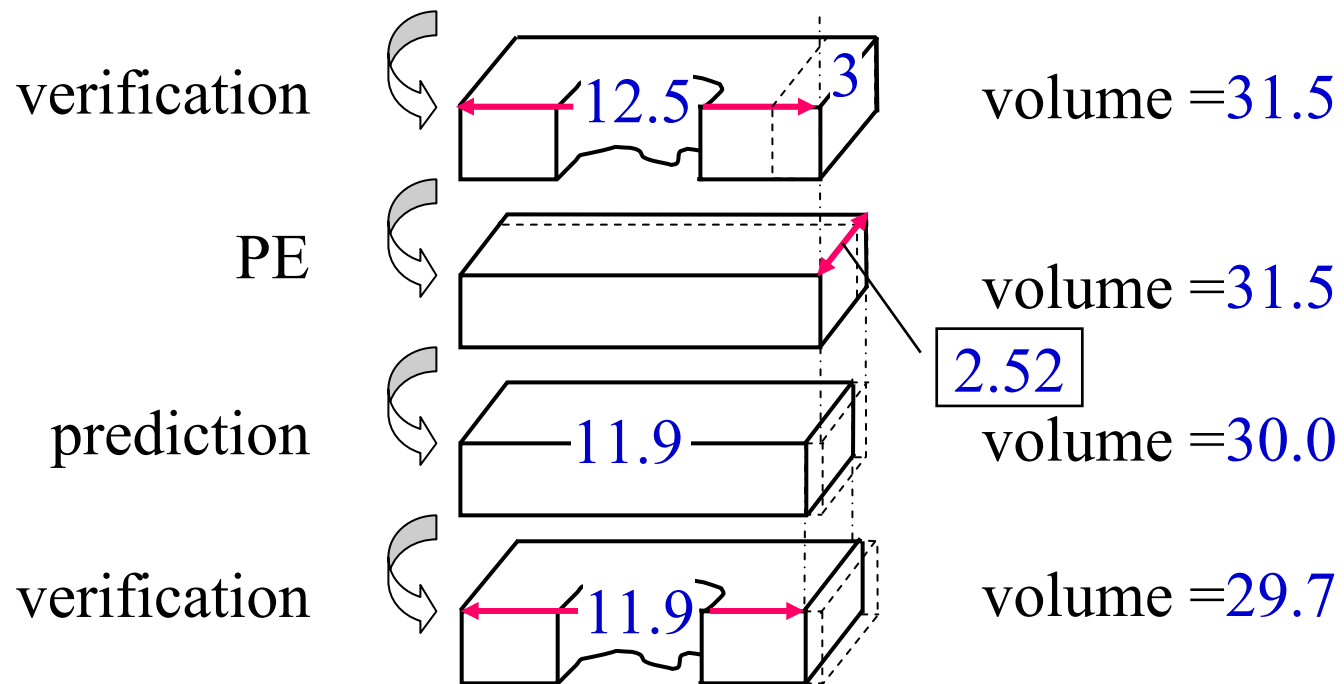


volume = 31.5

the “coarse” brick is idealized, the algorithm is non-expert



Implicit **Space Mapping** Practice—Cheese-Cutting Problem *(Bandler et al., 2004)*



$$\begin{aligned} & \vdots \\ & \bullet \quad \text{error} = (30 - 29.7) / 30 \times 100\% \\ & \quad \quad = 1\% \end{aligned}$$



Implicit **Space Mapping** Optimization (*Cheng et al., 2008*)

fine model optimal solution

$$\mathbf{x}^* = \arg \min_{\mathbf{x}} U(\mathbf{R}_f(\mathbf{x}))$$

surrogate optimization

$$\mathbf{x}^{k+1} = \arg \min_{\mathbf{x}} U(\mathbf{R}_c(\mathbf{x}, \mathbf{p}^k))$$

parameter extraction

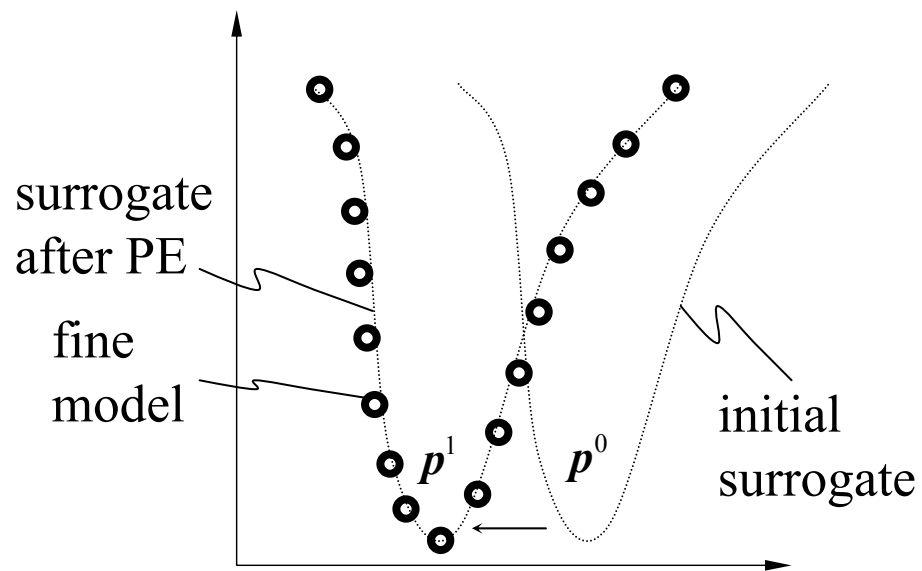
$$\mathbf{p}^k = \arg \min_{\mathbf{p}} \|\mathbf{R}_f(\mathbf{x}^k) - \mathbf{R}_c(\mathbf{x}^k, \mathbf{p})\|$$



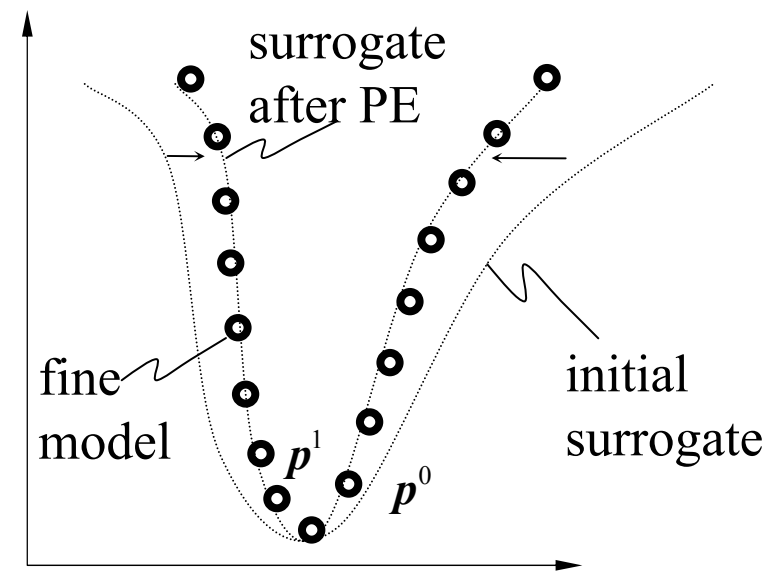
Implicit **Space Mapping** Parameter Extraction

(Cheng et al., 2008)

matching the initial surrogate (coarse model) to the fine model



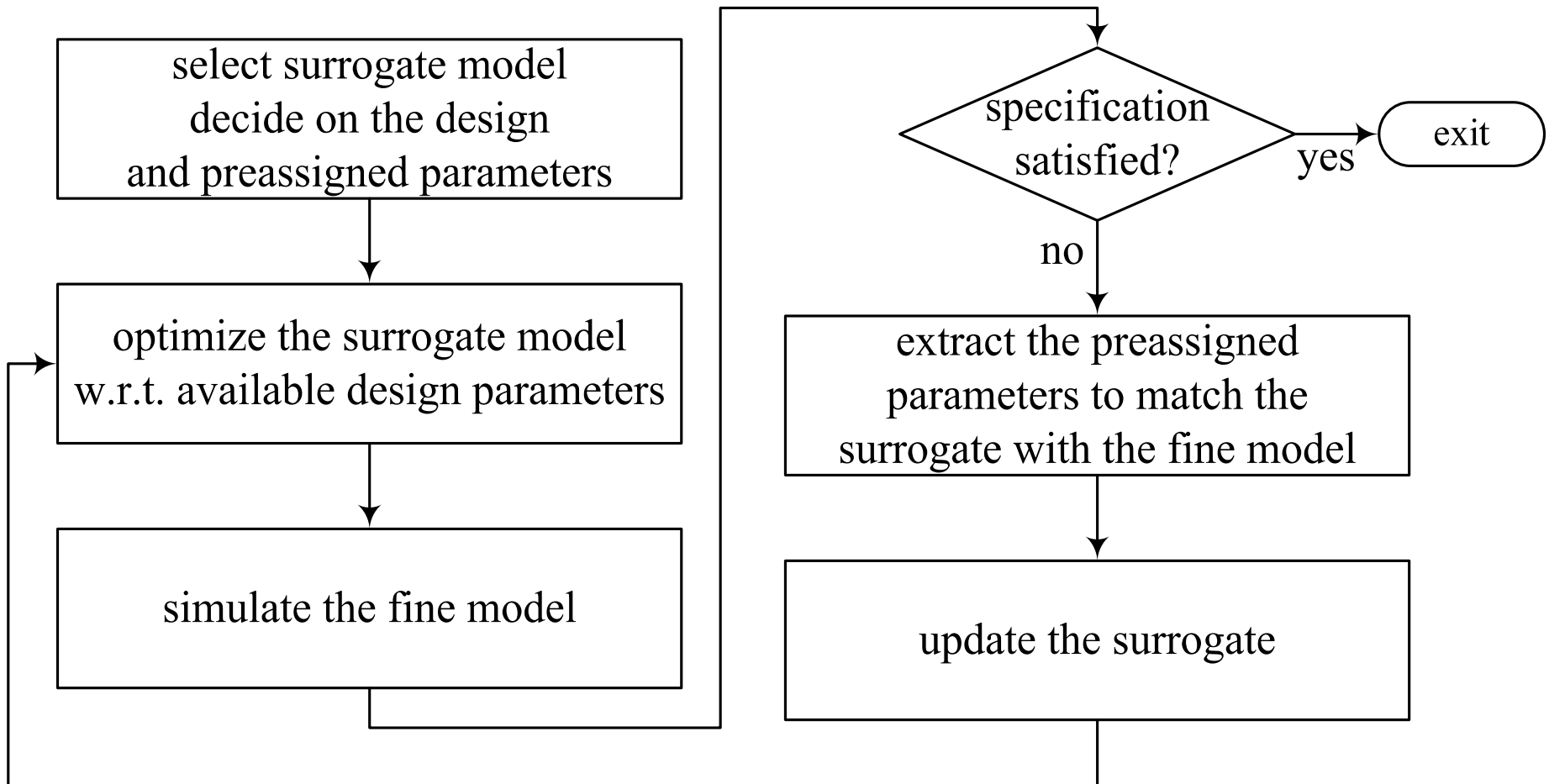
surrogate shifted



surrogate scaled

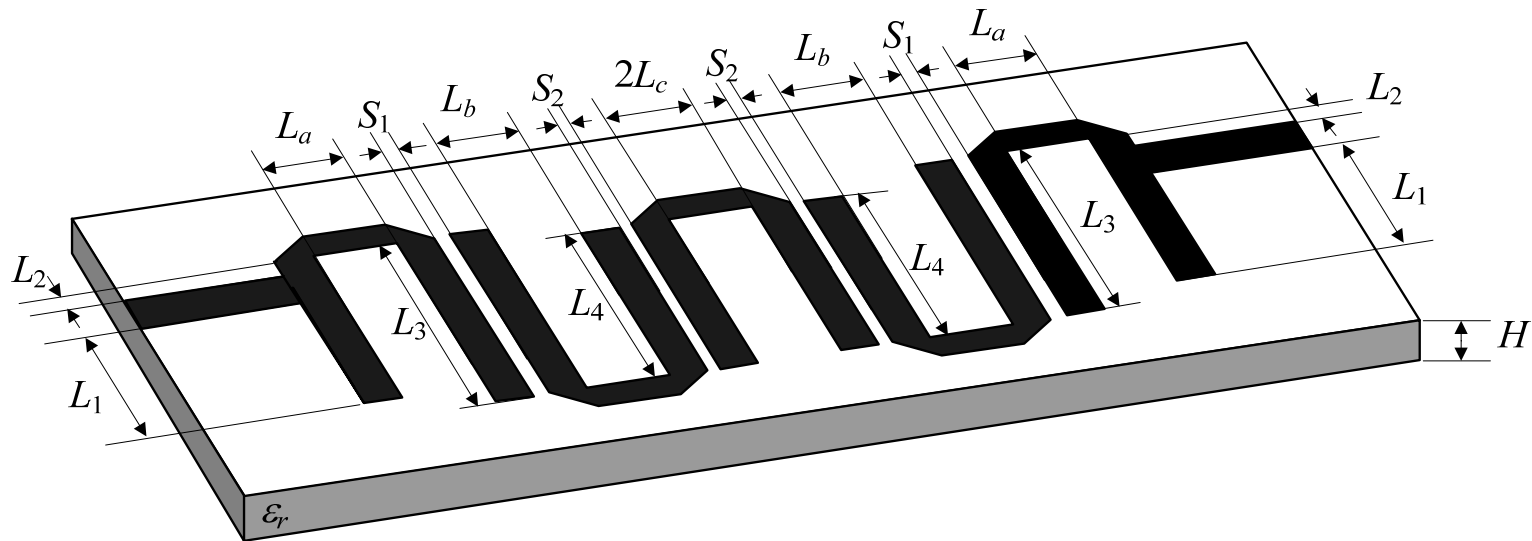


Implicit **Space Mapping** Flowchart (*Cheng et al., 2008*)



Microstrip Hairpin Filter: Implicit **SM** (Cheng et al., 2008)

filter structure (Brady, 2002)



specification

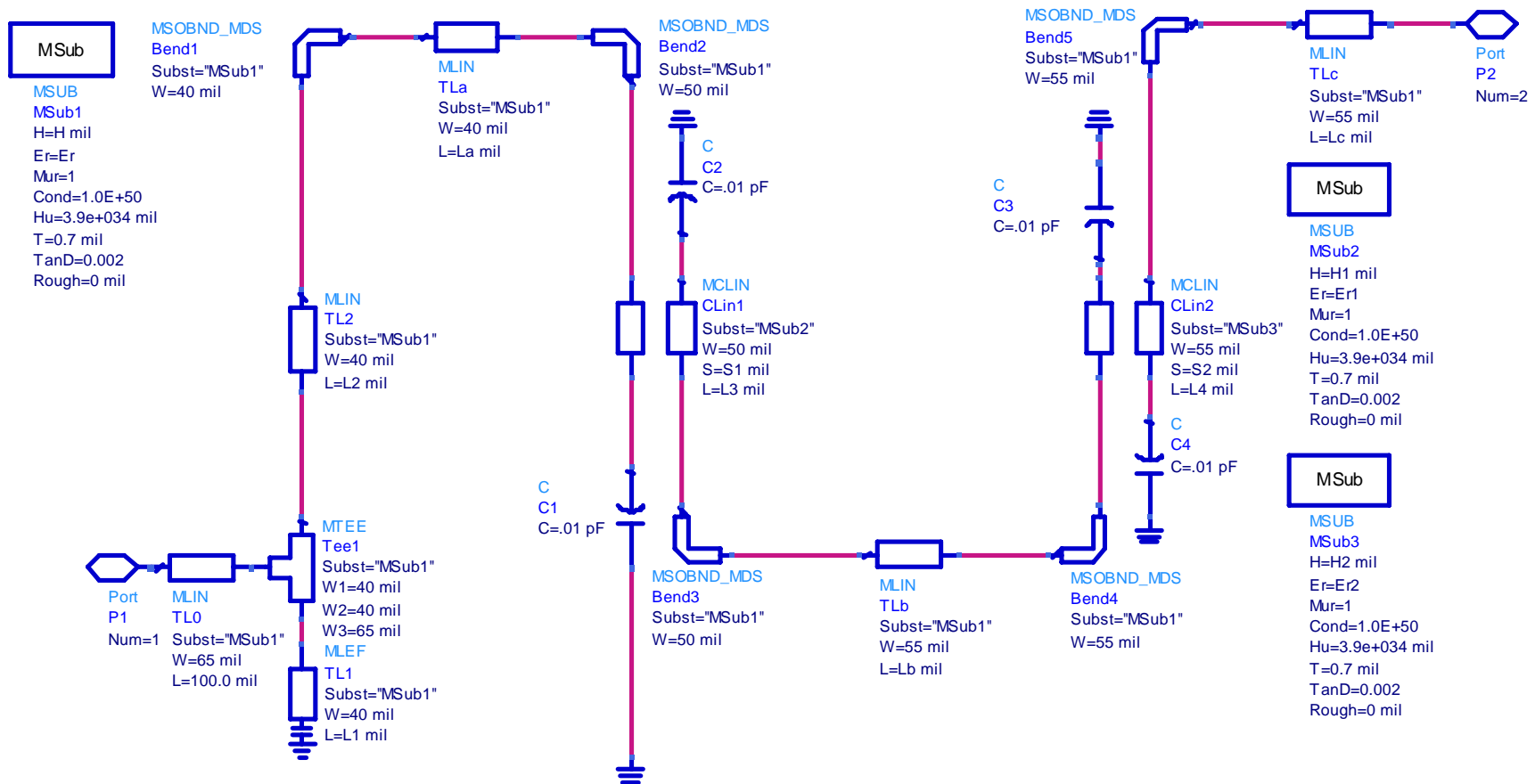
$$|S_{11}| < -16 \text{ dB for } 3.7 \text{ GHz} \leq \omega \leq 4.2 \text{ GHz}$$

$$|S_{21}| < -28 \text{ dB for } \omega \leq 3.2 \text{ GHz and } \omega \geq 4.7 \text{ GHz.}$$



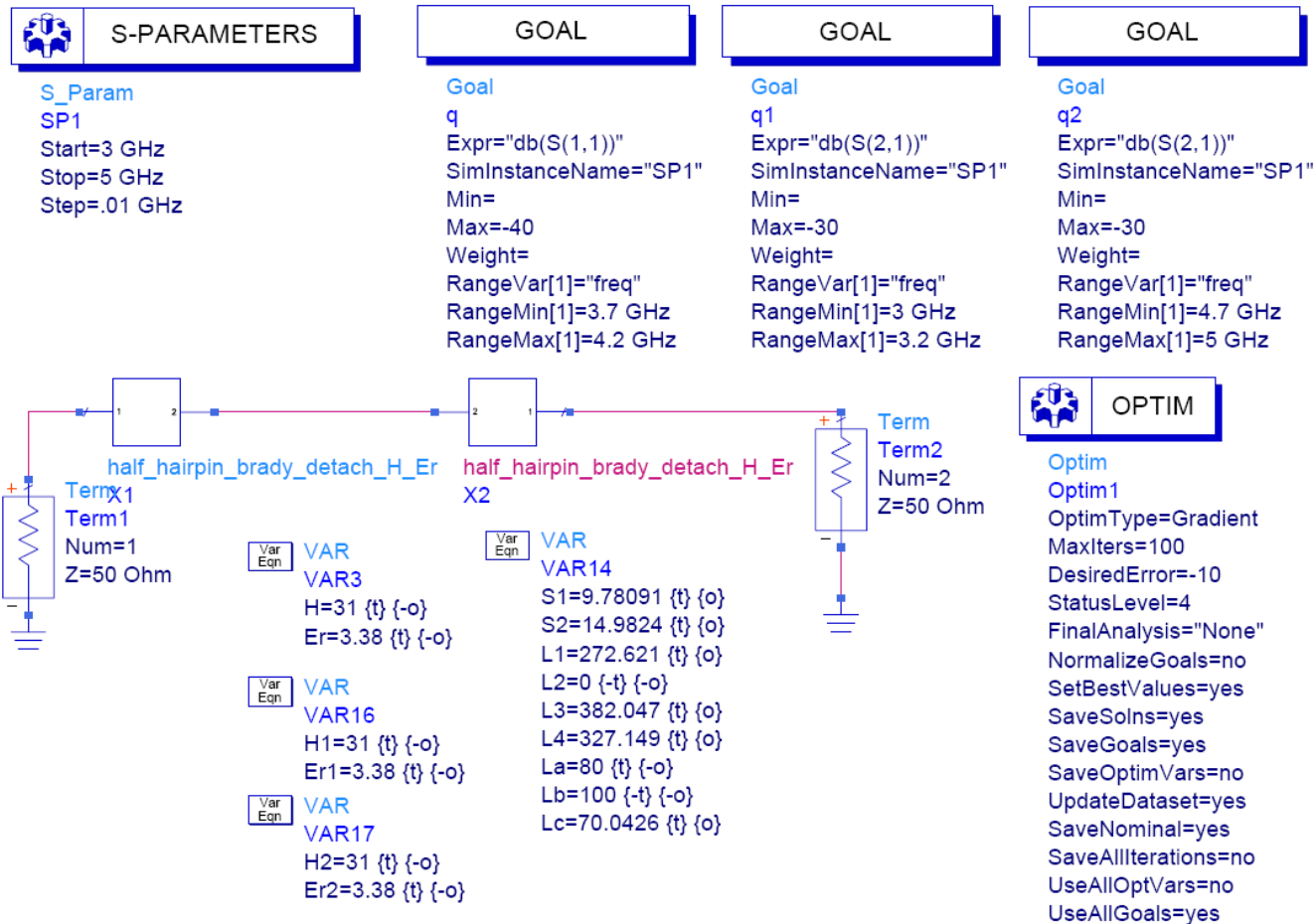
Microstrip Hairpin Filter: Implicit **SM** (Cheng et al., 2008)

coarse model (“half” implementation, Brady, 2002)



