

# **Optical Fibers- I**

# Maxwell's Equations

## Differential Form

$$\nabla \cdot \mathbf{D} = \rho \quad (1)$$

$$\nabla \cdot \mathbf{B} = 0 \quad (2)$$

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t} \quad (3)$$

$$\nabla \times \mathbf{H} = \mathbf{J} + \frac{\partial \mathbf{D}}{\partial t} \quad (4)$$

## Integral Form

$$\oint \mathbf{D} \cdot d\mathbf{S} = \int \rho dV$$

$$\oint \mathbf{B} \cdot d\mathbf{S} = 0$$

$$\oint \mathbf{E} \cdot d\mathbf{l} = -\frac{\partial}{\partial t} \int \mathbf{B} \cdot d\mathbf{S}$$

$$\oint \mathbf{H} \cdot d\mathbf{l} = \mathbf{I} + \frac{\partial}{\partial t} \int \mathbf{D} \cdot d\mathbf{S}$$

Electrical and Magnetic flux density,  $\mathbf{D}$  and  $\mathbf{H}$  are

$$\mathbf{D} = \epsilon \mathbf{E}, \quad \epsilon = \text{permittivity}$$

$$\mathbf{B} = \mu \mathbf{H}, \quad \mu = \text{permeability}$$

# Wave Equation

Using the vector identity

$$\nabla \times \nabla \times \mathbf{E} = \nabla(\nabla \cdot \mathbf{E}) - \nabla^2 \mathbf{E} \quad (5)$$

and using Eqs. (3) and (4),

$$\nabla \times \nabla \times \mathbf{E} = -\frac{\partial}{\partial t} (\nabla \times \mathbf{B}) = -\mu \frac{\partial}{\partial t} \left( \mathbf{J} + \frac{\partial \mathbf{D}}{\partial t} \right) \quad (6)$$

In the source free region,  $\rho = \mathbf{J} = 0$ . From (5) and (6),

$$\nabla^2 \mathbf{E} = \mu \epsilon \frac{\partial^2 \mathbf{E}}{\partial t^2}$$

Similar calculation for magnetic field yields

$$\nabla^2 \mathbf{H} = \mu \epsilon \frac{\partial^2 \mathbf{H}}{\partial t^2}$$

# Wave Equation

The permittivity  $\epsilon$  and permeability  $\mu$  can be written as

$$\epsilon = \epsilon_0 \epsilon_r \qquad \mu = \mu_0 \mu_r$$

$\epsilon_0$  = free space permittivity.  $\mu_0$  = free space permeability.

$\epsilon_r = n^2$  = relative permittivity, which is medium dependent.

$n$  = refractive index.

$\mu_r$  = relative permeability = 1 for dielectrics.

Velocity of light in free space,  $c$  is

$$c = \frac{1}{\sqrt{\mu_0 \epsilon_0}}$$

# Wave Equation

The wave equation for electric field can be rewritten as

$$\nabla^2 \mathbf{E} = \frac{n^2}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} \quad (8)$$

Eq. (8) is a vector equation for components  $E_x$ ,  $E_y$  and  $E_z$ .

These equations should be solved with the boundary conditions that tangential electric and magnetic fields be continuous at the boundary.

But if the refractive index difference at the boundary is small, one could use scalar wave approximation, i.e.

$$\nabla^2 \psi = \frac{n^2(r)}{c^2} \frac{\partial^2 \psi}{\partial t^2}$$

$\psi$  could represent a transverse electric field component

$E_x$  or  $E_y$ .

# Linearly Polarized (LP) Modes of Optical Fiber

Let

$$\psi(r, \theta, z, t) = \phi(r, \theta) \exp[i(\omega t - \beta z)] \quad (9)$$

$$\frac{\partial^2 \phi}{\partial r^2} + \frac{1}{r} \frac{\partial \phi}{\partial r} + \frac{1}{r^2} \frac{\partial^2 \phi}{\partial \theta^2} + [k_0^2 n^2(r) - \beta^2] \phi = 0 \quad (10)$$

$$\frac{\omega}{c} = k_0 = \frac{2\pi}{\lambda_0}$$

$\lambda_0$  = free space wavelength

# LP Modes

Using the method of separation of variable

$$\phi = R(r)\Theta(\theta) \quad (11)$$

$$\frac{r^2}{R} \left( \frac{d^2 R}{dr^2} + \frac{1}{r} \frac{dR}{dr} \right) + r^2 [k_0^2 n^2(r) - \beta^2] = -\frac{1}{\Theta} \frac{d^2 \Theta}{dr^2} = l^2 \quad (12)$$

$l = \text{const.}$

$$\frac{d^2 \Theta}{dr^2} + l^2 \Theta = 0 \quad (13)$$

$$\Theta(\theta) = A \cos(l\theta) + B \sin(l\theta)$$

Since  $\Theta(\theta + 2\pi) = \Theta(\theta)$

$$= A \cos[l(\theta + 2\pi)] + B \sin[l(\theta + 2\pi)]$$

$$= A \cos(l\theta) + B \sin(l\theta) \quad (14)$$

Eq. (14) would be true only if  $l$  is an integer, 0,1,2...

We could choose either the form  $\cos(l\theta)$  or  $\sin(l\theta)$  for  $\Theta$ .