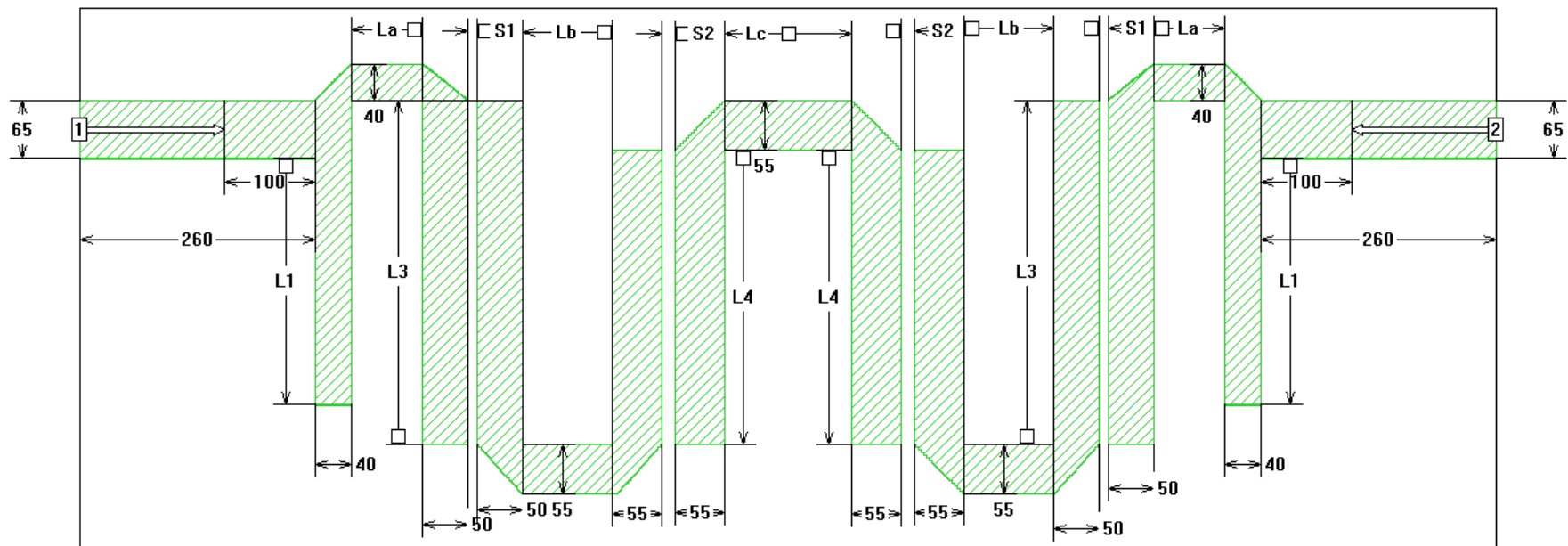
Microstrip Hairpin Filter: Implicit **SM** (Cheng et al., 2008)

coarse model optimization



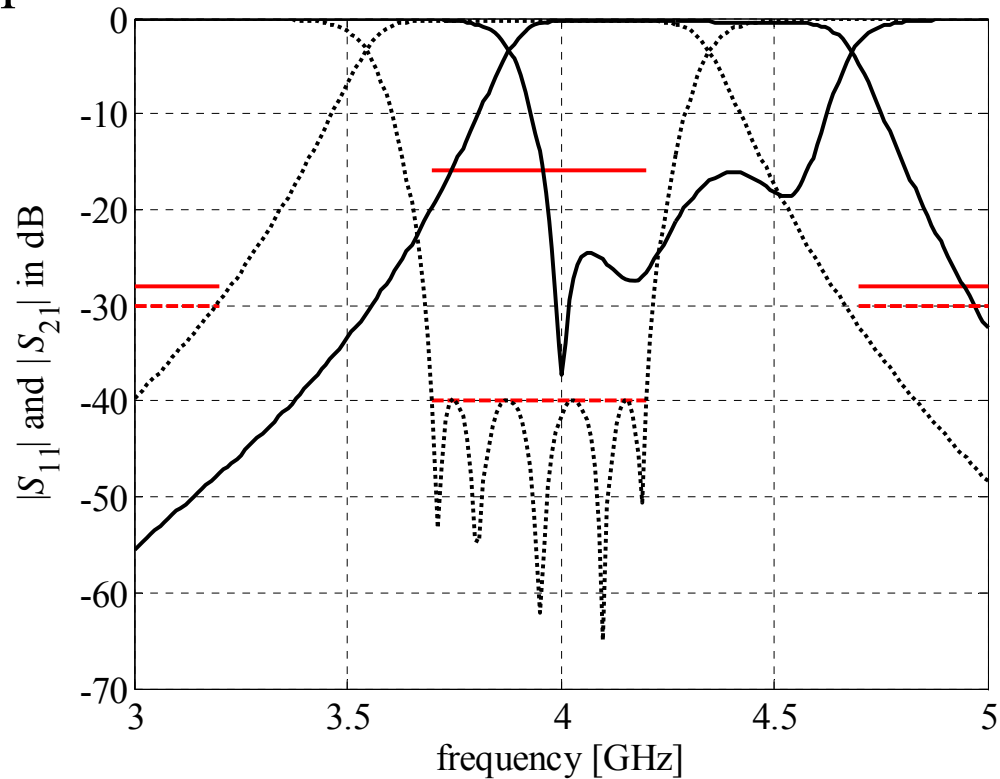
Microstrip Hairpin Filter: Implicit **SM** (*Cheng et al., 2008*)

fine model in Sonnet *em*



Microstrip Hairpin Filter: Implicit **SM** (*Cheng et al., 2008*)

initial design



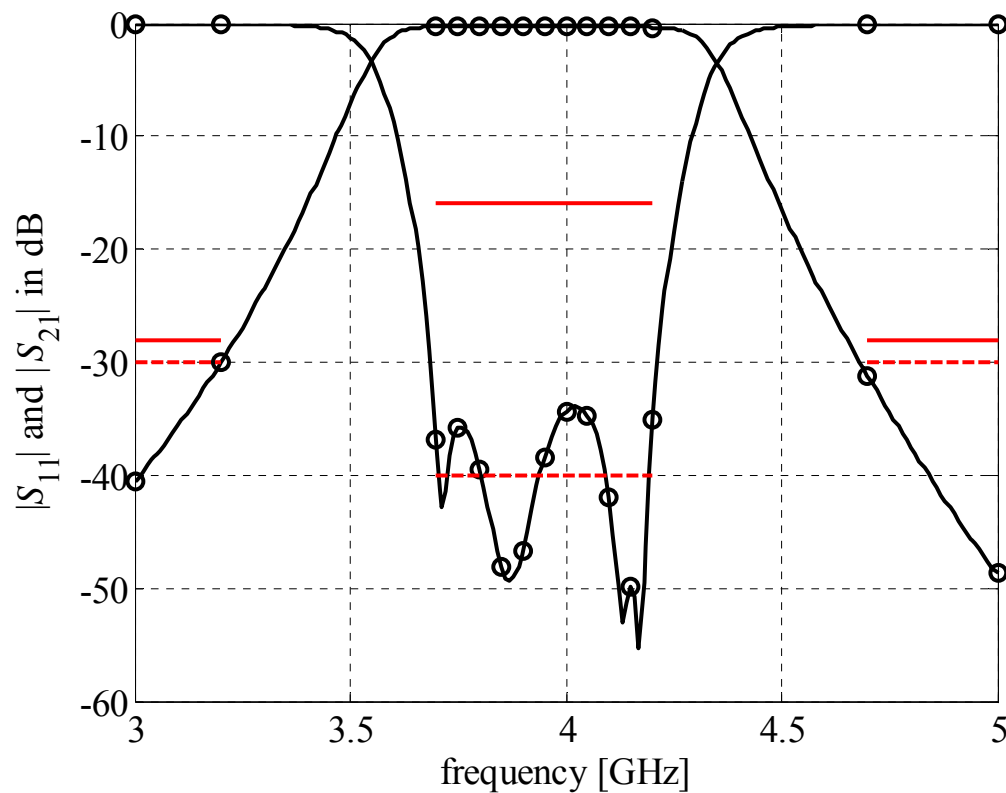
coarse model optimal (····) and fine model initial (—)

desired specification (—) and the tightened specification (---)



Microstrip Hairpin Filter: Implicit **SM** (*Cheng et al., 2008*)

fine model responses after 3 implicit SM iterations

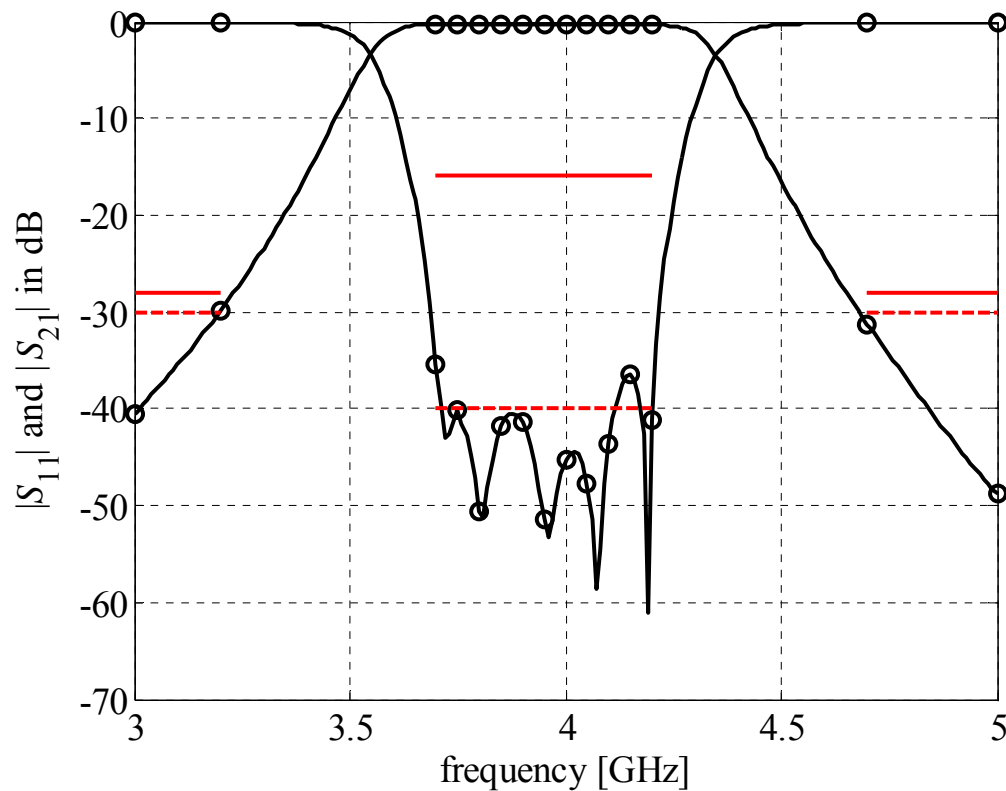


desired specification (—) and the tightened specification (---)



Microstrip Hairpin Filter: Implicit **SM** (*Cheng et al., 2008*)

fine model after 3 implicit SM iterations and one output SM



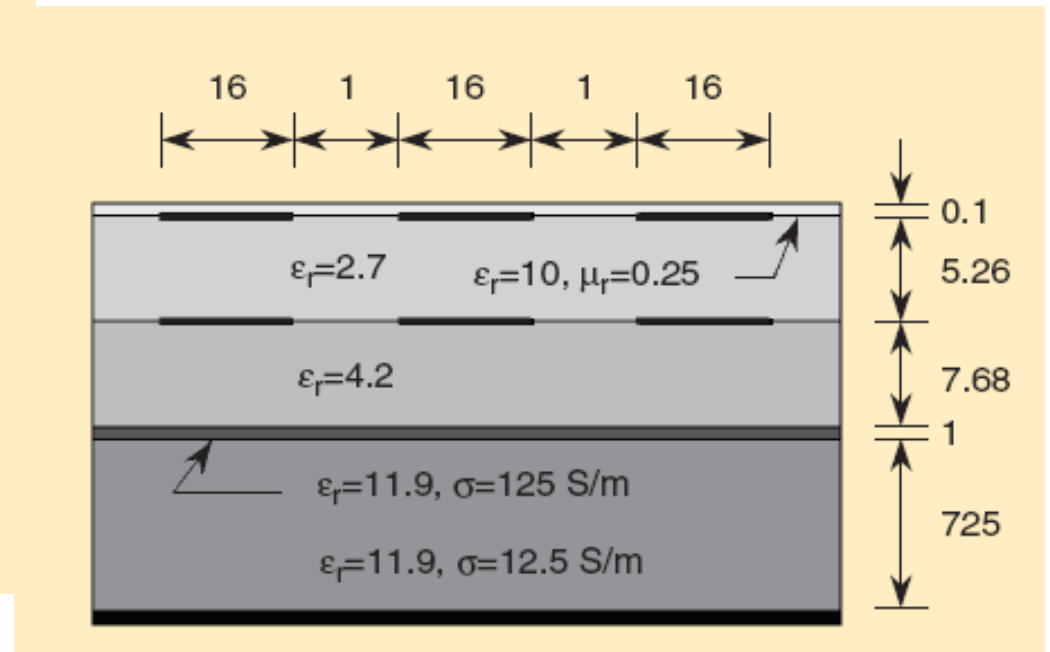
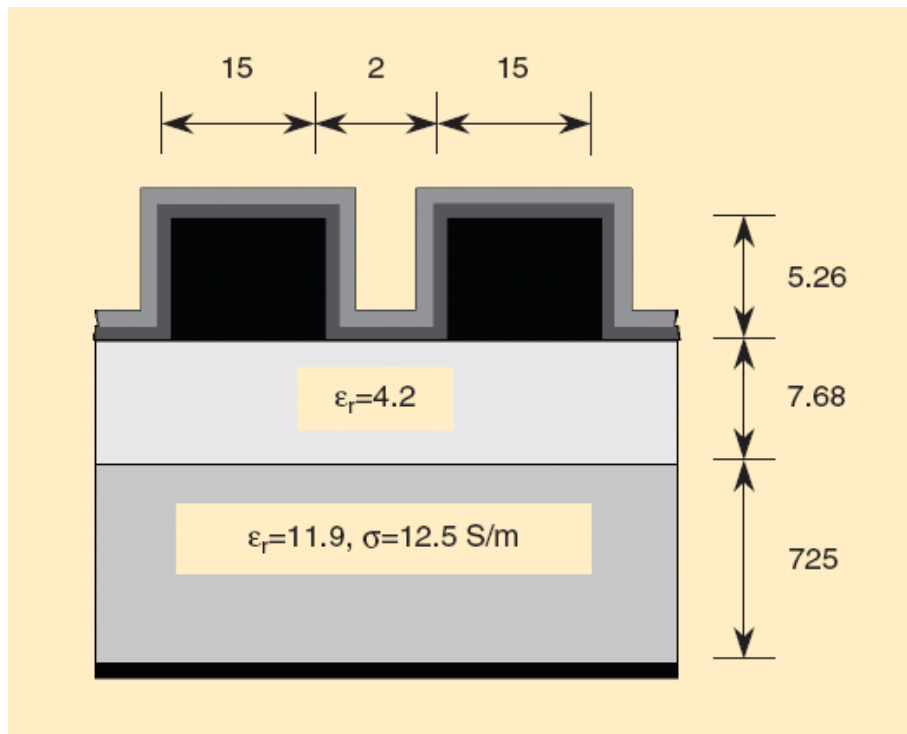
desired specification (—) and the tightened specification (---)



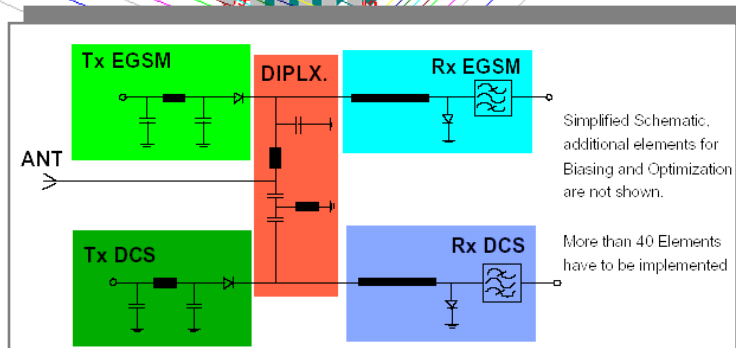
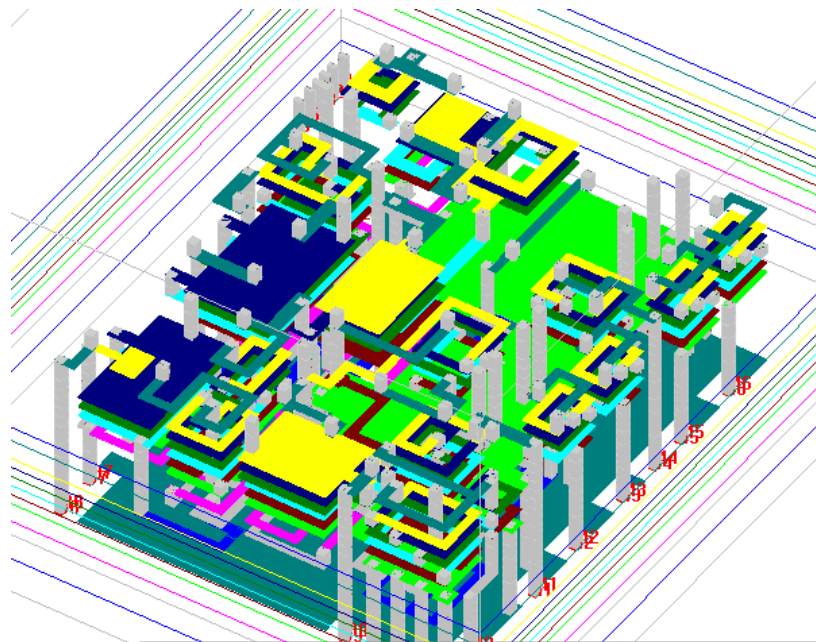
Implicit **Space Mapping** Design of Thick, Tightly Coupled Conductors (Rautio, 2004, Sonnet Software)

thick, closely spaced conductors
on silicon (fine model)

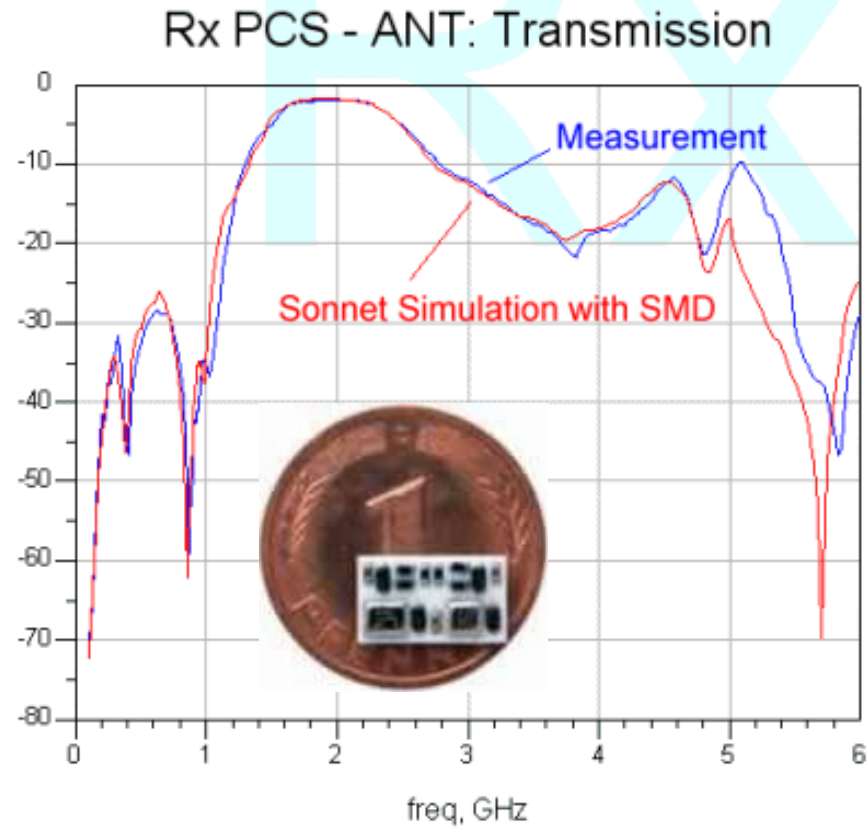
“space-mapping” (top) layer
(coarse model)



EPCOS LTCC/Feb 04 (*Rautio, 2006, Sonnet Software*)



dBm
dB(i)



(courtesy Rautio, 2006)



SMF: A User-friendly Space Mapping Software Engine (Bandler Corp., 2006, Koziel and Bandler, 2007)



SMF: for **SM**-based constrained optimization,
modeling and statistical analysis

to make **space mapping** accessible to engineers
inexperienced in the art

to incorporate existing **space mapping** approaches in one package

implementation: a GUI based Matlab package

simulators sockets: Agilent ADS, Sonnet *em*,
FEKO, MEFiSTo, Ansoft Maxwell,
Ansoft HFSS



SMF Uses a General **Space Mapping** Surrogate Model

surrogate model $R_s^{(i)}$ at iteration i

$$R_s^{(i)}(\mathbf{x}) = A^{(i)} \cdot R_c(B^{(i)} \cdot \mathbf{x} + \mathbf{c}^{(i)}, G^{(i)} \cdot \mathbf{x} + \mathbf{x}_p^{(i)}) + \mathbf{d}^{(i)} + E^{(i)} \cdot (\mathbf{x} - \mathbf{x}^{(i)})$$

where $A^{(i)}$, $B^{(i)}$, $\mathbf{c}^{(i)}$, $\mathbf{x}_p^{(i)}$ and $G^{(i)}$ are determined using parameter extraction

$$(A^{(i)}, B^{(i)}, \mathbf{c}^{(i)}, \mathbf{x}_p^{(i)}, G^{(i)}) = \arg \min_{(A, B, \mathbf{c}, \mathbf{x}_p, G)} \sum_{k=0}^i w_k \| R_f(\mathbf{x}^{(k)}) - A \cdot R_c(B \cdot \mathbf{x}^{(k)} + \mathbf{c}, G \cdot \mathbf{x}^{(k)} + \mathbf{x}_p) \| + \sum_{k=0}^i v_k \| J_{R_f}(\mathbf{x}^{(k)}) - J_{R_s}(B \cdot \mathbf{x}^{(k)} + \mathbf{c}, G \cdot \mathbf{x}^{(k)} + \mathbf{x}_p) \|^2$$

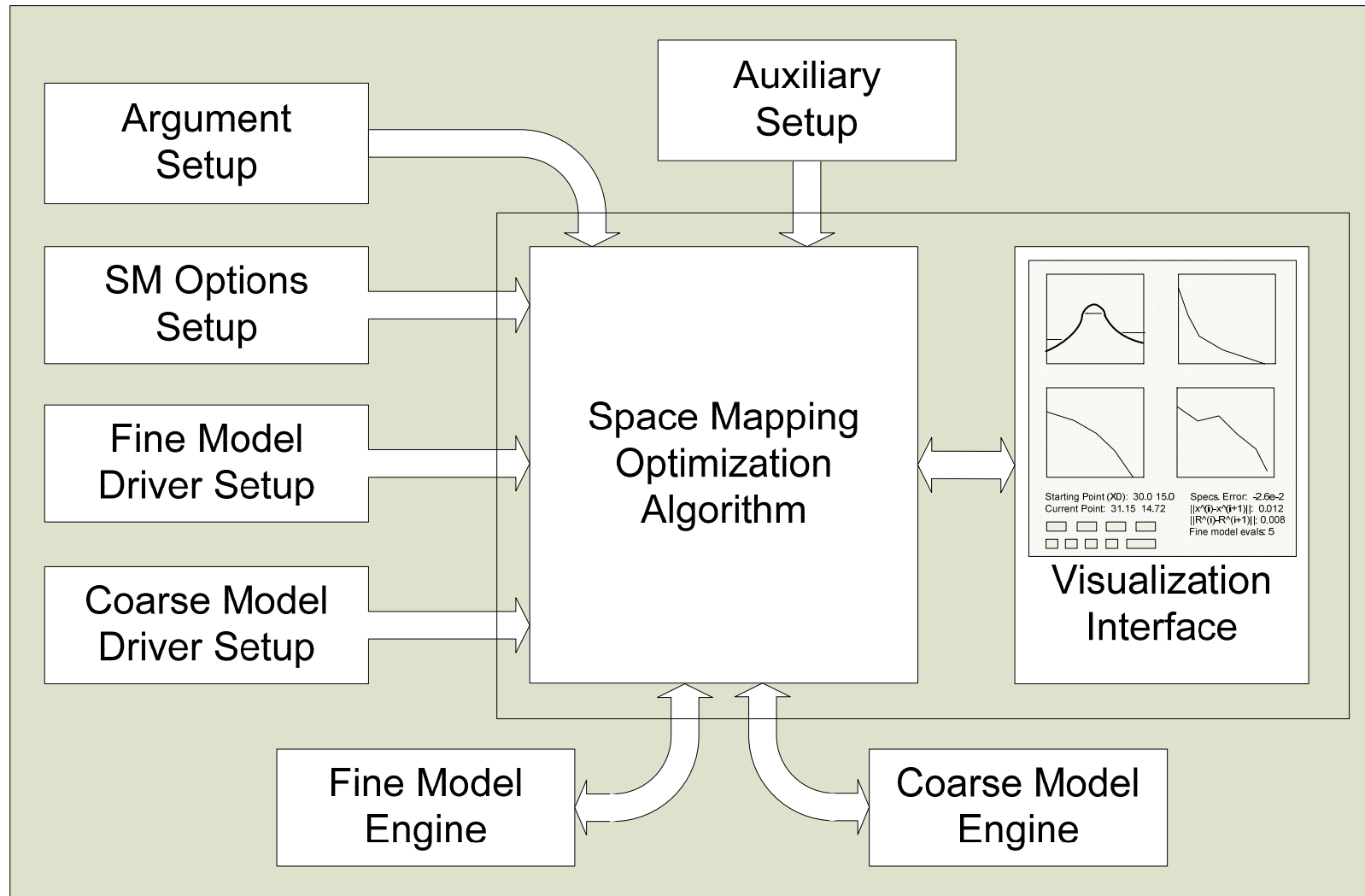
and

$$\mathbf{d}^{(i)} = R_f(\mathbf{x}^{(i)}) - A^{(i)} \cdot R_c(B^{(i)} \cdot \mathbf{x}^{(i)} + \mathbf{c}^{(i)}, G^{(i)} \cdot \mathbf{x}^{(i)} + \mathbf{x}_p^{(i)})$$

$$E^{(i)} = J_{R_f}(\mathbf{x}^{(i)}) - J_{R_s}(B^{(i)} \cdot \mathbf{x}^{(i)} + \mathbf{c}^{(i)}, G^{(i)} \cdot \mathbf{x}^{(i)} + \mathbf{x}_p^{(i)})$$



SMF: Optimization Flowchart (*Bandler Corp., 2006*)

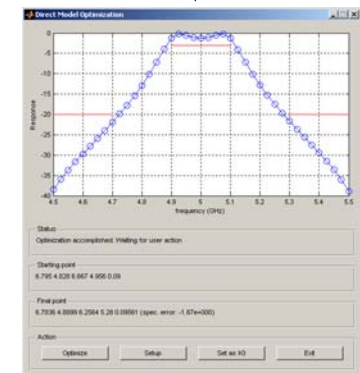
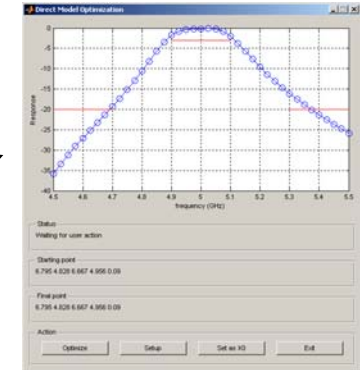
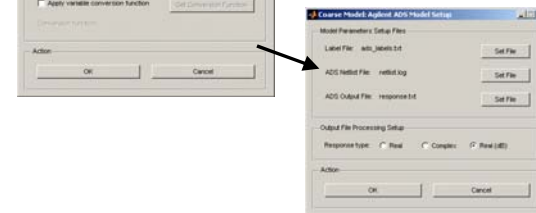
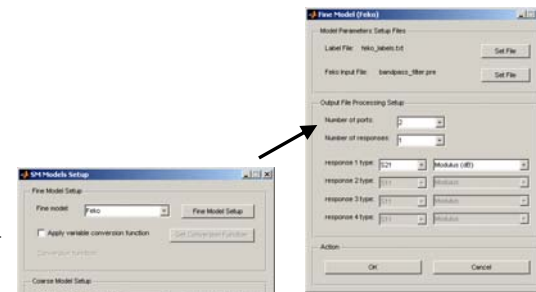
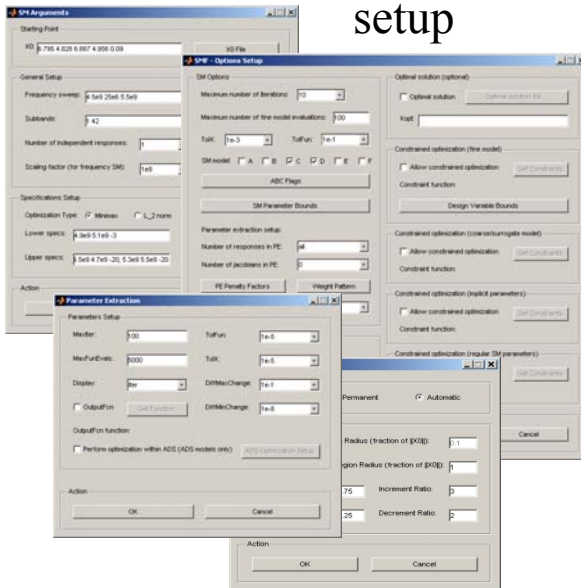


SMF: Optimization Flow

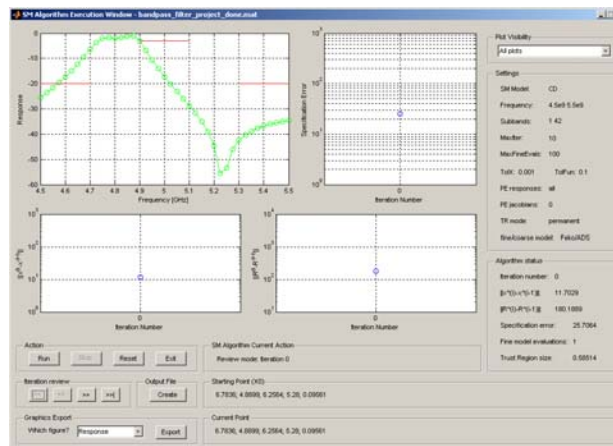
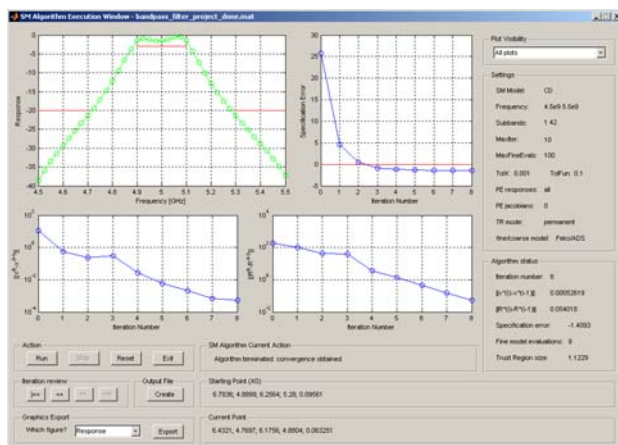
coarse model optimization

setup

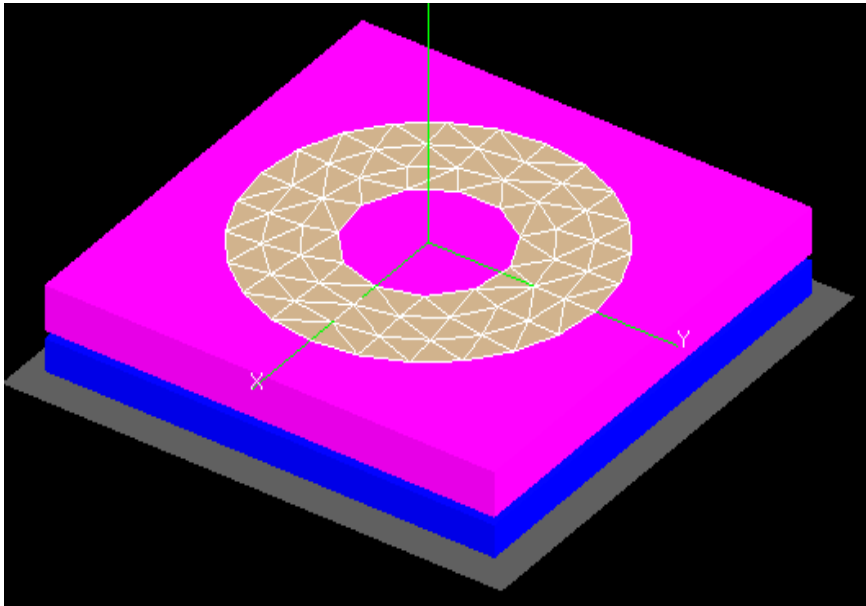
linking fine/coarse models



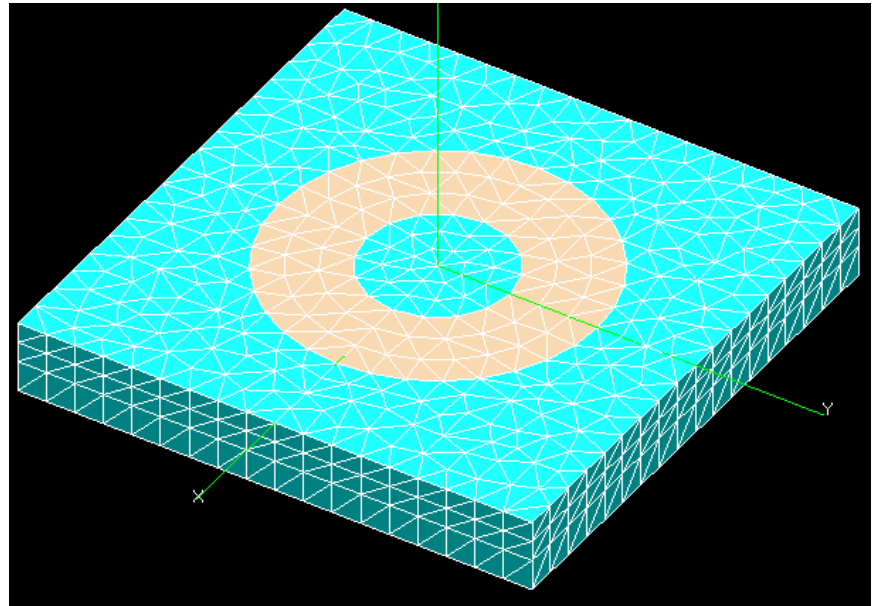
space mapping optimization



SMF Optimization of Probe-Fed Printed Double Annular Ring Antenna with Finite Ground (*Zhu et al., 2006*)



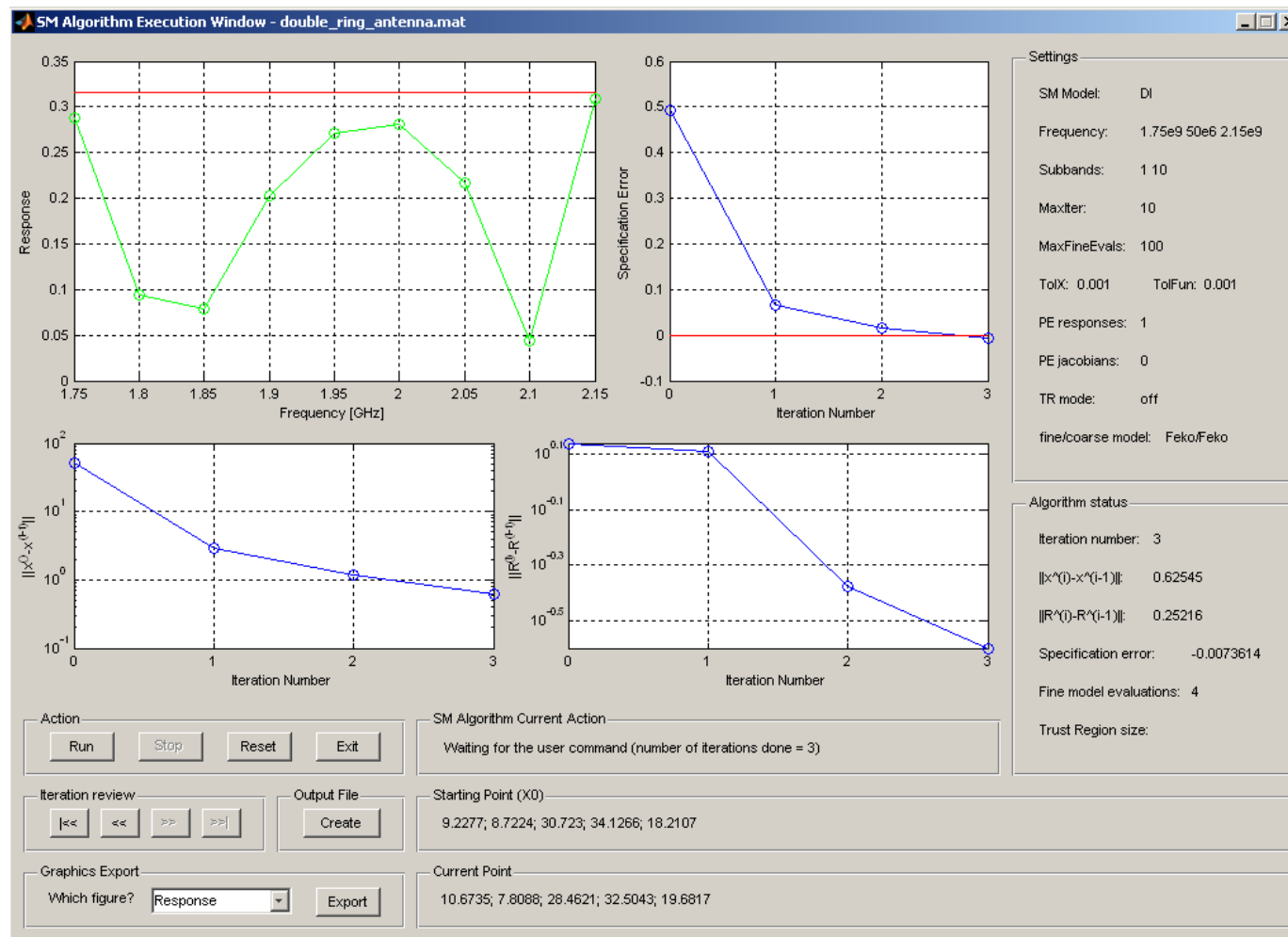
coarse model (FEKO)



fine model (FEKO)



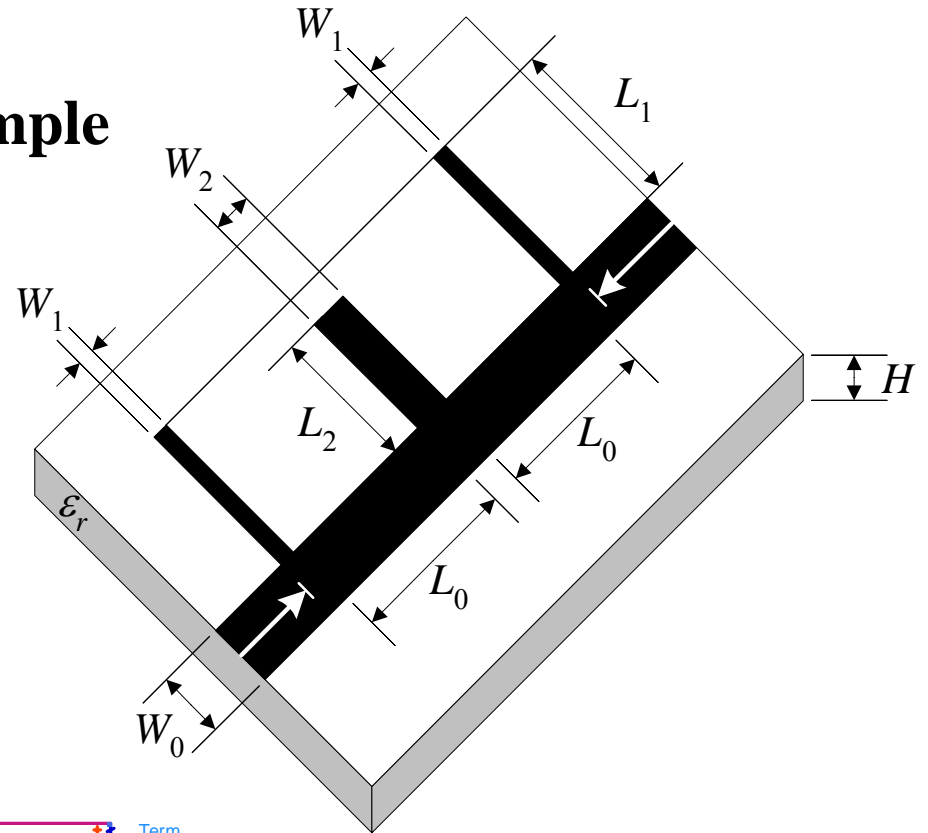
SMF Optimization of Probe-Fed Printed Double Annular Ring Antenna with Finite Ground (Zhu et al., 2006)



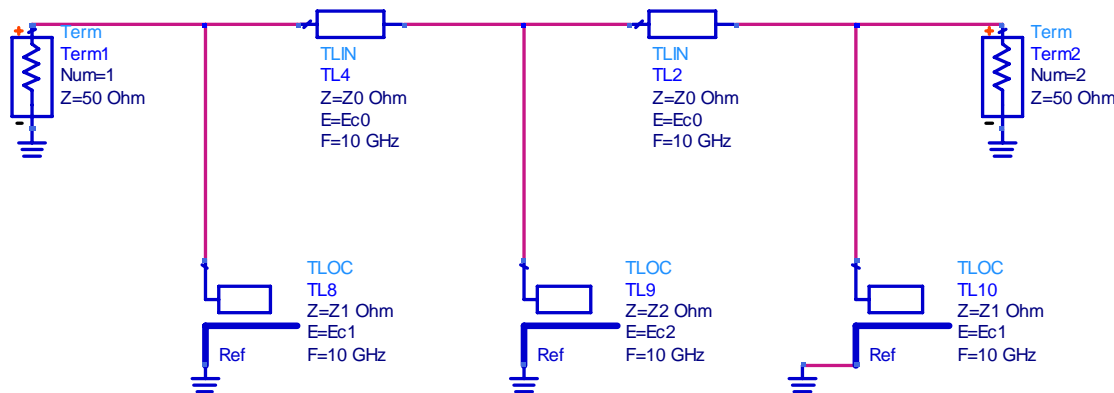
Bandstop Microstrip Filter Example

(Bandler et al., 2000)

fine model (Sonnet *em*)



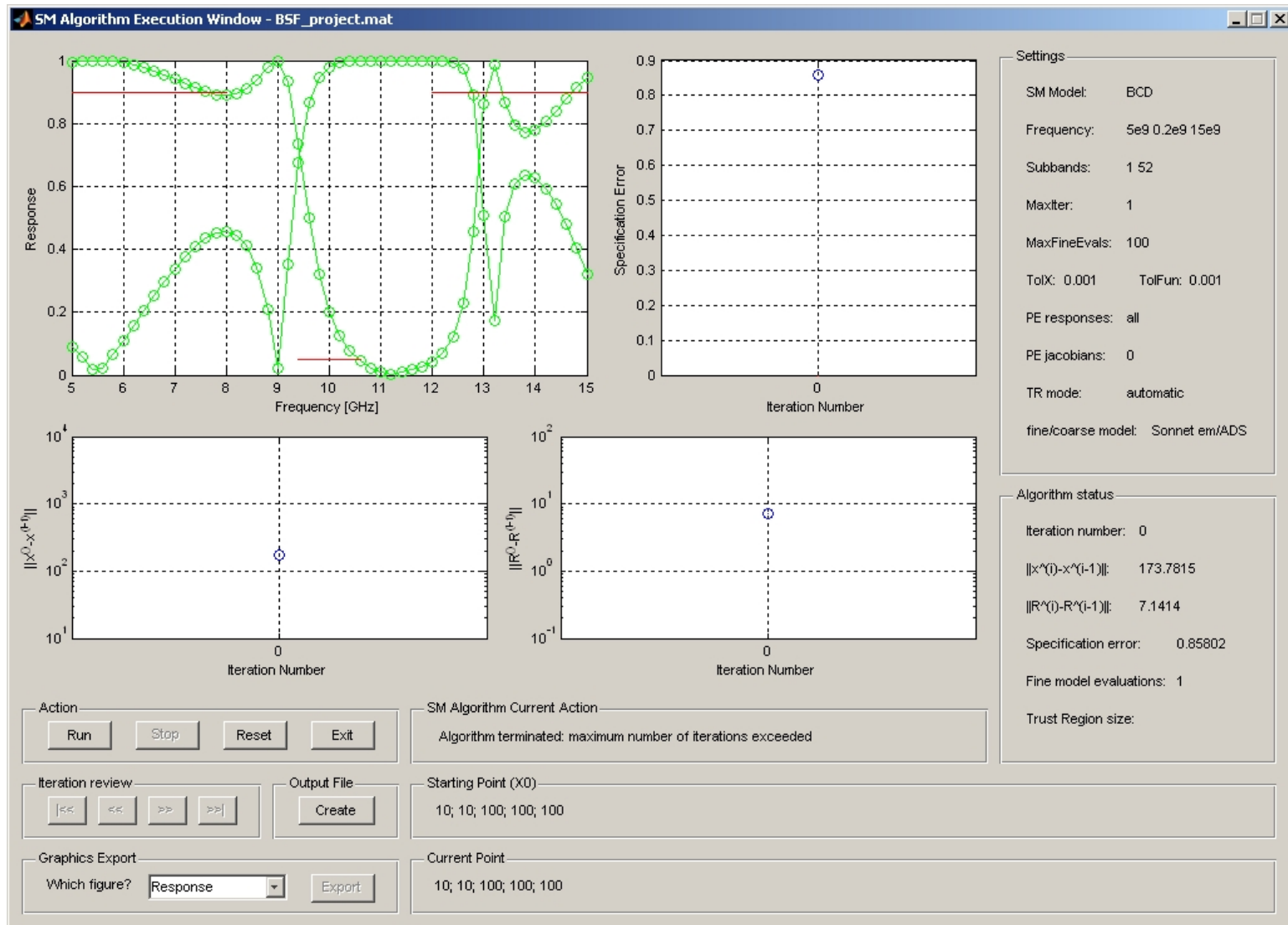
coarse model (Agilent ADS)



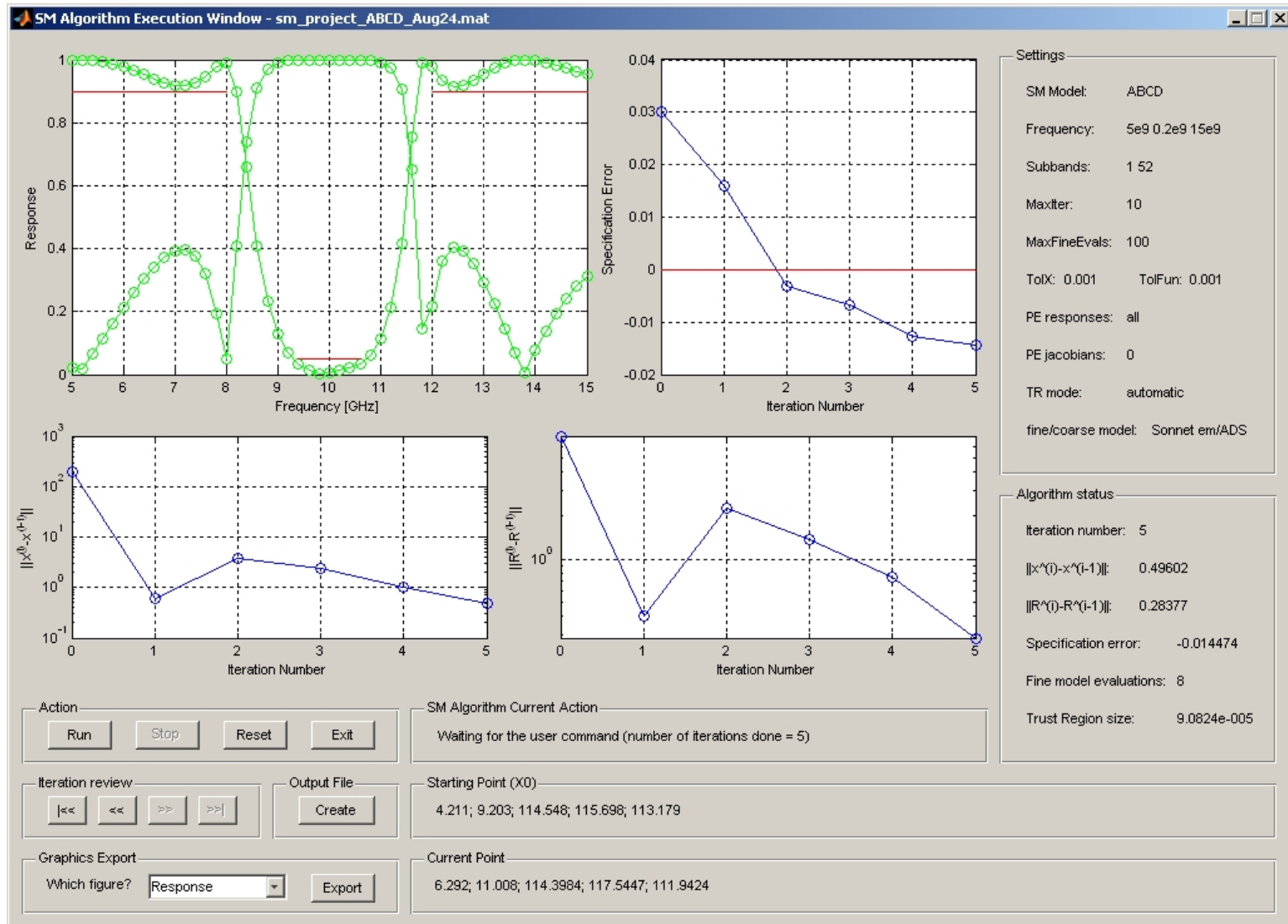
design specs: $|S_{21}| \leq 0.05$ for $9.3 \text{ GHz} \leq \omega \leq 10.7 \text{ GHz}$
 $|S_{21}| \geq 0.9$ for $\omega \leq 8 \text{ GHz}$ and $\omega \geq 12 \text{ GHz}$