# LP Modes

From Eq.(14),

$$\frac{d^2 R}{dr^2} + \frac{1}{r} \frac{dR}{dr} + \left[ k_0^2 n^2(r) - \beta^2 - \frac{l^2}{r^2} \right] R = 0$$

For step index fiber

$$n^2(r) = n_1^2 \quad \text{for } r < a \quad (\text{core})$$

$$= n_2^2 \quad \text{for } r \geq a \quad (\text{cladding})$$

**Guided Modes** :  $(k_0^2 n_2^2 < \beta^2 < k_0^2 n_1^2)$

$$U = a (k_0^2 n_1^2 - \beta^2)^{1/2}$$

$$W = a (\beta^2 - k_0^2 n_2^2)^{1/2}$$

$$V = (U^2 + W^2)^{1/2} = k_0 a (n_1^2 - n_2^2)^{1/2}$$

# LP Modes

$$\frac{d^2 R}{dr^2} + \frac{1}{r} \frac{dR}{dr} + \left[ \frac{U^2}{a^2} - \frac{l^2}{r^2} \right] R = 0 \quad r < a$$

$$\frac{d^2 R}{dr^2} + \frac{1}{r} \frac{dR}{dr} - \left[ \frac{W^2}{a^2} + \frac{l^2}{r^2} \right] R = 0 \quad r \geq a$$

For  $r < a$ ,

$$R(r) = CJ_l(Ur/a) + DY_l(Ur/a)$$

$J_l$  and  $Y_l$  are Bessel functions of first and second

kind, resp.  $Y_l(0) = -\infty$ , so we can not have the term with

$Y_l$ . i.e.  $D = 0$ .

$$R(r) = CJ_l(Ur/a)$$

For  $r \geq a$

$$R(r) = EK_l(Wr/a) + FI_l(Wr/a)$$

$K_l$  and  $I_l$  are modified Bessel functions of first and second

kind, resp.  $I_l$  is rejected because  $I_l(\infty) = \infty$ .

# LP Modes

For  $r \geq a$

$$R(r) = EK_l(Wr/a)$$

The field  $\psi$  and its derivative  $\partial\psi/\partial r$  should be continuous at the boundary  $r = a$ . If  $\partial\psi/\partial r$  is not continuous,  $\partial^2\psi/\partial r^2$  would be a Dirac Delta function and from Eq. (10), we see that  $n^2(r)$  would be a Dirac Delta function which is not consistent.

In our example,  $n^2(r)$  is a step function.

$$R(a) = CJ_l(U) = EK_l(W)$$

$$\left. \frac{dR}{dr} \right|_{r=a} = UCJ_l'(U) = WEK_l'(W)$$

$$\frac{UJ_l'(U)}{J_l(U)} = \frac{WK_l'(W)}{K_l(W)} \quad (15)$$

The transcendental equation (15) should be numerically solved for the unknown  $\beta$  or  $U$ .

# LP Modes

For numerical calculation, it is easier if we avoid derivatives.

Using the identities :

$$UJ'_l(U) = UJ_{l-1}(U) - lJ_l(U)$$

$$WK'_l(W) = -WK_{l-1}(W) - lK_l(W)$$

From Eq.(15), we obtain

$$\frac{UJ_{l-1}(U)}{J_l(U)} = -\frac{WK_{l-1}(W)}{K_l(W)} \quad (16)$$

It is convenient to use normalized prop. const.  $b$  and normalized parameter  $V$ .

$$b = \frac{W^2}{V^2} = \frac{\beta^2 - k_0^2 n_2^2}{k_0^2 (n_1^2 - n_2^2)} \quad (17)$$

$$U^2 = V^2(1 - b)$$

Solve Eq.(16) with  $b$  as unknown. Since  $k_0^2 n_2^2 < \beta^2 < k_0^2 n_1^2$ , we must have  $0 < b < 1$ .

# LP modes

## Mode Classification :

The modes are designated as  $LP_{lm}$  where  $l$  = mode order and  $m$  = radial mode number.

$l$  is the index corresponding to the variations along  $\theta$ .

$m$  is the index corresponding to the variations along  $r$ .

When  $l = 0$ , the field does not vary as a function of  $\theta$ .

## Mode Cutoff :

At cutoff  $\beta = k_0 n_2$  and therefore,

$$b = 0, W = 0, U = V \equiv V_c$$

For the  $l = 1$  mode, from Eq.(16) , we have

$$V_c J_0(V_c) = 0 \quad (18)$$

Solving eq.(18), we have  $V_c = 2.408$  which is the cutoff condition for LP11 mode.

# Mode Cutoff

For a step index fiber with

$$0 < V < V_c (=2.4048)$$

we will have only one guided mode namely LP<sub>01</sub> mode.

Such a fiber is referred to as single mode fibers and

is of tremendous importance in optical communication

systems.

Since V-number is inversely proportional to wavelength,

above condition implies that the fiber will remain single moded if

$$\lambda > \lambda_c = \frac{2\pi a (n_1^2 - n_2^2)^{1/2}}{V_c}$$

$\lambda_c$  is called cut-off wavelength. The fiber will support more than

one mode if the operating wavelength is less than  $\lambda_c$ .

# Effective Index

- When an optical mode is interpreted as a ray, we could define the refractive index “seen” by the ray as if it is propagating in a uniform medium. Mathematically, effective refractive index is defined as

$$n_{\text{eff}} = \beta / k_0$$

$$k_0 = 2\pi / \lambda = \text{free space prop. const.}$$

## Problem #1

The single mode step-index fiber to be designed should have a cutoff wavelength of 1.2 microns or less. R.I. of the core and cladding are 1.55 and 1.545, respectively. The free space wavelength = 1.55 microns. What is the maximum core radius?

## Problem #2

For a step-index fiber, R.I. of the cladding=1.52.  $\Delta=0.3\%$ .  
Wavelength = 1.55 and core radius = 10 microns.  
Calculate V number. Is this fiber a single mode fiber?