SMF Bandstop Microstrip Filter Optimization: Starting Point

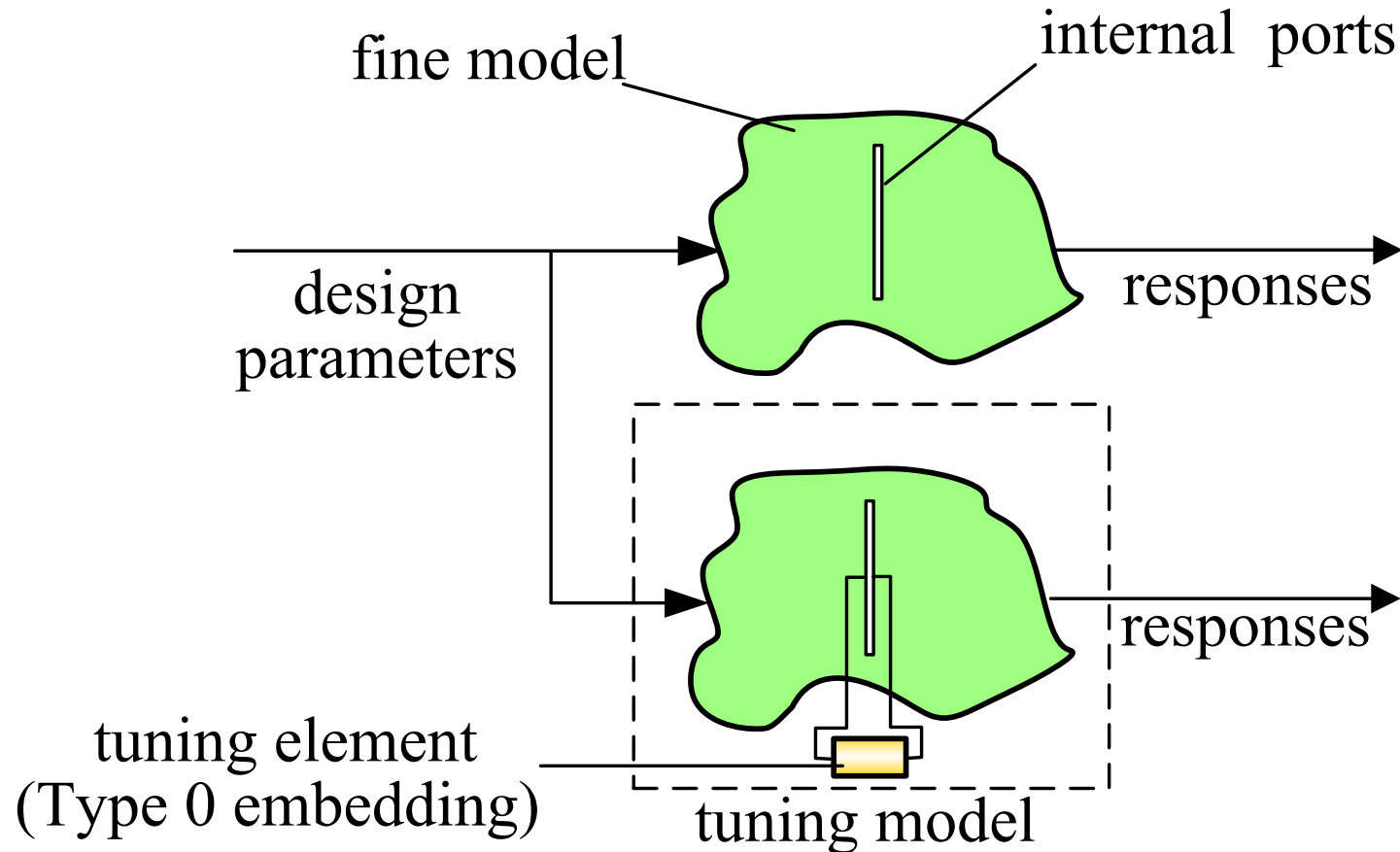


SMF Bandstop Microstrip Filter Optimization: Solution



Tuning Space Mapping (TSM): Type 0 Embedding

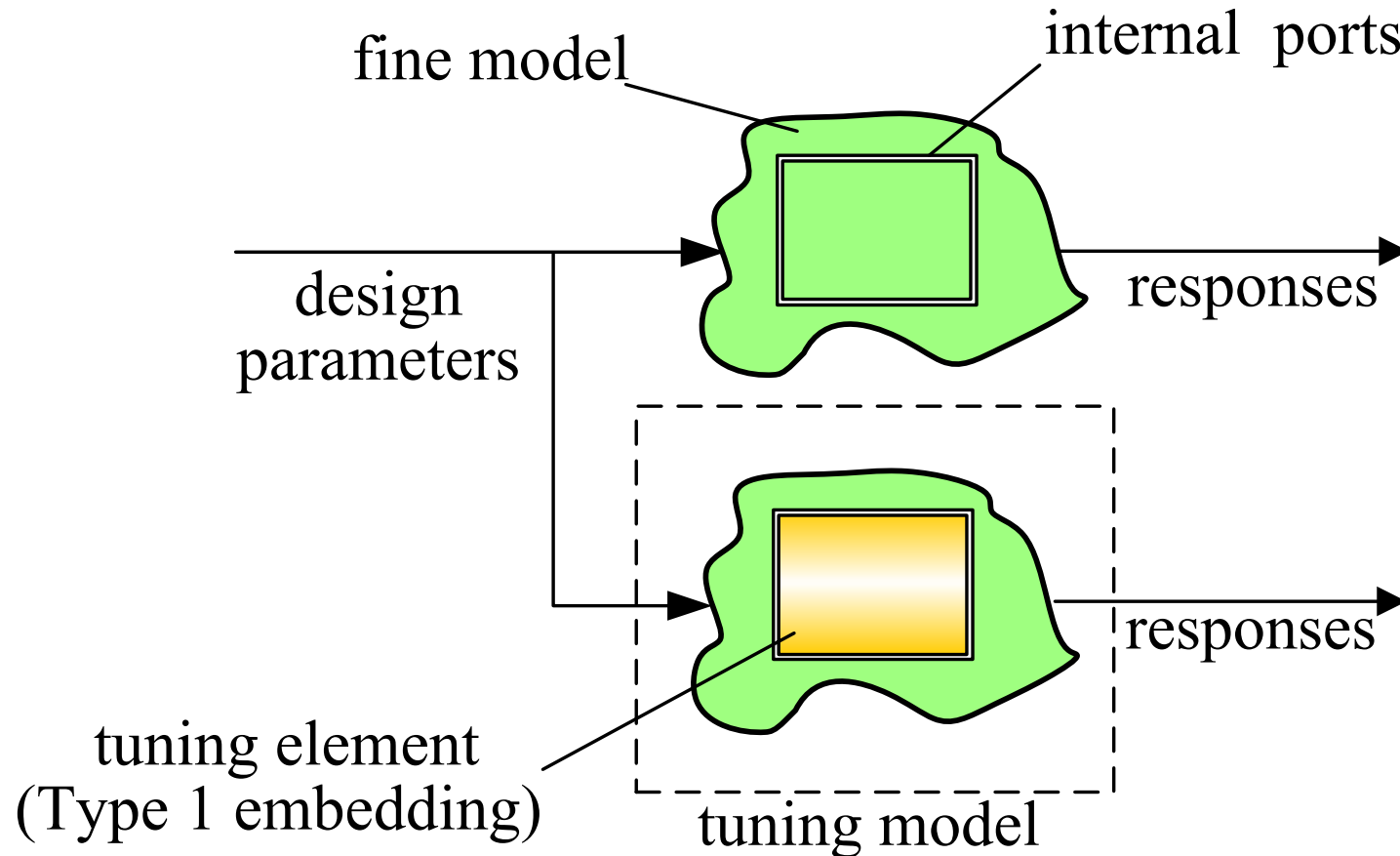
(Koziel et al., 2009)



surrogate based on the auxiliary fine model (fine model with internal tuning ports); it is an expert approach



Tuning Space Mapping (TSM): Type 1 Embedding (Cheng et al., 2009)

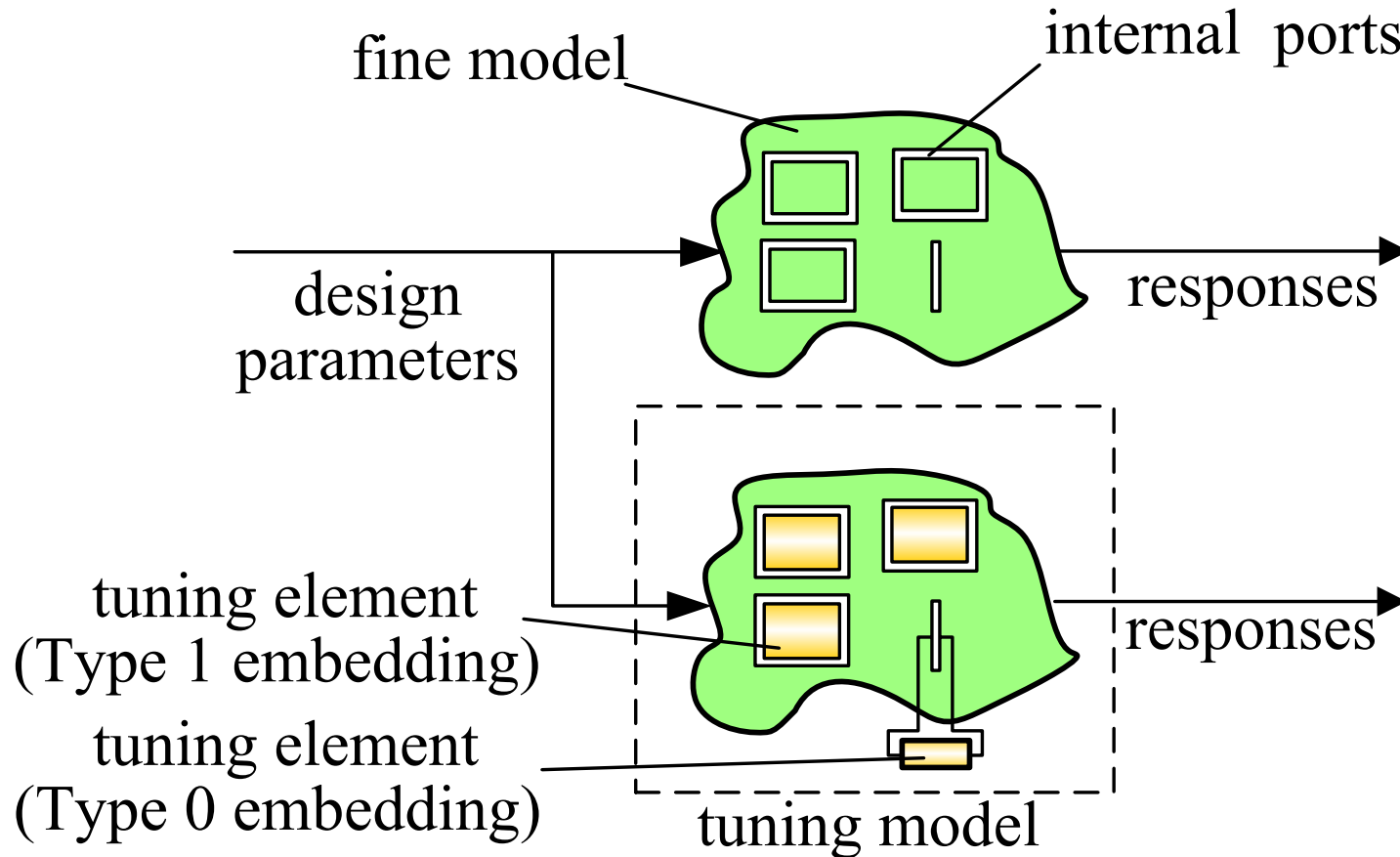


surrogate based on the auxiliary fine model (fine model with internal tuning ports); it is an expert approach



Tuning Space Mapping (TSM): Type 1 and Type 0 Embedding

(Cheng et al., 2009)

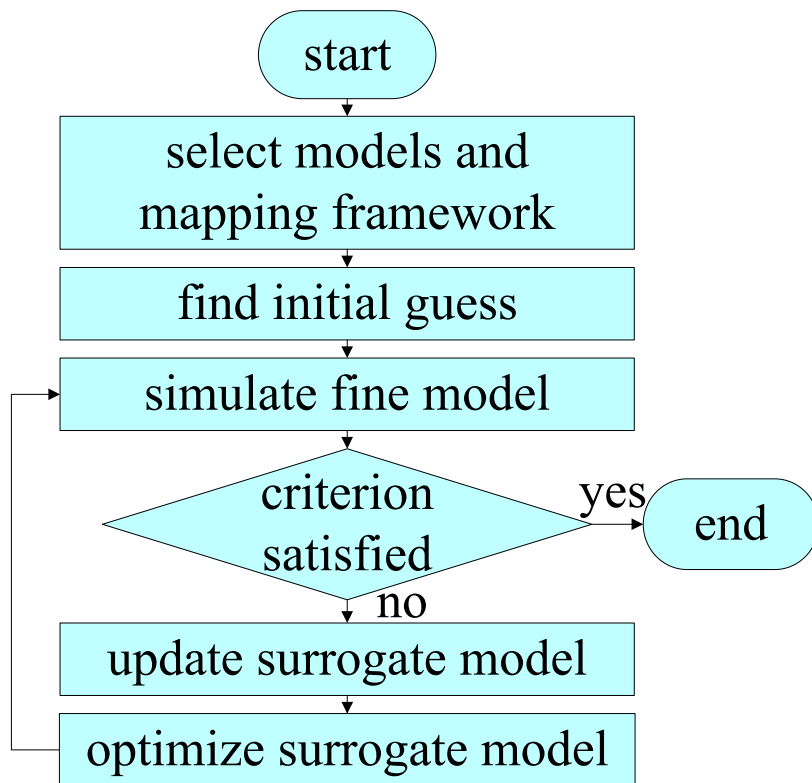


surrogate based on the auxiliary fine model (fine model with internal tuning ports); it is an expert approach

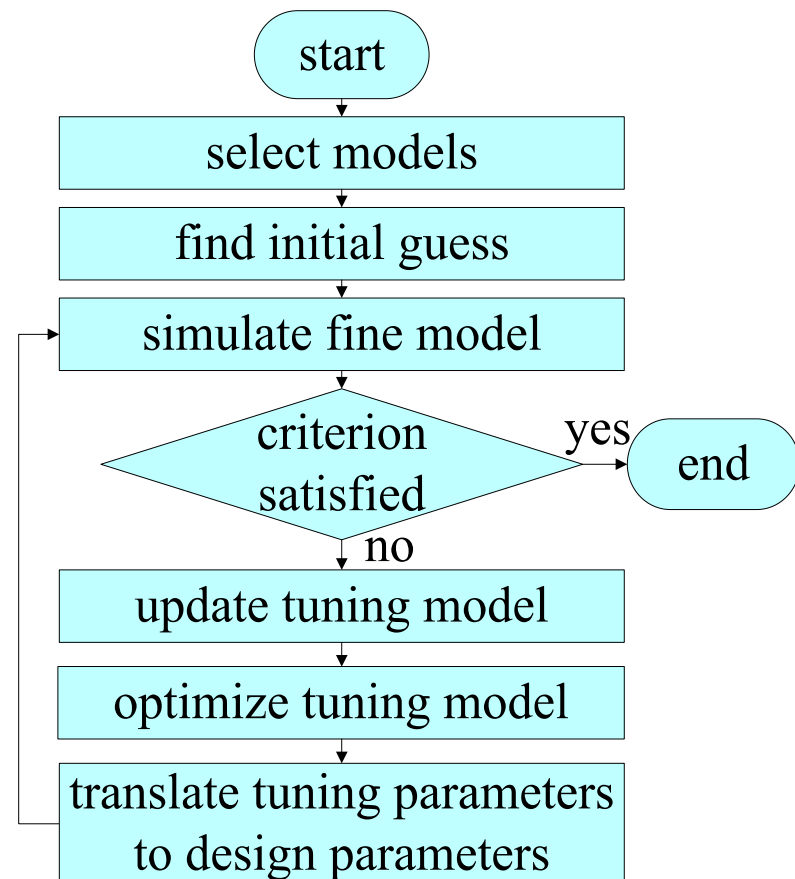


Tuning Space Mapping Flowchart

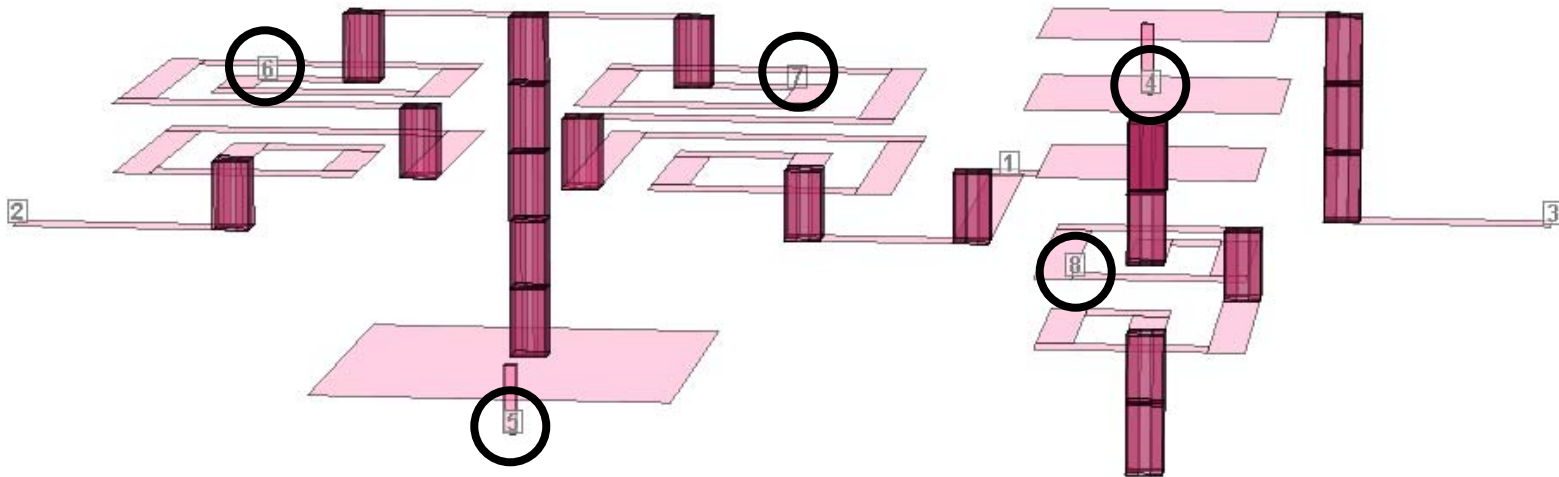
Classical **Space Mapping**
(*Bandler et al., 2004*)



Tuning Space Mapping
(*Koziel et al., 2008*)



Tuning Methodology (*Rautio, 2005, Sonnet Software*)



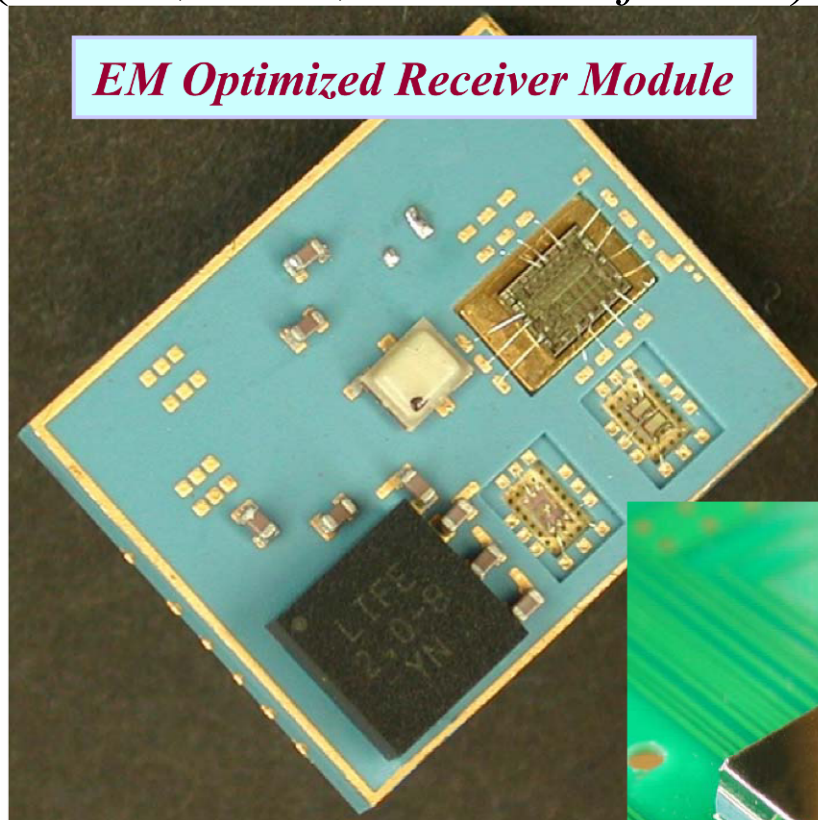
circled ports are tuning ports:
in series with inductors
in shunt with capacitors

(*courtesy Rautio, 2006*)



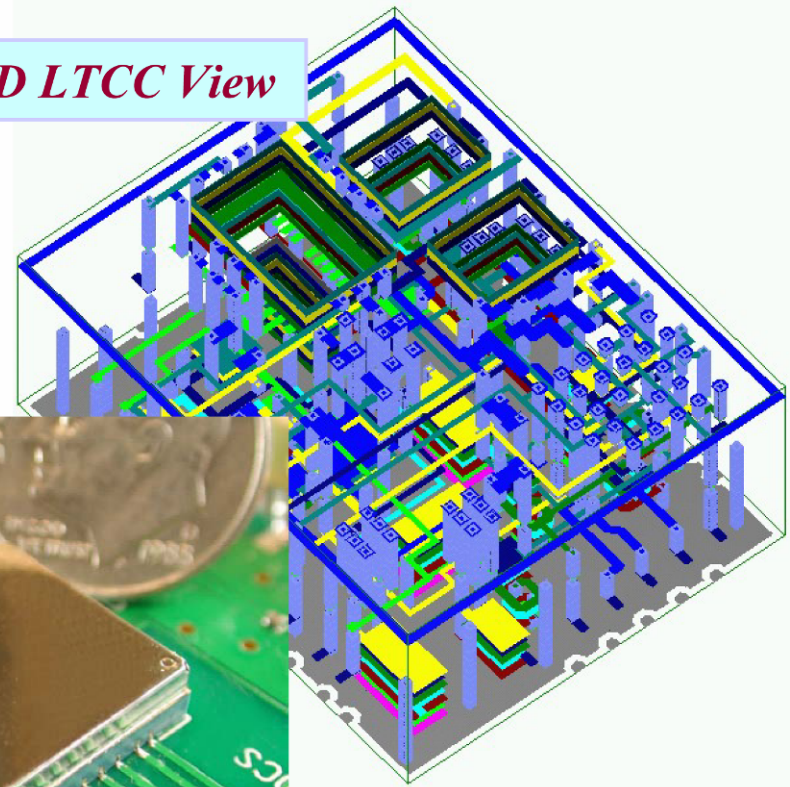
Motorola LTCC Quad Band Receiver

(Rautio, 2006, Sonnet Software)



Full Performance in a Single Design Pass

3D LTCC View



(courtesy Rautio, 2006)



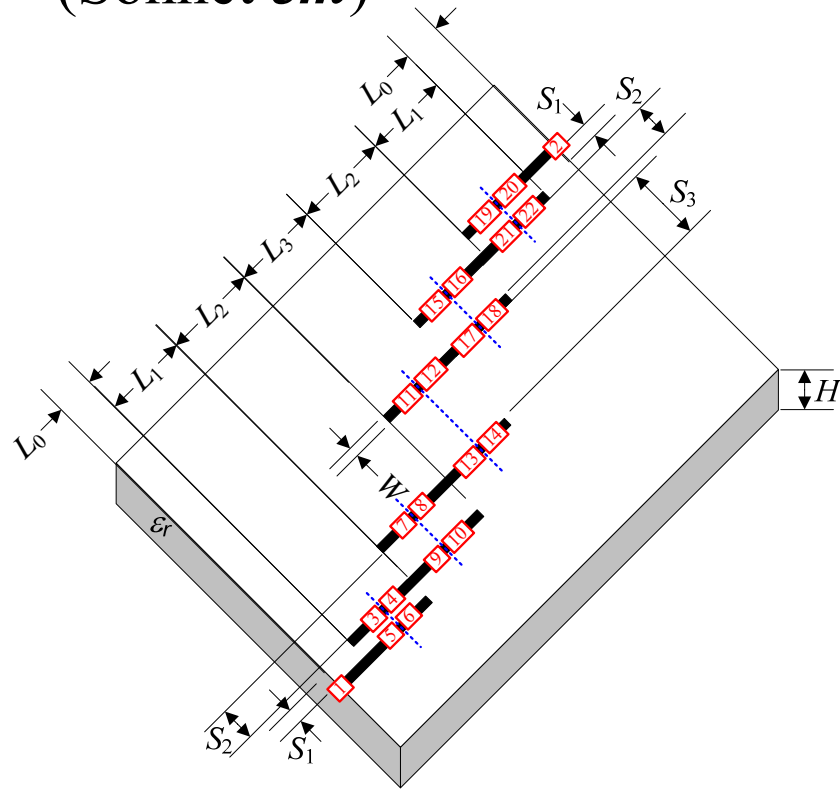
Tuning Space Mapping Optimization of HTS Filter (Type 0)

(Koziel, Meng, Bandler, Bakr, and Cheng, 2009)

fine model (*Westinghouse, 1993*)

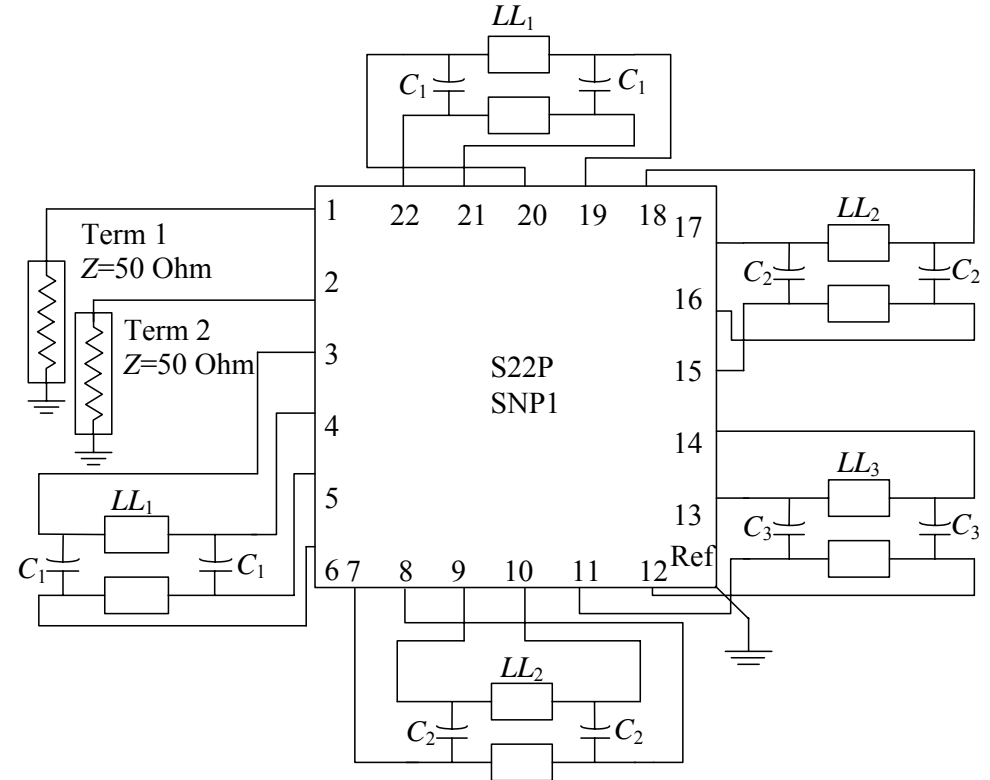
with added tuning ports

(Sonnet *em*)



tuning model in ADS

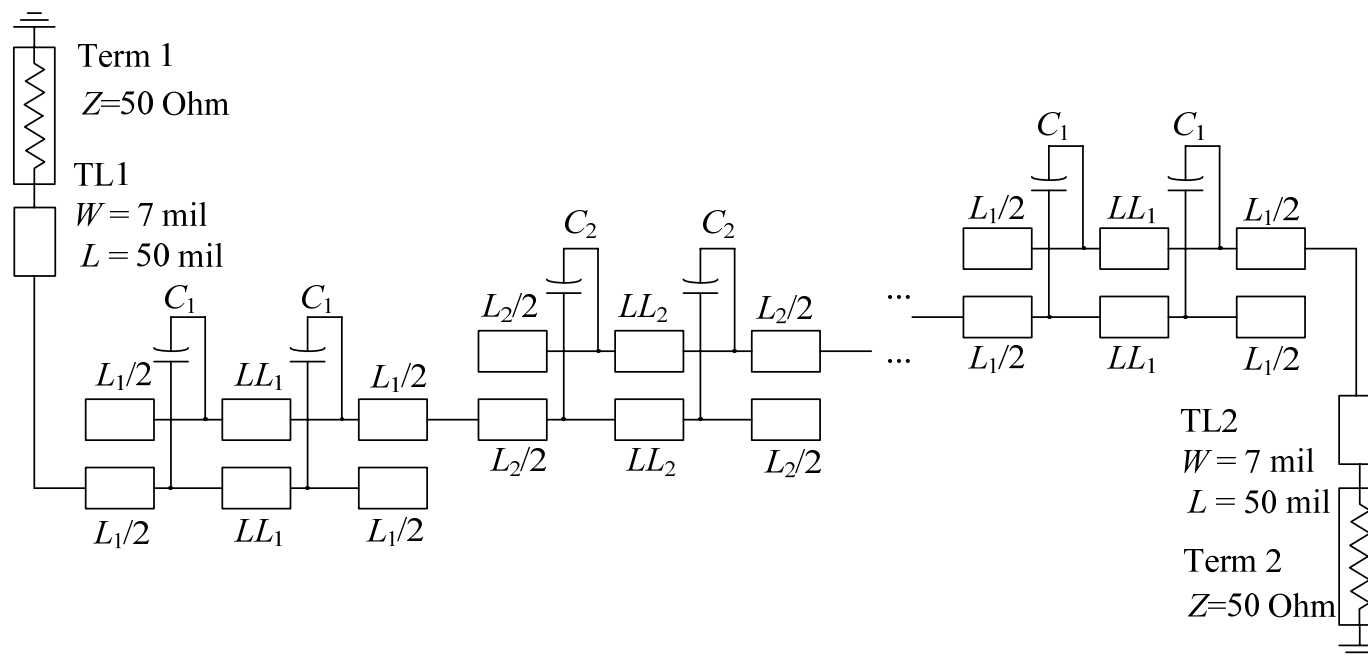
with circuit components



Tuning Space Mapping Optimization of HTS Filter (Type 0)

(Koziel, Meng, Bandler, Bakr, and Cheng, 2009)

calibration model = coarse model + tuning elements



calibration goal: translate the “tuned” tuning parameter values to physical design parameter values

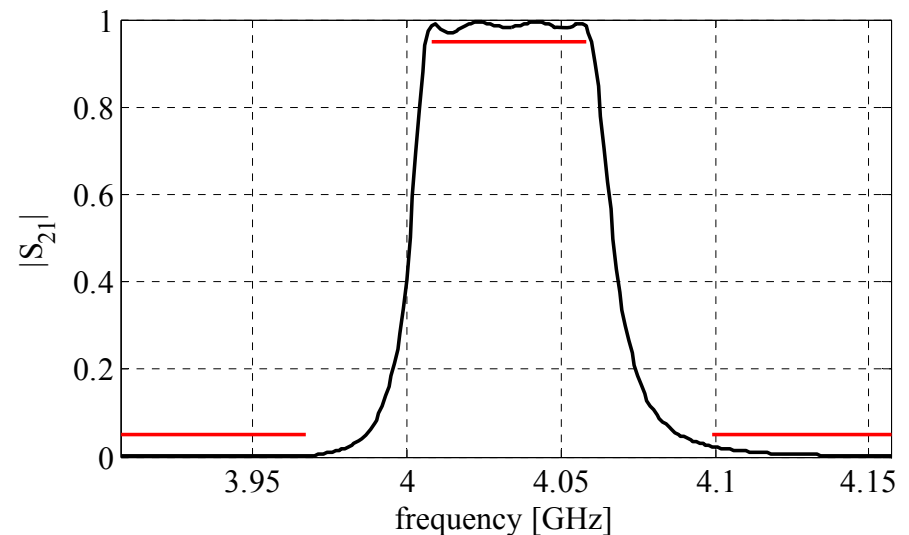
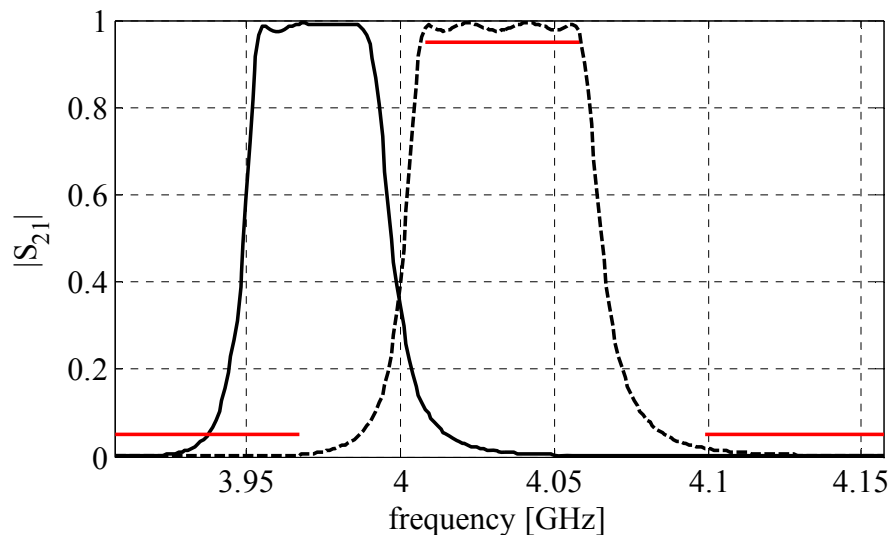


Tuning Space Mapping Optimization of HTS Filter (Type 0)

(Koziel, Meng, Bandler, Bakr, and Cheng, 2009)

initial fine model response (—)
optimized tuning model (---)

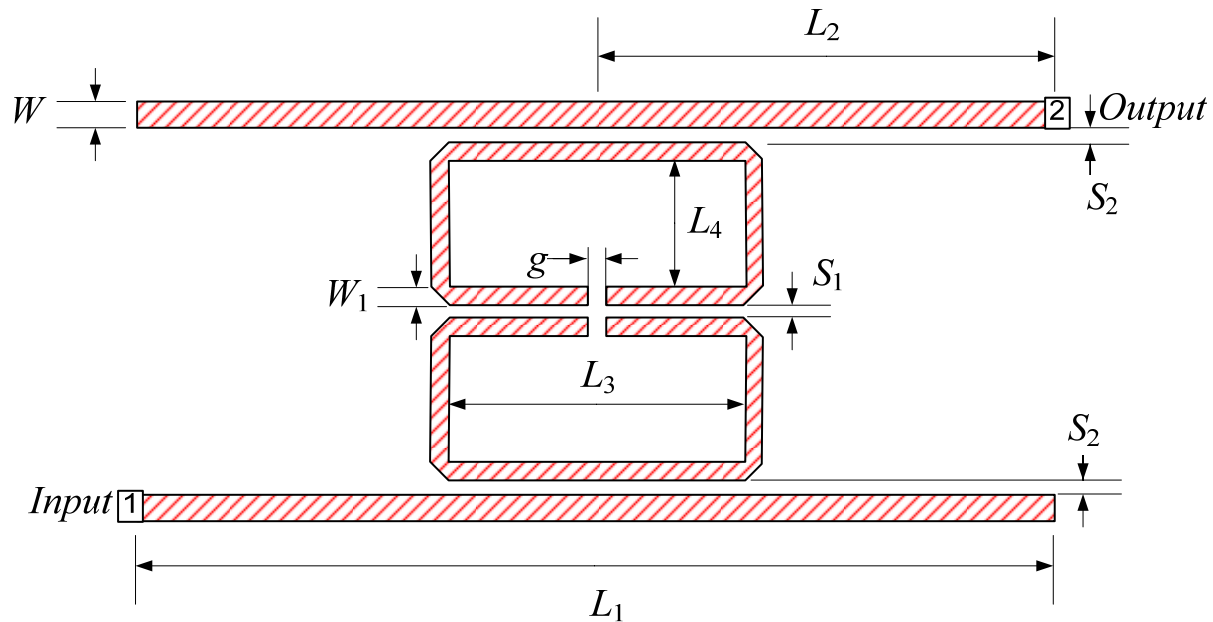
final fine model response
after two **TSM** iterations



responses from Sonnet *em* and Agilent ADS



Open-loop Ring Resonator Bandpass Filter (*Koziel et al., 2008*)



design parameters

$$\mathbf{x} = [L_1 \ L_2 \ L_3 \ L_4 \ S_1 \ S_2 \ g]^T \text{ mm}$$

specifications

$$|S_{21}| \geq -3 \text{ dB for } 2.8 \text{ GHz} \leq \omega \leq 3.2 \text{ GHz}$$

$$|S_{21}| \leq -20 \text{ dB for } 1.5 \text{ GHz} \leq \omega \leq 2.5 \text{ GHz}$$

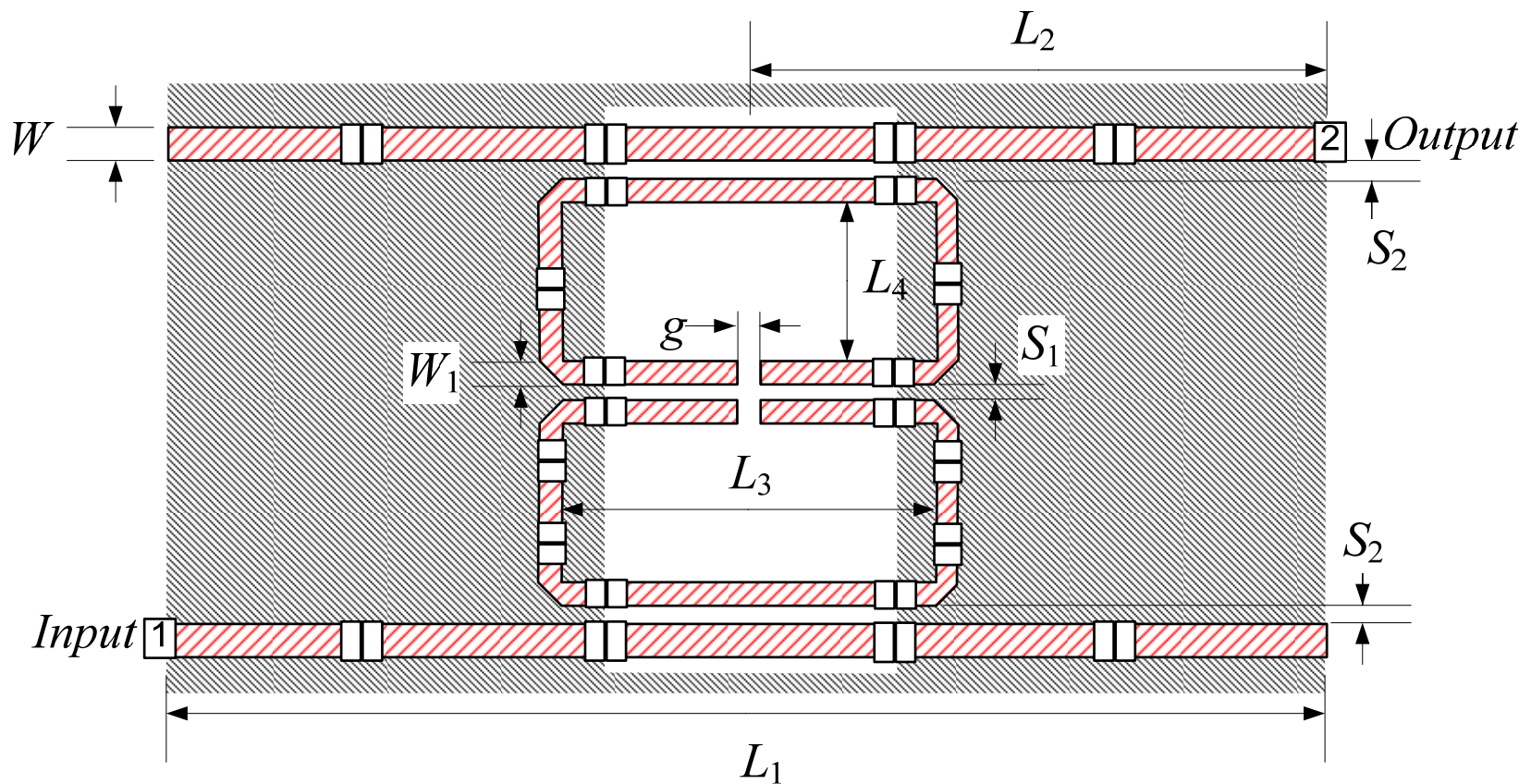
$$|S_{21}| \leq -20 \text{ dB for } 3.5 \text{ GHz} \leq \omega \leq 4.5 \text{ GHz}$$



Open-loop Ring Resonator Bandpass Filter (Type 1 and Type 0)

(Cheng et al., 2010)

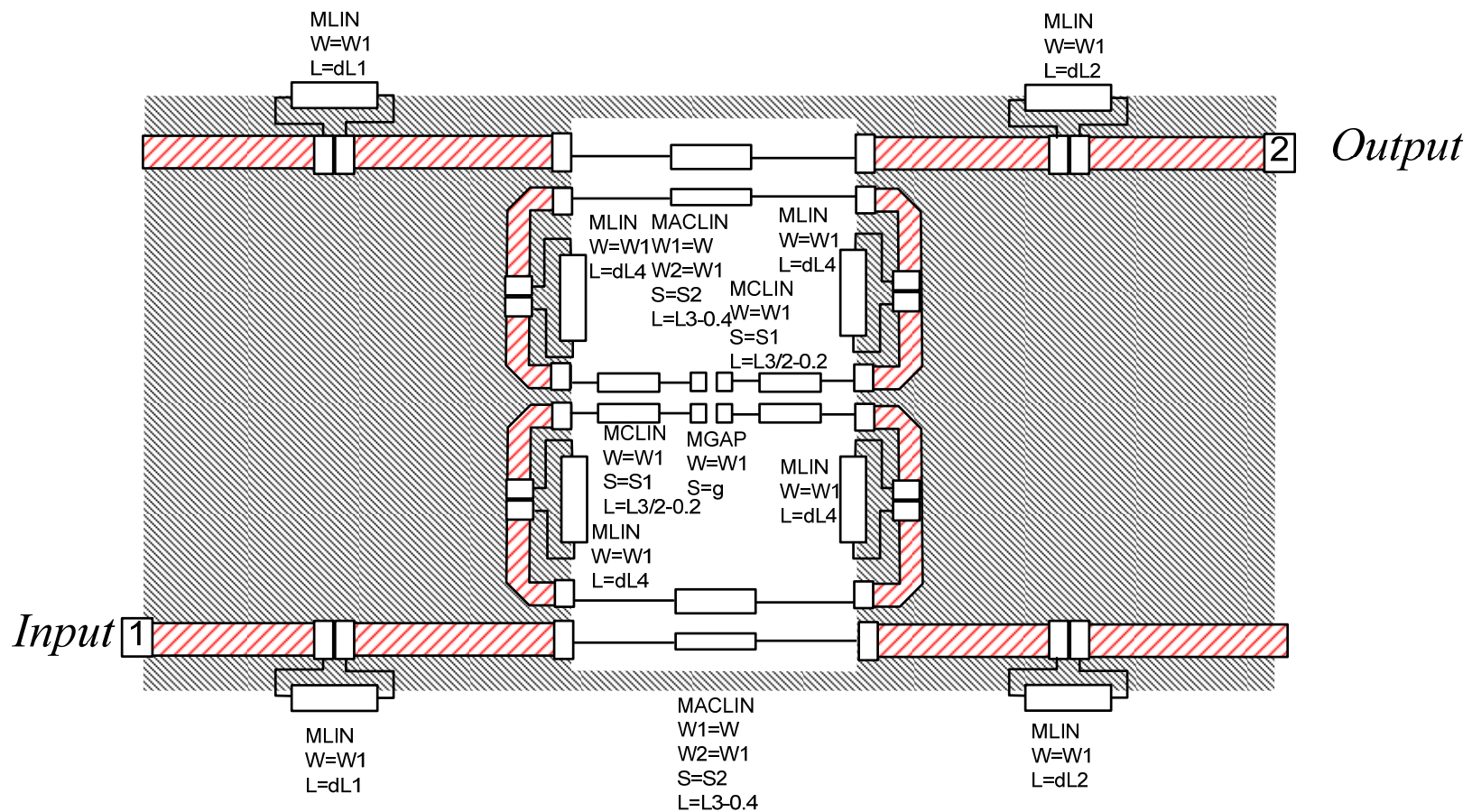
Sonnet *em* model with internal (co-calibrated) ports



Open-loop Ring Resonator Bandpass Filter (Type 1 and Type 0)

(Cheng et al., 2010)

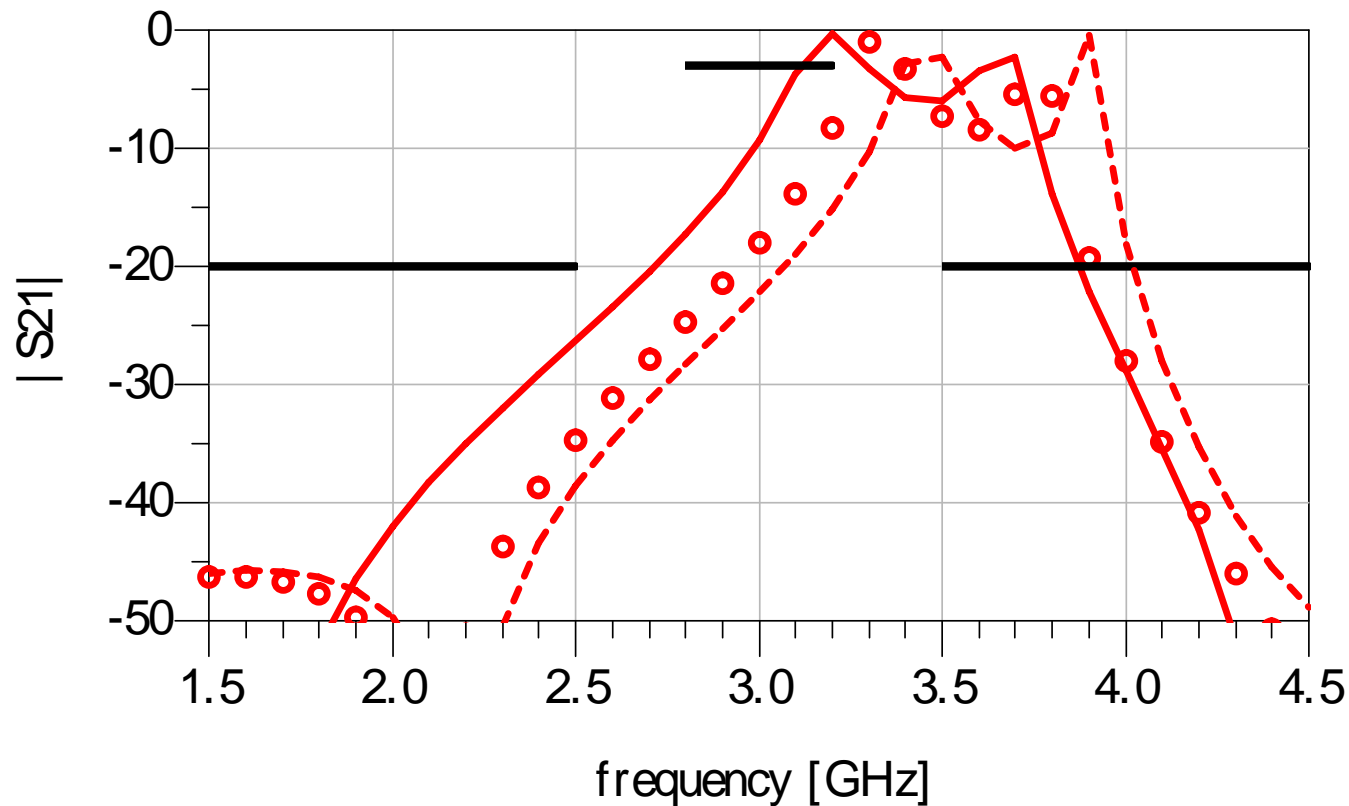
Sonnet *em* model with internal (co-calibrated) ports



Open-loop Ring Resonator Bandpass Filter (Type 1 and Type 0)

(Cheng et al., 2010)

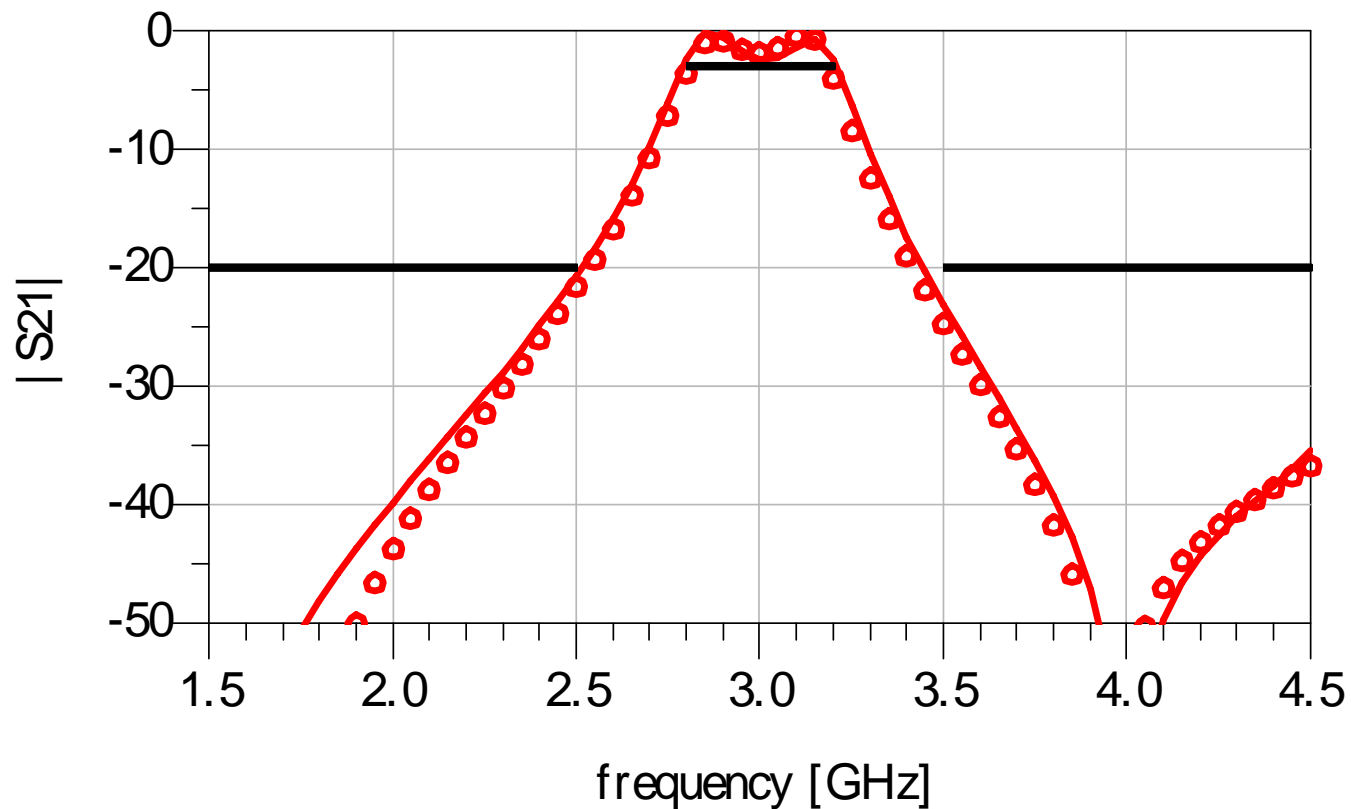
initial responses: tuning model (—), fine model (○),
fine model with co-calibrated ports (---)



Open-loop Ring Resonator Bandpass Filter (Type 1 and Type 0)

(Cheng et al., 2010)

responses after two iterations: the tuning model (—),
corresponding fine model (○)



Space-Mapping-Based Interpolation (Koziel et al., 2006)

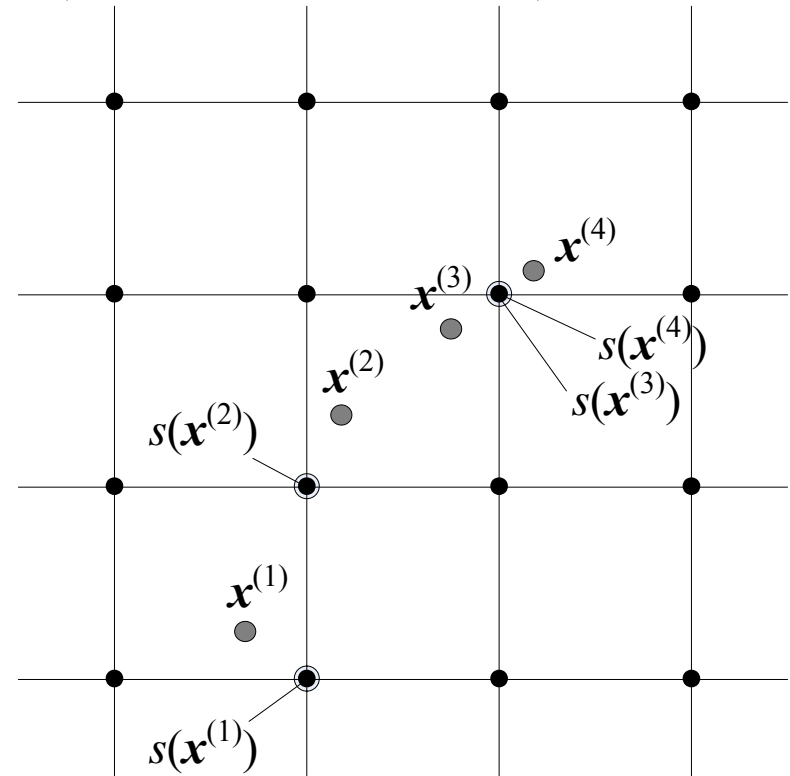
assumption: the fine model is available on a structured grid

define an interpolated fine model as

$$\begin{aligned} \bar{\mathbf{R}}_f(\mathbf{x}^{(i+1)}) &= \mathbf{R}_f(s(\mathbf{x}^{(i+1)})) + \\ &+ \mathbf{R}_s^{(i)}(\mathbf{x}^{(i+1)}) - \mathbf{R}_s^{(i)}(s(\mathbf{x}^{(i+1)})) \end{aligned}$$

where snapping function $s(\cdot)$ is defined as

$$s(\mathbf{x}) = \left\{ \bar{\mathbf{x}} \in \bar{X}_f : \|\mathbf{x} - \bar{\mathbf{x}}\| = \min_{z \in \bar{X}_f} \|z - \bar{\mathbf{x}}\| \wedge \forall_{y = \arg \min_{z \in \bar{X}_f} \|z - \bar{\mathbf{x}}\|, y \neq \bar{\mathbf{x}}} \bar{\mathbf{x}} \prec \mathbf{y} \right\}$$

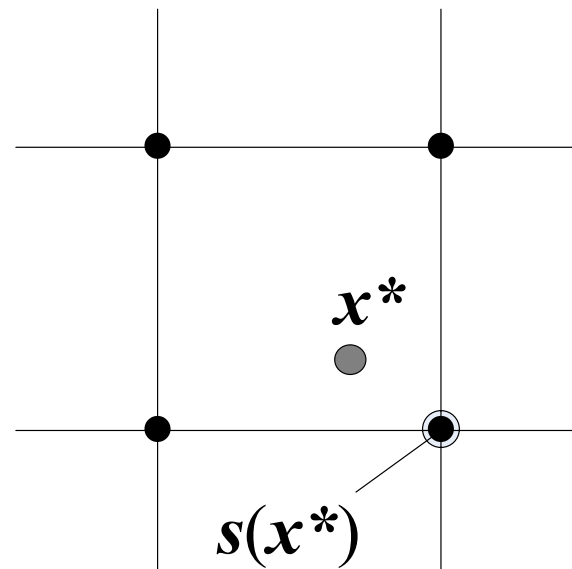


Response-Corrected **Tuning Space Mapping** Algorithm (Cheng et al., 2010)

the response-corrected tuning model at optimum \mathbf{x}^*

$$\bar{R}_s(\mathbf{x}) = R_s(\mathbf{x}, \mathbf{x}_p^*) + R_f(s(\mathbf{x}^*)) - R_s(s(\mathbf{x}^*), \mathbf{x}_p^*)$$

s is a function that snaps a point to the nearest fine model grid point



Yield Analysis and Yield Optimization (*Bandler and Chen, 1988*)

manufactured outcome

$$\mathbf{x}^k = \mathbf{x} + \Delta\mathbf{x}^k, \quad k = 1, 2, \dots, N$$

for each outcome, an acceptance index is defined

$$I_a(\mathbf{x}^k) = \begin{cases} 1, & \text{if } H_p(\mathbf{x}^k) \leq 0 \\ 0, & \text{if } H_p(\mathbf{x}^k) > 0 \end{cases}$$

Yield Y at the nominal point \mathbf{x}

$$Y(\mathbf{x}) \approx \frac{1}{N} \sum_{k=1}^N I_a(\mathbf{x}^k)$$



Yield Analysis and Yield Optimization (*Bandler and Chen, 1988*)

the optimal yield

$$\mathbf{x}^{Y^*} = \arg \min_{\mathbf{x}} \sum_{k \in K} \alpha_k H_1(\mathbf{x}^k)$$

$$K = \left\{ k \mid H_1(\mathbf{x}^k) > 0 \right\}$$

where

$$\alpha_k = \frac{1}{\left| H_1(\mathbf{x}^{(0)} + \Delta \mathbf{x}^k) \right|}, \quad k = 1, 2, \dots, N$$

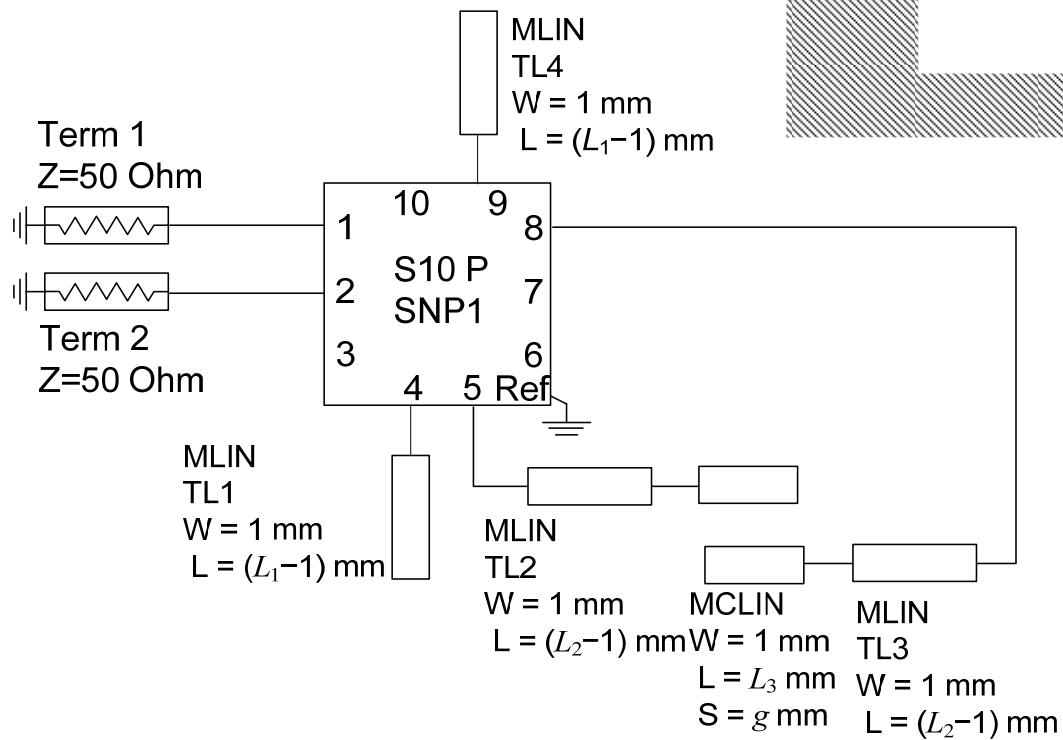
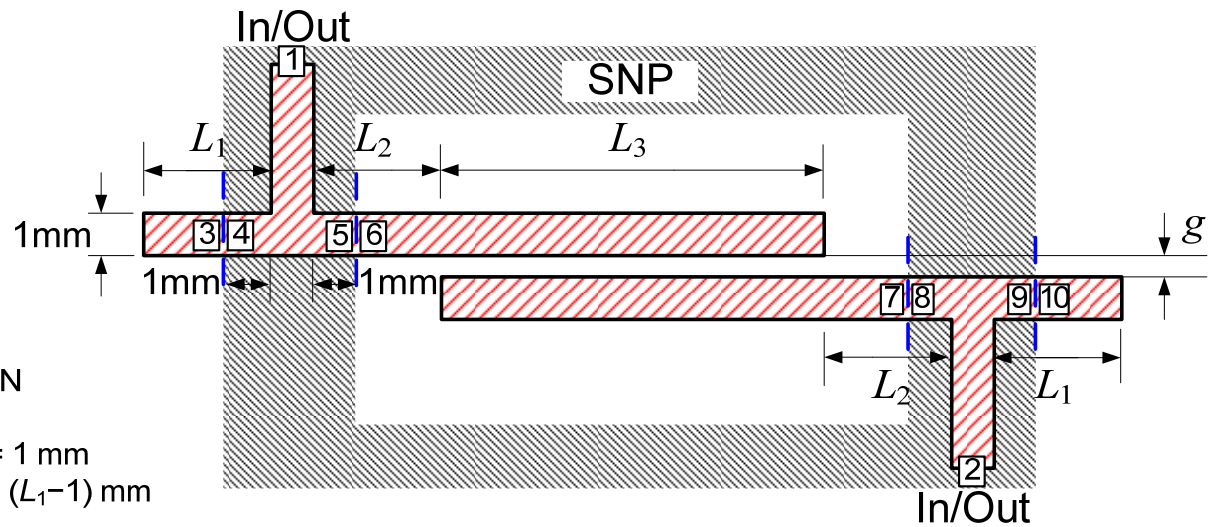


Yield Analysis and Yield Optimization (*Cheng et al., 2010*)

- Step 1* Use tuning space mapping to obtain a nominal optimal design. A tuning model or surrogate is also obtained.
- Step 2* Snap the optimal design to the nearest on-grid fine model point.
- Step 3* Simulate the snapped design (EM fine model).
- Step 4* Calculate the response difference between the fine model and the surrogate at the nearest on-grid point.
- Step 5* Add the response difference to the surrogate to form a new surrogate: the response corrected surrogate.
- Step 6* Perform yield analysis and yield optimization on the response-corrected surrogate.
- Step 7* Compare this response to that of the fine model.

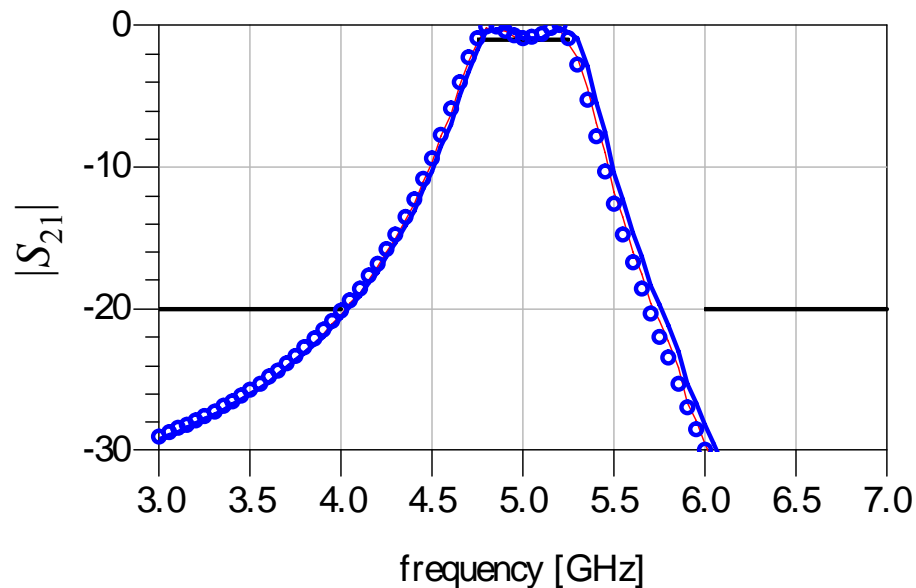


Second-order Tapped-line Microstrip Filter (Type 1)

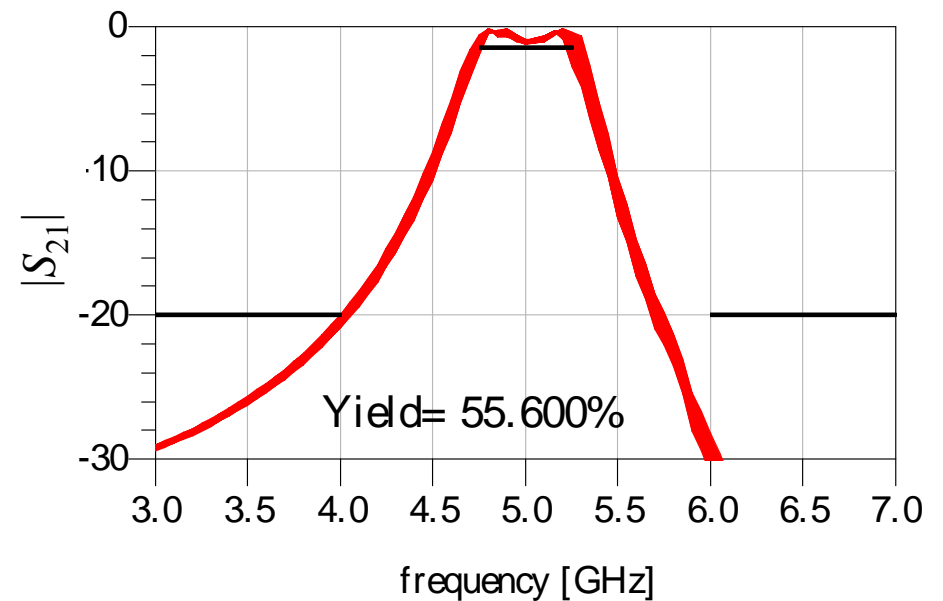


Second-order Tapped-line Microstrip Filter (Type 1)

tuning model (—), fine model (○),
response corrected surrogate (—)

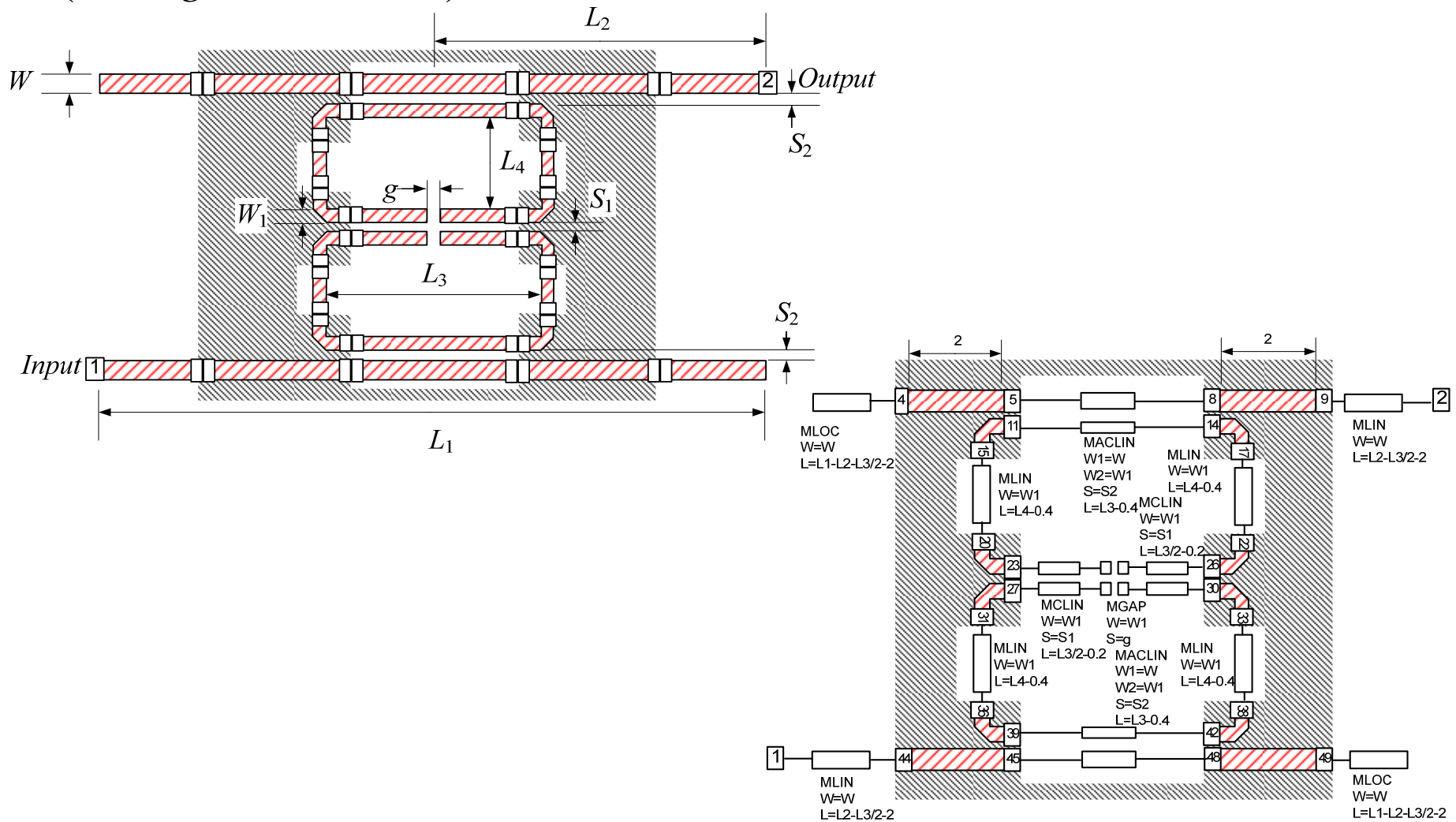


yield analysis (500 trials)



Open-loop Ring Resonator Bandpass Filter (Type 1)

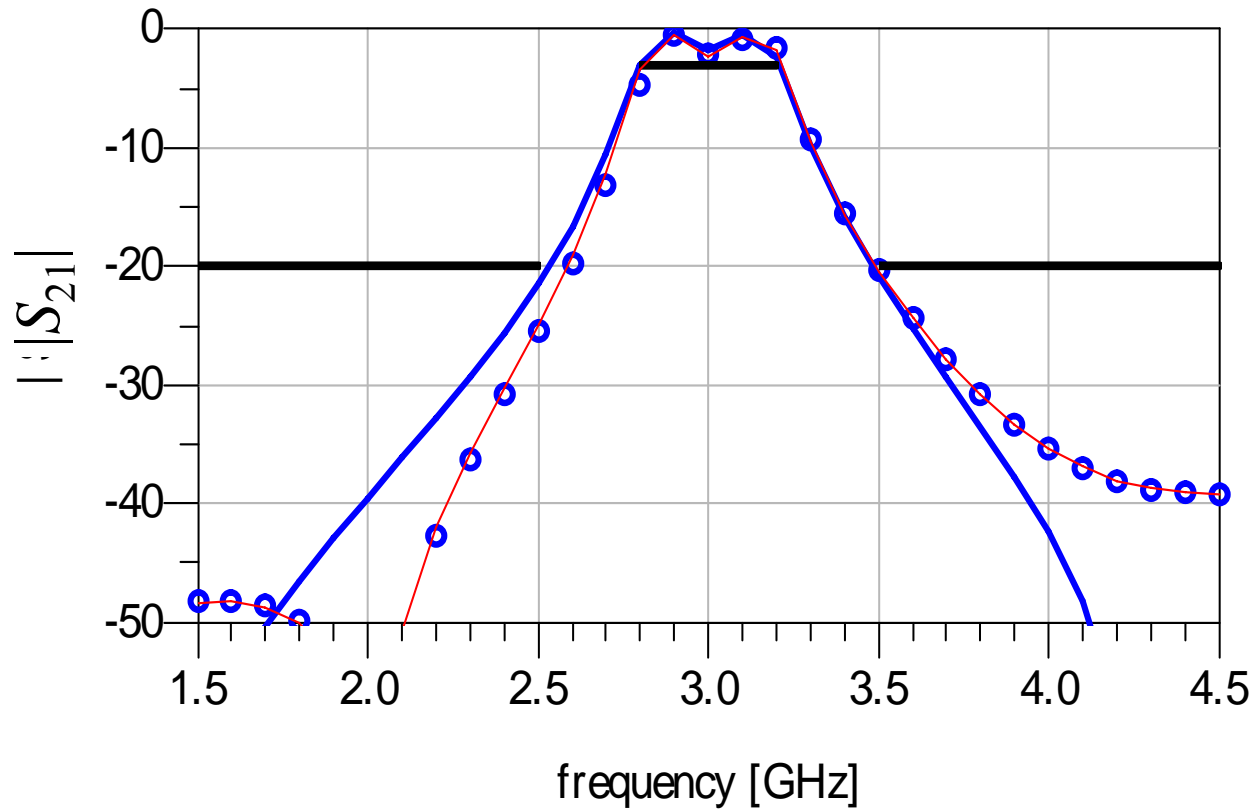
(Cheng et al., 2010)



Open-loop Ring Resonator Bandpass Filter (Type 1)

(Cheng et al., 2010)

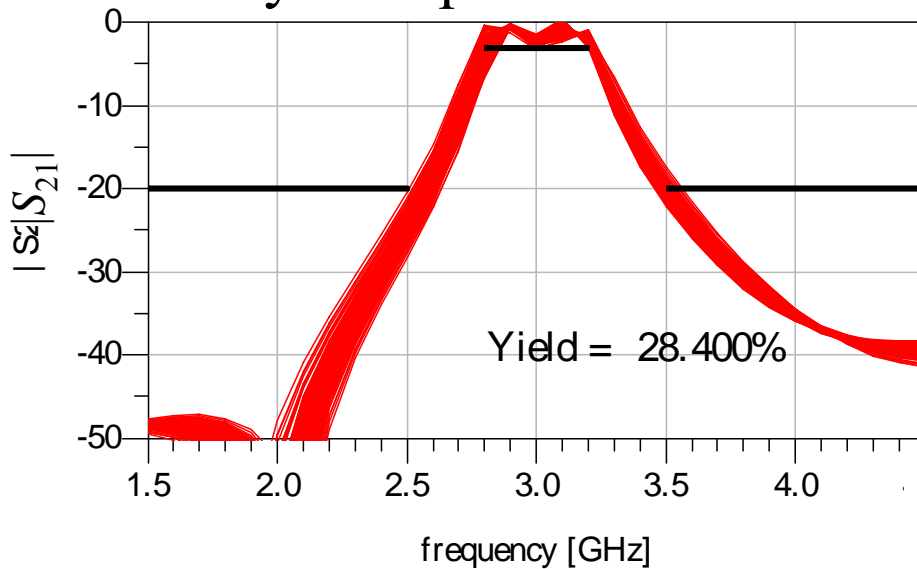
tuning model (—), fine model (○),
response corrected surrogate (—)



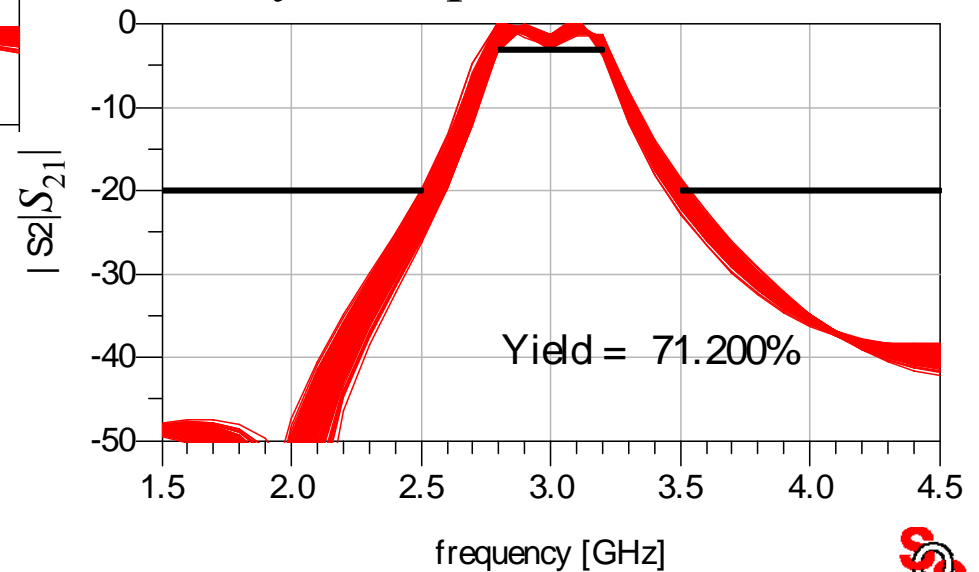
Open-loop Ring Resonator Bandpass Filter (Type 1)

(Cheng et al., 2010)

response corrected surrogate
before yield optimization



response corrected surrogate
after yield optimization

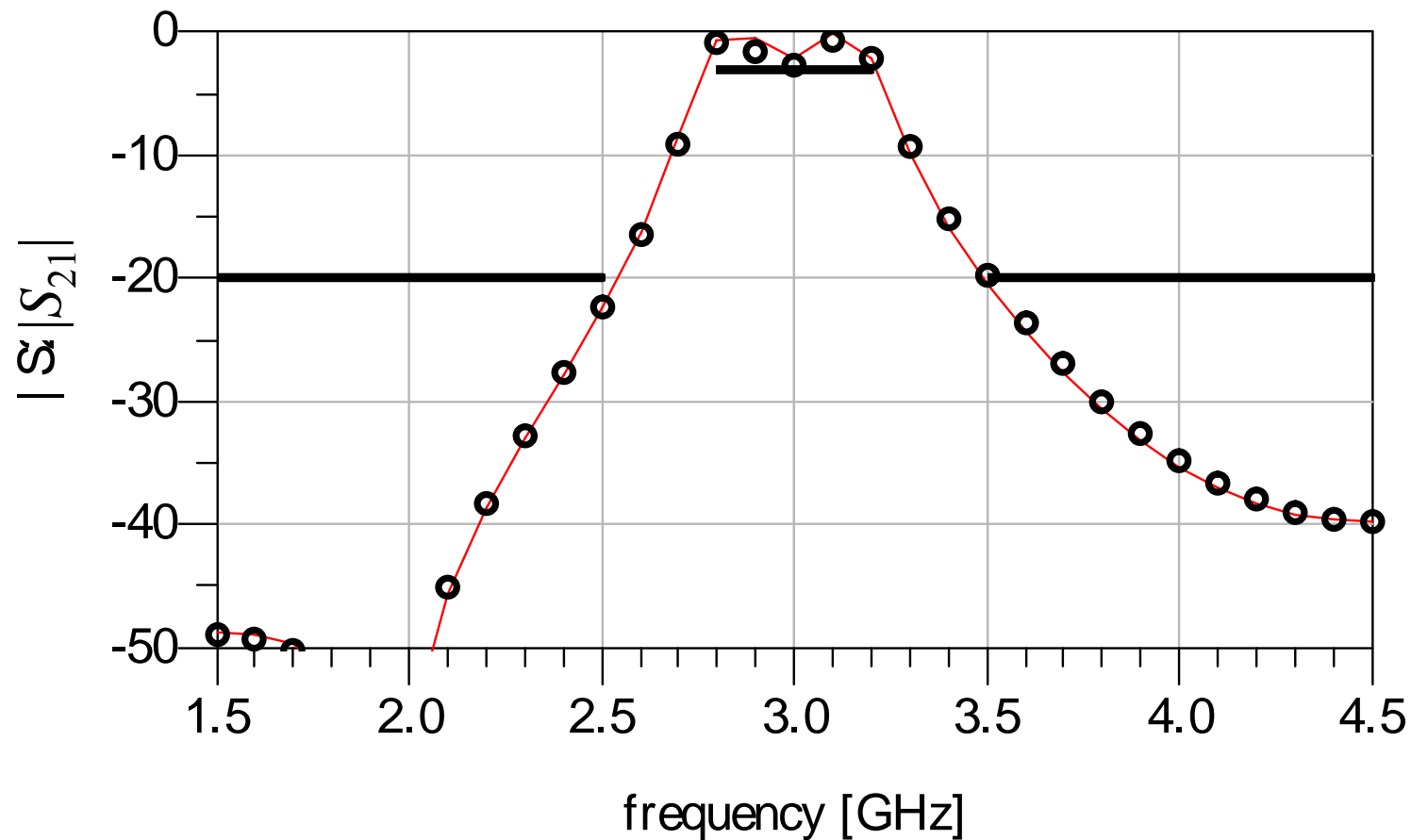


Open-loop Ring Resonator Bandpass Filter (Type 1)

(Cheng et al., 2010)

response corrected surrogate at design center (—)

and final validation on a finer grid (○)



Space Mapping: a Glossary of Terms

space mapping	transformation, link, adjustment, correction, shift (in parameters or responses); “internal” fine-tuning transformation
coarse model	simplification or convenient representation, companion to the fine model, auxiliary representation, cheap model, “idealized” model
fine model	accurate representation of system considered, device under test, component to be optimized, expensive model, an optimization process



Space Mapping: a Glossary of Terms

surrogate	model, approximation or representation to be used, or to act, in place of, or as a (temporary) substitute for, the system under consideration
(updated) surrogate	mapped or enhanced coarse model, corrected coarse model, tuning-parameter-augmented fine-model iterate
surrogate model	alternative expression for surrogate
target response	a response the fine model should achieve, (usually) the optimal response of an idealized “coarse” model, an enhanced coarse model, or surrogate



Space Mapping: a Glossary of Terms

- surrogate update** rebuilding of a coarse- or ideal-model-based surrogate using, e.g., parameter extraction; supply new fine-model data to a surrogate
- surrogate optimization** prediction of the next fine model; “internal” fine tuning of a tuning-parameter-augmented fine-model iterate (tuning model)
- parameter extraction** aligning a coarse model or surrogate with the corresponding fine model



Space Mapping: a Glossary of Terms

companion model	coarse
low fidelity/resolution	coarse
high fidelity/resolution	fine
empirical	coarse
simplified physics	coarse
physics-based	coarse or fine
physically expressive	coarse
device under test	fine
electromagnetic	fine or coarse
simulation model	fine or coarse
computational	fine or coarse
tuning model	coarse (fine model data) + tuning elements
calibration model	coarse + tuning elements



Space Mapping: a Glossary of Terms

tuning-parameter-
augmented fine-model
iterate (with internal
tuning ports)
i.e., “tuning model”

surrogate

optimization process

design of fine model

optimization space

(design) optimization parameters
for coarse or surrogate models

validation space

design optimization parameters
for the fine model



Space Mapping: a Glossary of Terms

neuro	implies use of artificial neural networks
implicit space mapping	space mapping using preassigned, alternative, or other accessible parameters
“not” space mapping	(usually) an expert’s algorithm, where the underlying space mapping concept may not be obvious, or not admitted
parameter transformation	space mapping



Space Mapping: a Glossary of Terms

(parameter/input) space mapping	mapping, transformation or correction of design variables
(response) output space mapping ¹	mapping, transformation or correction of responses
response surface approximation	linear/quadratic/polynomial approximation of responses w.r.t. design variables

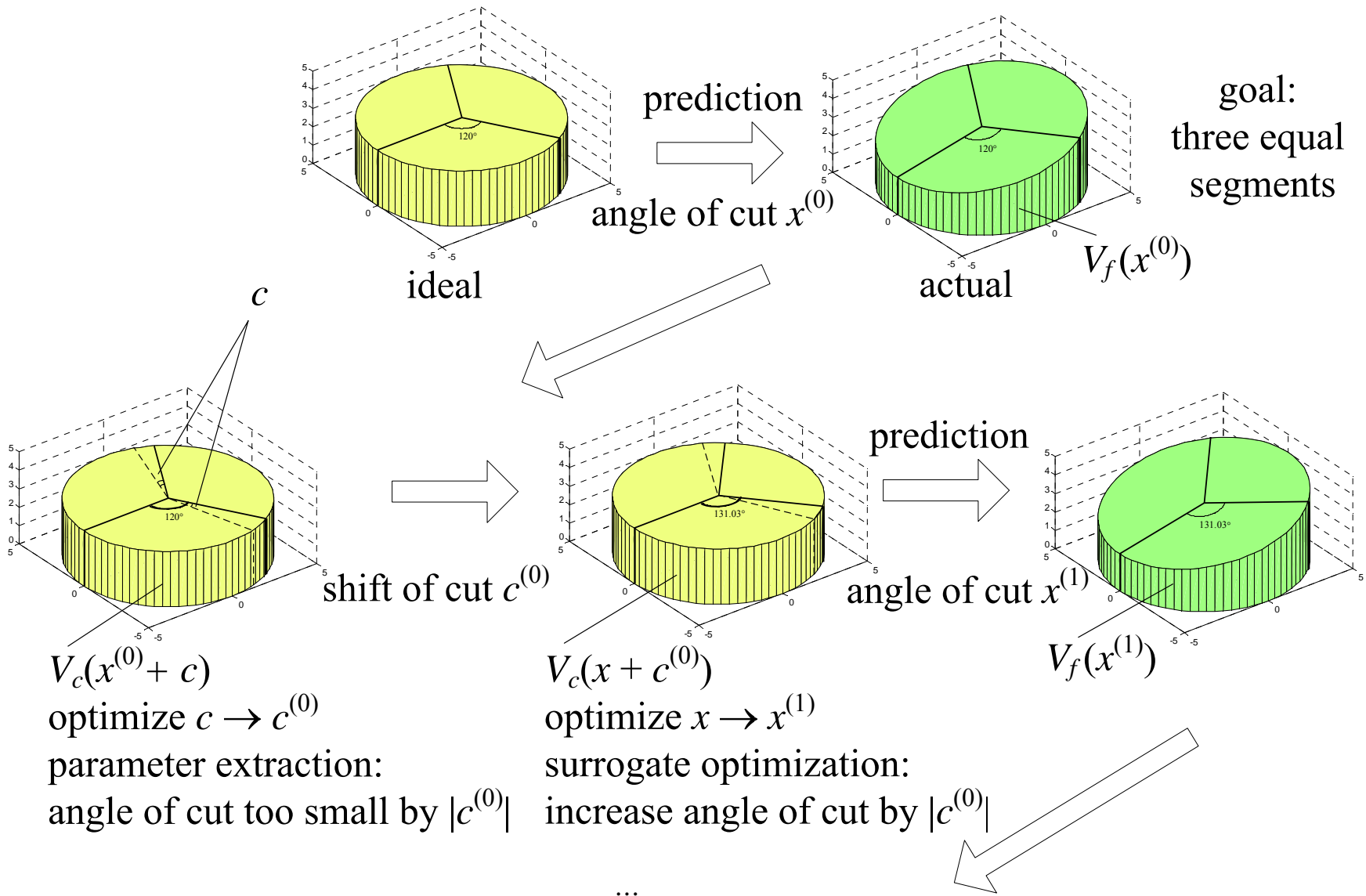
¹advocated by John E. Dennis, Jr., Rice University

¹Alexandrov's "high-order model management"



Space Mapping—Cake-Cutting Illustration

(Cheng and Bandler, 2006)



Implicit, Input and Output **Space Mappings** in Agilent ADS: Four ADS Schematics (*Cheng and Bandler, 2006*)

coarse model optimization

fine model simulation

parameter extraction

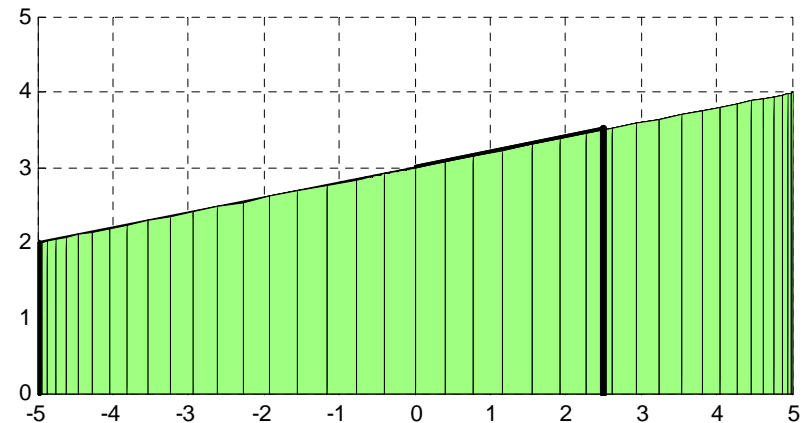
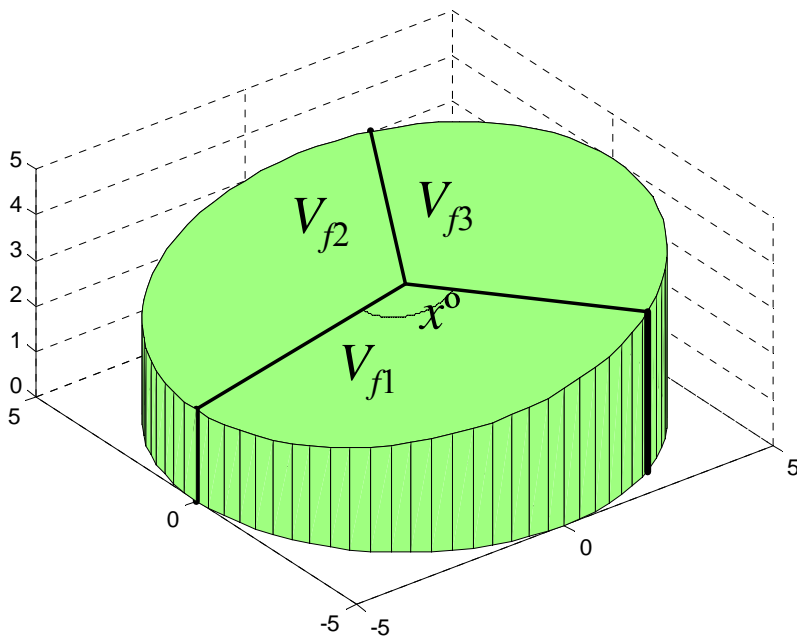
surrogate re-optimization



Cake-Cutting Problem

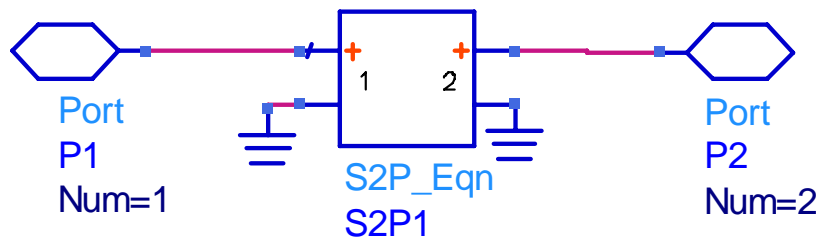
(Cheng and Bandler, 2006)

use **space mapping** to find the optimal angle x of a cut such that the volume of each slice is equal, in this case, $V/3$



ADS Implementation of Cake-Cutting Problem: Fine Model

(Cheng and Bandler, 2006)



S[1,1]=if freq equals 1GHz then R1 elseif freq equals 2 GHz then R2 else R3 endif

S[1,2]=

S[2,1]=

S[2,2]=

Z[1]=

Z[2]=

1GHz: V_{f1}
 2GHz: V_{f2}
 3GHz: V_{f3}

Var Eqn

VAR

VAR1

$r = 5$

$hmid = 1$

$thita = x/180 \cdot \pi$

$hc = 3$

$Rf = r^2 \cdot hmid \cdot thita / 2 - r^2 \cdot hmid \cdot \sin(thita) / 3 + r^2 \cdot h \cdot thita / 2$

$h = 2$

$Vall = \pi \cdot r^2 \cdot hc$

Var Eqn

VAR

VAR2

$R1 = Rf / Vall$

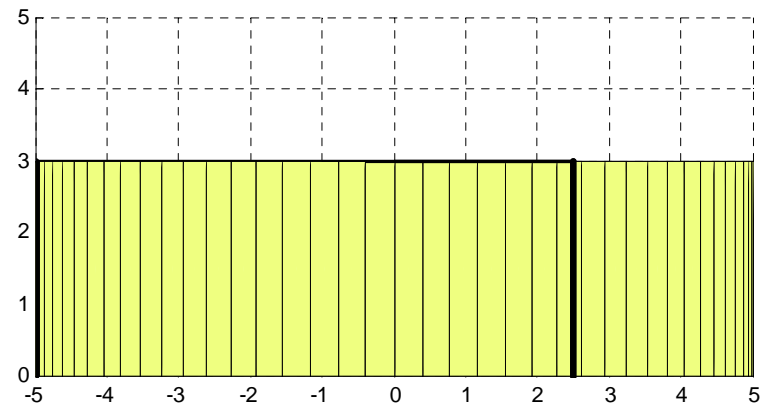
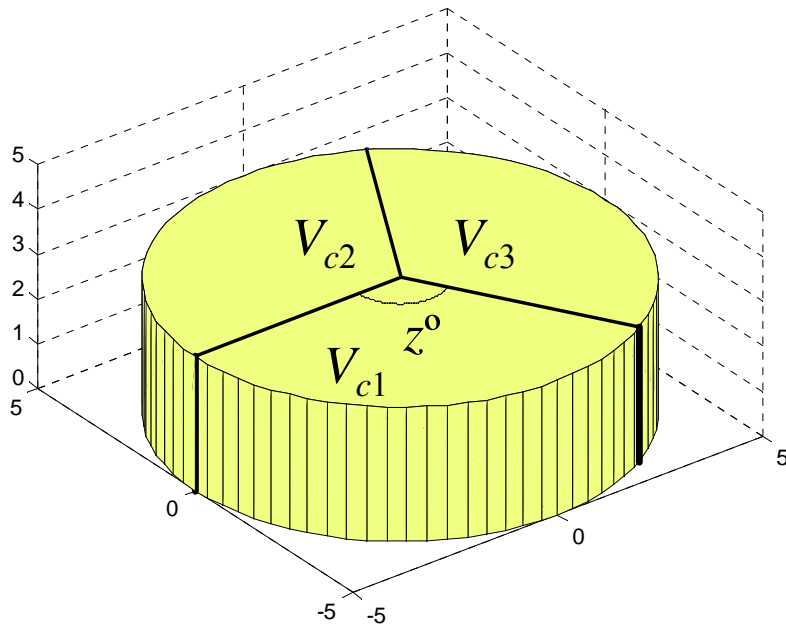
$R2 = Rf / Vall$

$R3 = (Vall - Rf - Rf) / Vall$



Proposed Coarse Model Using Only the Shift c

(Cheng and Bandler, 2006)

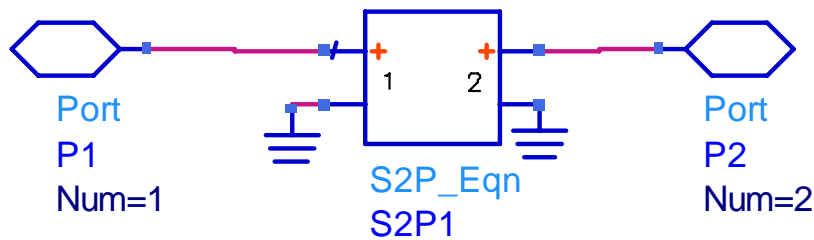


volume $V_c = [V/3 \ V/3 \ V/3]$ implies $z + c = 120$
initially $c = 0$



ADS Implementation of Cake-Cutting Problem: Coarse Model

(Cheng and Bandler, 2006)



S[1,1]=if freq equals 1GHz then R1 elseif freq equals 2 GHz then R2 else R3 endif

S[1,2]=

S[2,1]=

S[2,2]=

Z[1]=

Z[2]=

1GHz: V_{c1}

2GHz: V_{c2}

3GHz: V_{c3}

Var
Eqn

VAR

VAR1

r = 5

thita = x/180*pi

hc = 3

Rc = hc * r**2*thita/2

Vall = pi * r^2 * hc

Var
Eqn

VAR

VAR2

R1 = Rc/Vall

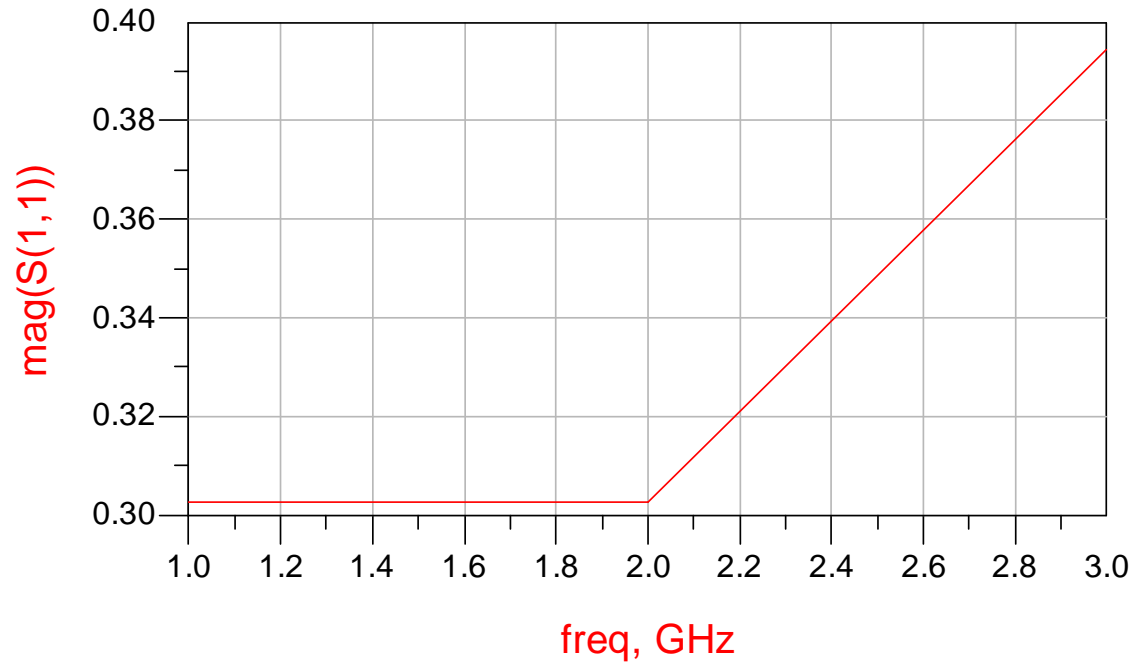
R2 = Rc/Vall

R3 =(Vall-Rc-Rc)/Vall



ADS Implementation of Cake-Cutting Problem: Initial Solution

(Cheng and Bandler, 2006)



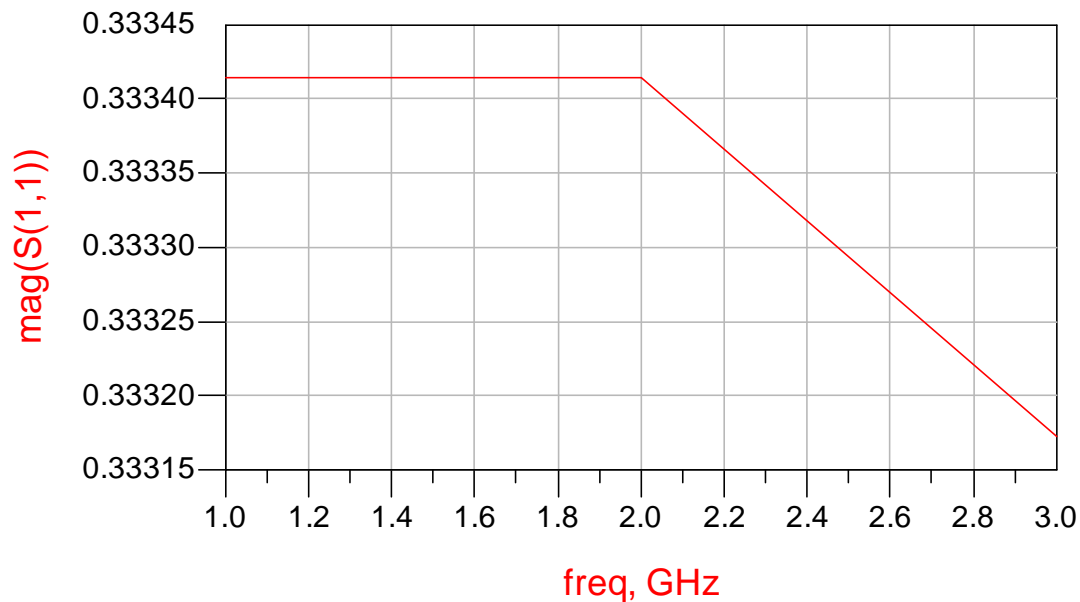
freq	mag(S(1,1))
1.000GHz	0.303
2.000GHz	0.303
3.000GHz	0.395

V_{f1}
 V_{f2}
 V_{f3}



ADS Implementation of Cake-Cutting Problem: Third Iteration

(Cheng and Bandler, 2006)



freq	mag(S(1,1))
1.000GHz	0.333
2.000GHz	0.333
3.000GHz	0.333

V_{f1}
 V_{f2}
 V_{f3}

Var
Eqn

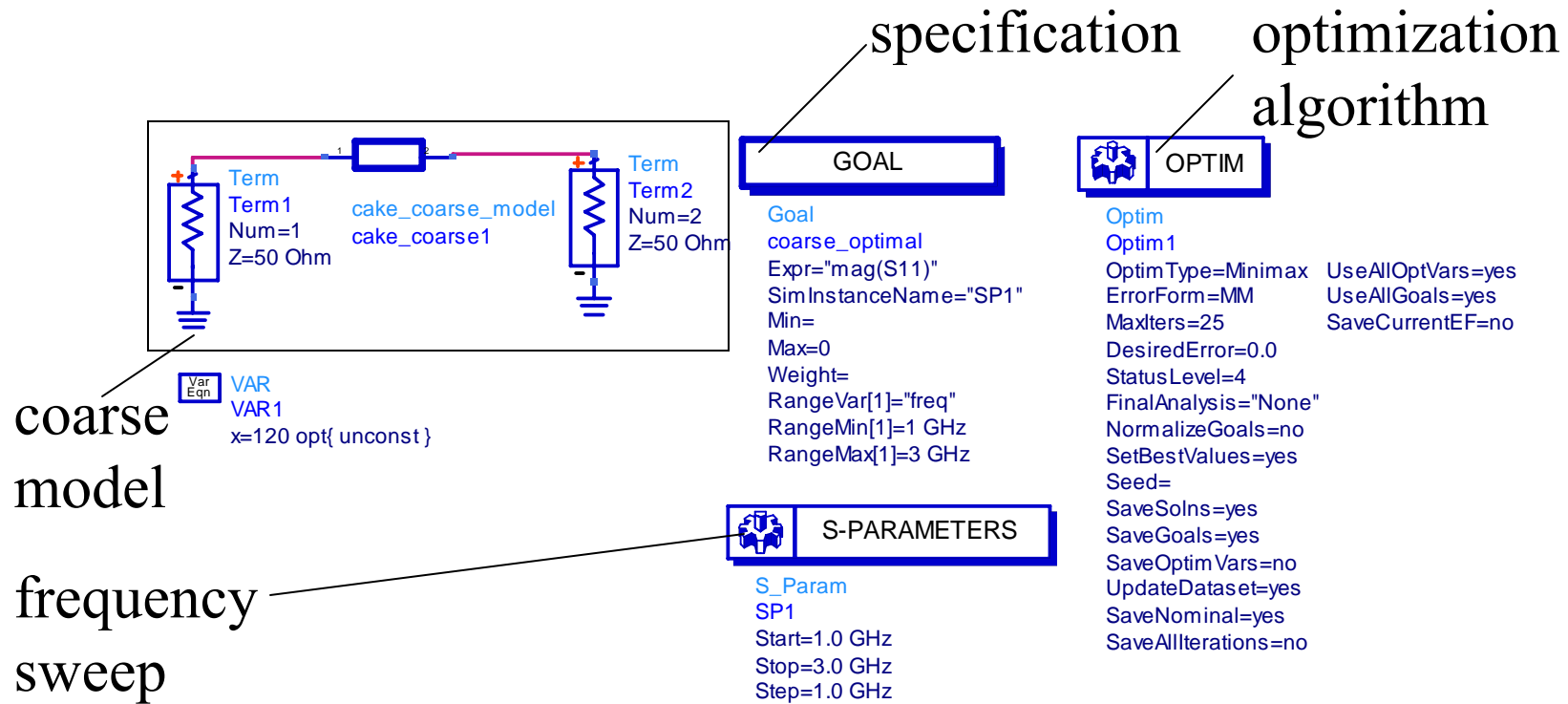
VAR

mapping

X= 129.80972100829 opt{ unconst}



Coarse Model Optimization (Cheng and Bandler, 2006)



exporting
 design
 parameter
 x value
 to a file

```

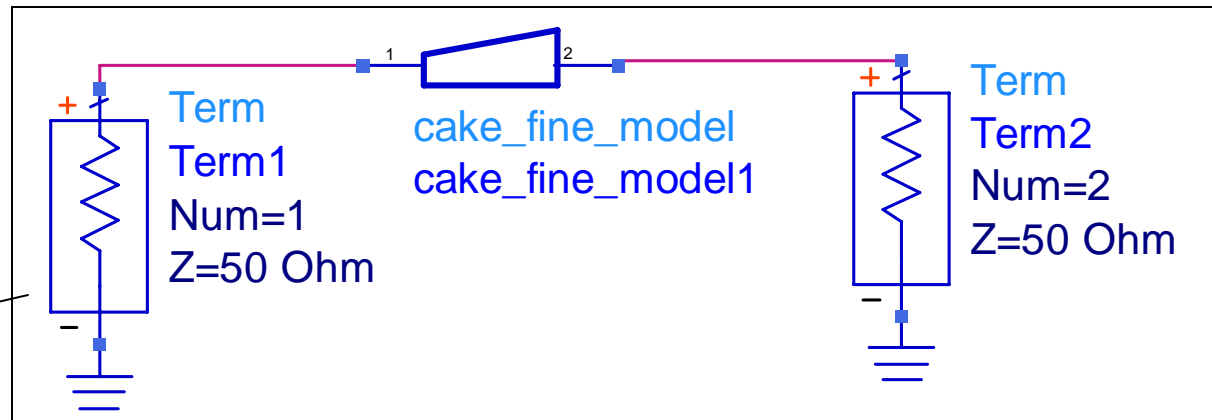
MeasEqn meas1
W=writepara2d(FileName , WriteAppend , HeaderText , Delimiter, LHS, x1, RHS)

MeasEqn meas2
FileName="para_x.txt"
WriteAppend="W"
HeaderText=""
Delimiter=""
x1=x[num_i-1,0]
num_i=sweep_size(x[:,0])

MeasEqn meas3
LHS=["X="]
RHS=["opt{ unconst}"]
    
```



Fine Model Simulation (*Cheng and Bandler, 2006*)



fine model

 S-PARAMETERS

frequency sweep

S_Param
SP1
Start=1.0 GHz
Stop=3.0 GHz
Step=1.0 GHz

Var Eqn
VAR
VAR1
x=X

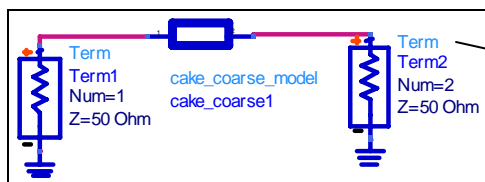
design parameter



Parameter Extraction (*Cheng and Bandler, 2006*)



fine model



frequency sweep

coarse model

S-PARAMETERS

S_Param
SP1
Start=1.0 GHz
Stop=3.0 GHz
Step=1.0 GHz

GOAL

Goal
fine_coarse_match_goal
Expr="abs(mag(S33)*mag(S11))"
SimInstanceName="SP1"
Min=
Max=0
Weight=
RangeVar[1]="freq"
RangeMin[1]=0.5 GHz
RangeMax[1]=1.5 GHz

OPTIM

Optim
fine_coarse_match1
OptimType=Quasi-Newton
ErrorForm=L2
MaxIters=1000
DesiredError=0.0
StatusLevel=4
FinalAnalysis="None"
NormalizeGoals=no
SetBestValues=yes
Seed=
SaveSolns=yes
SaveGoals=yes
SaveOptimVars=no
UpdateDataset=yes
SaveNominal=yes
SaveAllIterations=no
OptVar[1]="c"
GoalName[1]="fine_coarse_match_goal"
SaveCurrentEF=no

matching goal

optimization algorithm

VAR VAR2
x=X+c
VAR VAR1
c=0 opt{ unconst }

```
MeasEqn  
meas1  
W=Writepara2d{FileName , WriteAppend , HeaderText , Delimiter , LHS, no, RHS}
```

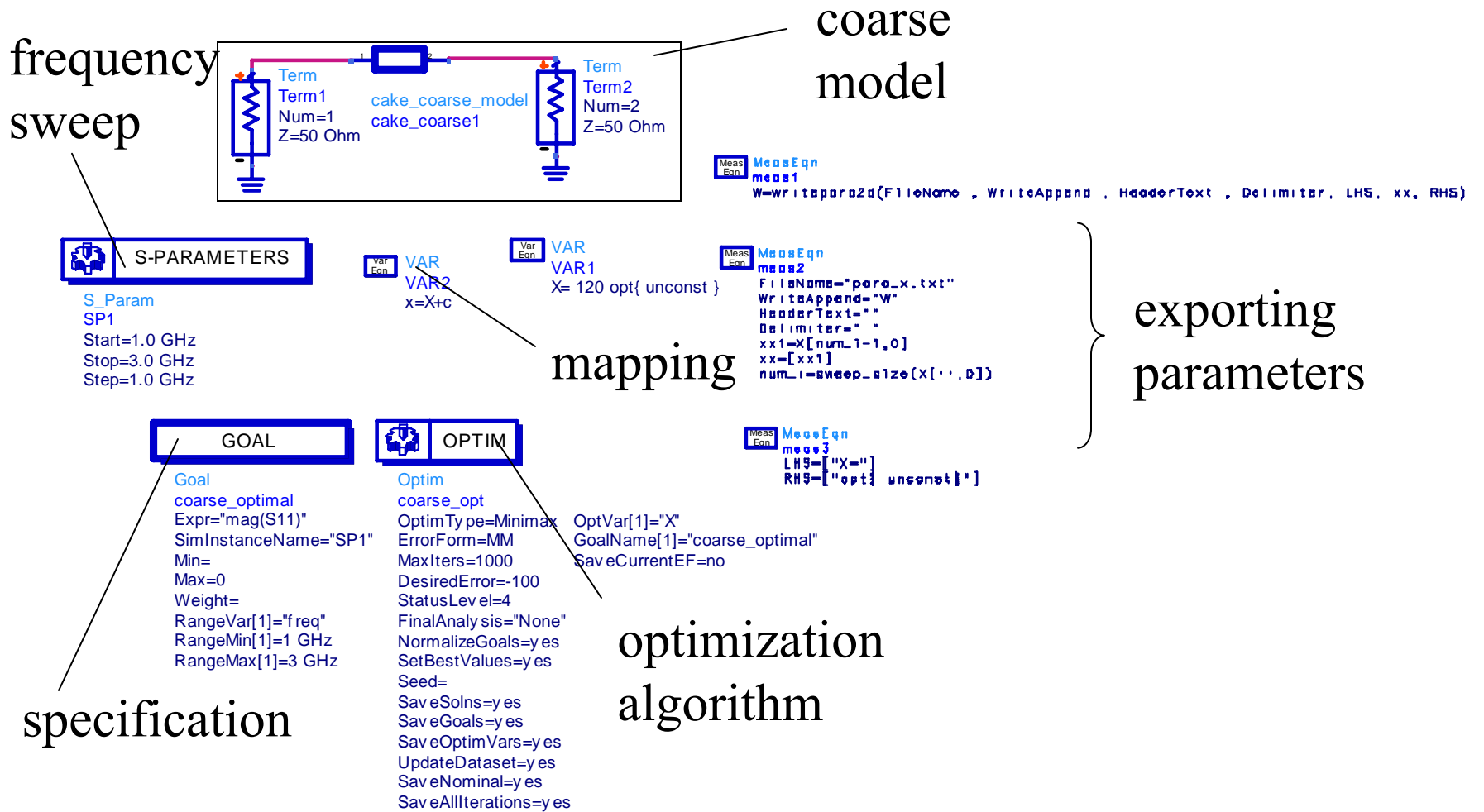
```
MeasEqn  
meas2  
FileName="para_o.txt"  
WriteAppend="W"  
HeaderText=""  
Delimiter=""  
cc1=c[num_i-1,0]  
cc=[cc1]  
num_i=sweep_size(a[...0])
```

```
MeasEqn  
meas3  
LHS=["e="]  
RHS=["opt unconst"]
```

exporting mapping parameter values to a file



Surrogate Re-optimization (Cheng and Bandler, 2006)



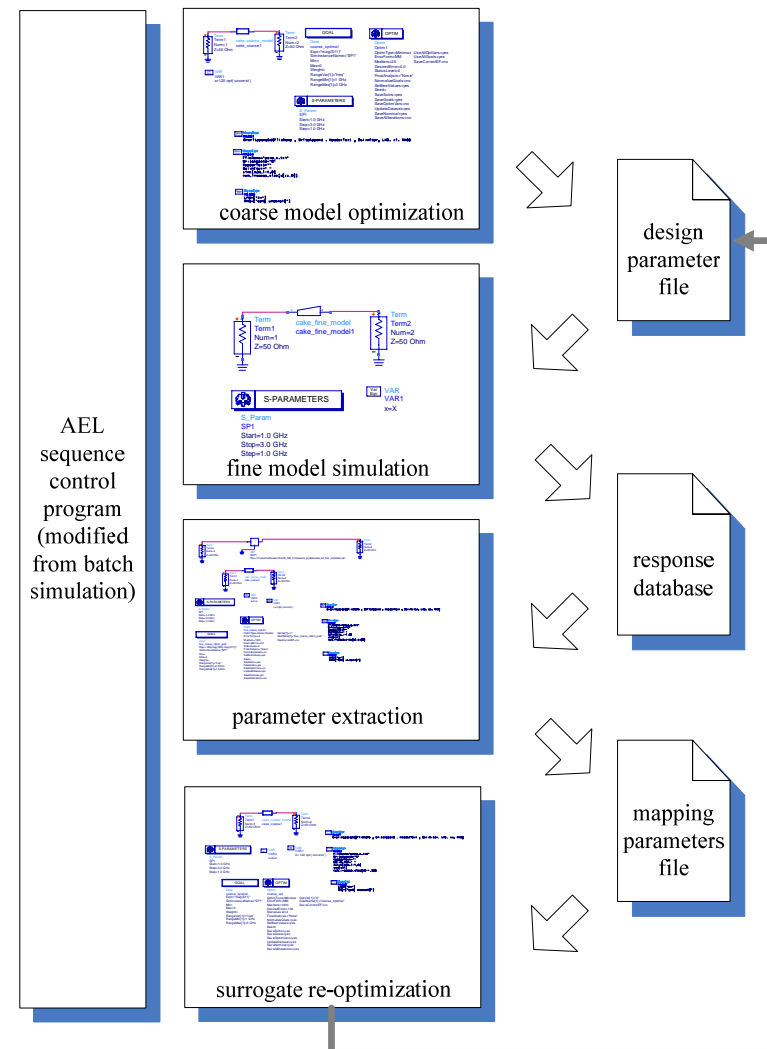
ADS Space Mapping Implementation Automation Diagram (Cheng and Bandler, 2006)

AEL batch simulation program

AEL function save parameter values to a file:
writepara2d

AEL function to load parameter values from a file:
read_equation_from_file

ADS component to load response:
SNP



User Instructions (*Cheng and Bandler, 2006*)

load our AEL functions

create the four schematics using the template, replacing the coarse and fine models, frequency sweep and variables

edit the list file to specify the folder and design names

click Load Queue to load the sequence

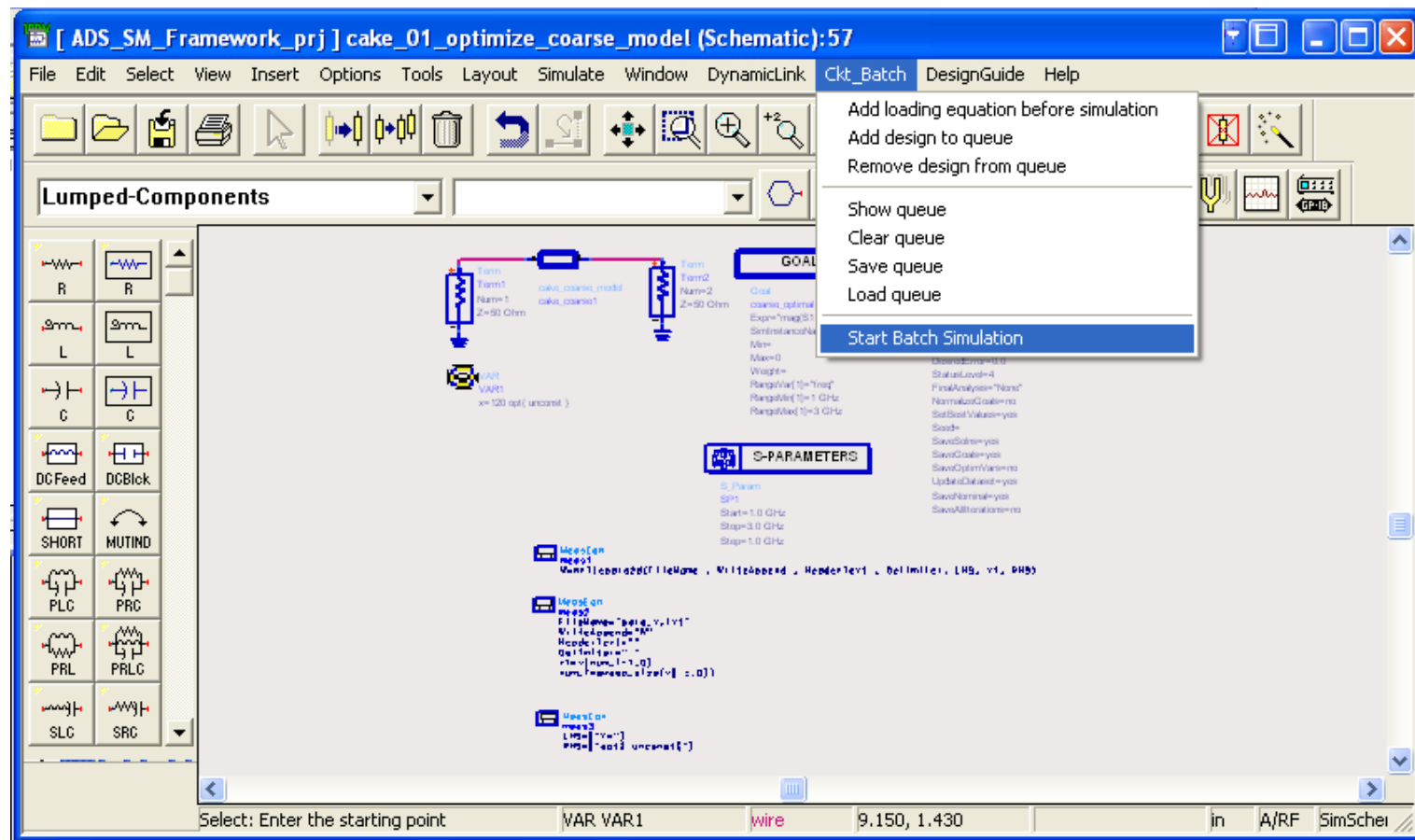
click Start Batch Simulation to run



ADS **SM** Implementation: Start

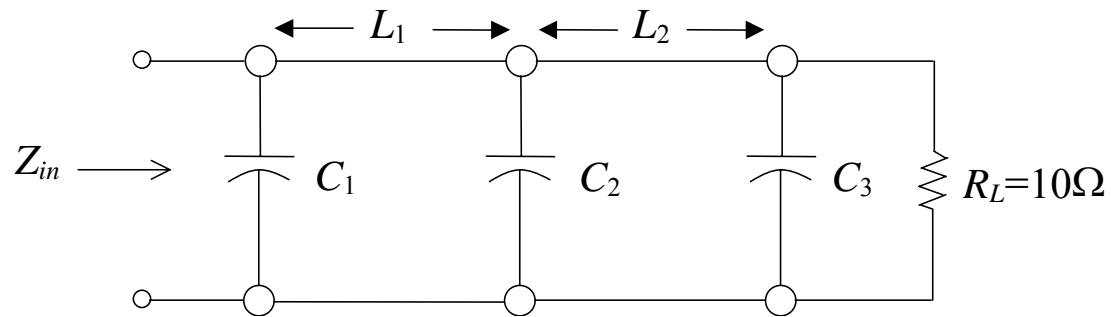
(Cheng and Bandler, 2006)

click Start Batch Simulation

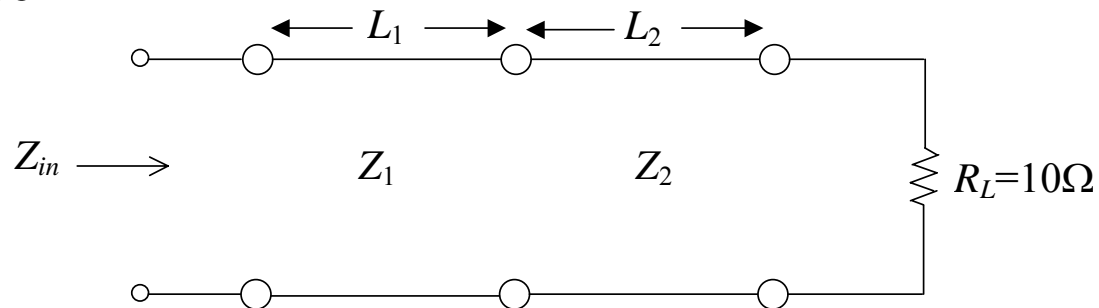


ADS **SM** Implementation of Two-section Impedance Transformer (*Cheng and Bandler, 2006*)

fine model

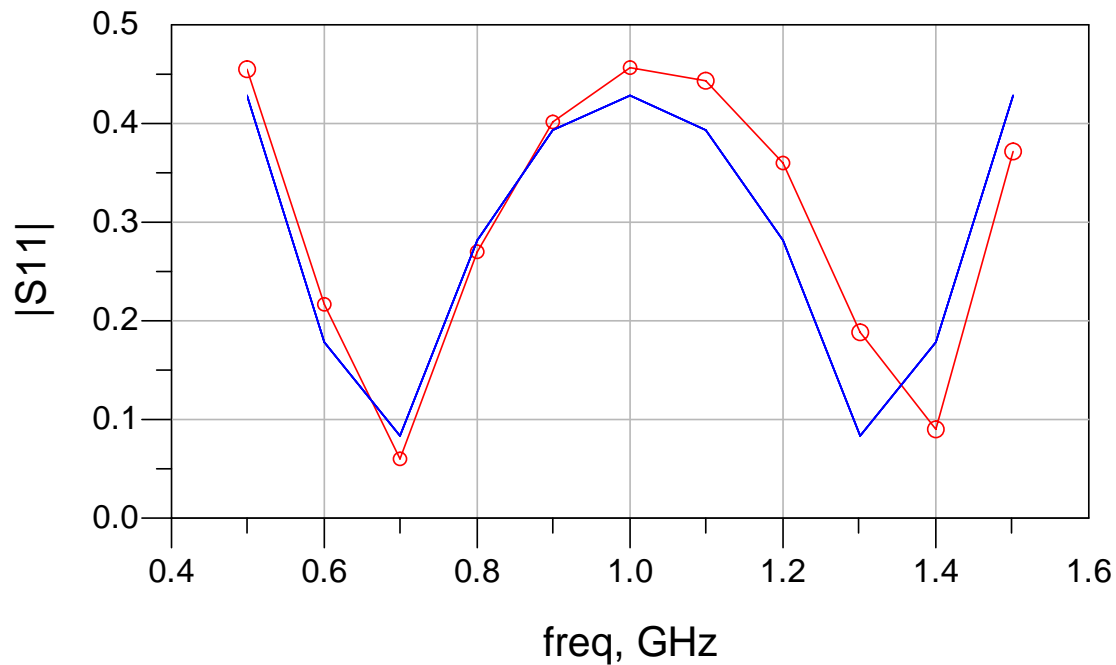


coarse model



ADS **SM** Implementation of Two-section Impedance Transformer Using c and d , and Obtained in Four Iterations

(Cheng and Bandler, 2006)



Eqn $m = \max(\text{mag}(S(1,1)))$

Eqn $\text{Spec_Err} = m - 0.5$

m	Spec_Err
0.456	-0.044



Space Mapping Technology: Our Current Work

new **SM** frameworks, **SM** modeling, **SM** optimization, software, convergence proofs, . . . (with S. Koziel, Reykjavik University)

antennas, metamaterials, microwaves, inverse problems, electromagnetic modeling and design (with M. Bakr and N. Nikolova, McMaster)

methodologies for electronic device and component model enhancement (with Q.J. Zhang, Carleton University)

tuning space mapping and its applications (with J.C. Rautio, Sonnet Software)



