# Covering Sets for Limited-Magnitude Errors<sup>\*</sup>

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**Abstract.** The concept of a covering set for the limited-magnitude error channel is introduced. A number of covering-set constructions, as well as some bounds, are given. In particular, optimal constructions are given for some cases involving small-magnitude errors.

#### 1 Introduction

For integers a, b, where  $a \leq b$ , we let

$$[a,b] = \{a,a+1,a+2,\ldots,b\}, \qquad [a,b]^* = \{a,a+1,a+2,\ldots,b\} \setminus \{0\}.$$

Throughout this paper, let  $\mu$ ,  $\lambda$  be integers such that  $0 \leq \mu \leq \lambda$ , and let q be a positive integer. In the  $(\lambda, \mu; q)$  limited-magnitude error channel an element  $a \in \mathbb{Z}_q$  can be changed into any element in the set  $\{(a + e) \mod q \mid e \in [-\mu, \lambda]\}$ . For convenience we shall also set  $M = [-\mu, \lambda]^*$ .

For any  $S \subseteq \mathbb{Z}_q$  we define  $MS = \{xs \in \mathbb{Z}_q \mid x \in M, s \in S\}$ , where multiplication is done modulo q. If  $|MS| = (\mu + \lambda)|S|$ , then S is packing set. A packing set S where  $MS \subseteq \mathbb{Z}_q \setminus \{0\}$  is a  $B[-\mu, \lambda](q)$  set in the terminology of [8].

If  $\mathbf{s} = (s_1, s_2, ..., s_n)$ , where  $\{s_1, s_2, ..., s_n\}$  is a  $B[-\mu, \lambda](q)$  set, then

$$\left\{ \mathbf{x} \in \mathbb{Z}_q^n \mid \mathbf{x} \cdot \mathbf{s} \equiv 0 \pmod{q} \right\}$$

is a code that can correct a single limited-magnitude error from the set  $[-\mu, \lambda]$ . Such codes have been studied in, e.g., [1]-[6], and [8].

Similar to packing sets, we can consider covering sets, where a set S is called a  $(\lambda, \mu; q)$  covering set if |MS| = q. Thus, covering sets are to packing sets as covering codes are to error-correcting codes. Instead of trying to pack many disjoint translates  $Ms, s \in S$ , into  $\mathbb{Z}_q$ , in the covering set scenario we are interested in having the union of  $Ms, s \in S$ , cover  $\mathbb{Z}_q$  entirely with S being as small as possible. Some results where  $\mu = 0$  or  $\mu = \lambda$  are described in [7]. Apart from its independent intellectual merit, solving this problem for  $\mu = 0$  has immediate applications, such as rewriting schemes for non-volatile memories, a simplified version of which we now describe. For a more detailed description the reader is referred to [2] and references therein.

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Consider a set of n flash memory cells, each capable of storing an integer from  $\mathbb{Z}$ . Let G be some finite abelian group, say  $G = \mathbb{Z}_q$ , and some subset  $S = \{s_1, s_2, \ldots, s_n\} \subseteq G$ , where we denote  $\mathbf{s} = (s_1, s_2, \ldots, s_n)$ . Define the *decoding* mapping  $\mathcal{D} : \mathbb{Z}^n \to \mathbb{Z}_q$  as  $\mathcal{D}(\mathbf{x}) = \mathbf{x} \cdot \mathbf{s}$ .

If we want to store the value  $v \in \mathbb{Z}_q$  in the *n* memory cells, we choose a vector  $\mathbf{x} \in \mathbb{Z}^n$  such that  $\mathcal{D}(\mathbf{x}) = v$ , and store the *i*-th component of  $\mathbf{x}$  in the *i*-th cell. If we then want to *rewrite* this value with  $v' \in \mathbb{Z}_q$ , we can choose a different vector  $\mathbf{x}' \in \mathbb{Z}^n$  that decodes to v'. Due to the limitations of flash memory, we would like  $x'_i$  to be in the range  $[x_i - \mu, x_i + \lambda]$ , and to leave as many cells as possible unchanged. In the extreme case, we allow only a single cell to change. To be able to allow any value v to be rewritten with v' while changing the stored integer in a single cell as above, S can be taken to be a  $(\lambda, \mu; q)$  covering set. This is because we can write  $v' - v = ms_i$  with  $m \in [-\mu, \lambda]$ ,  $s_i \in S$ , and then choose  $\mathbf{x}' = \mathbf{x} + m\mathbf{e_i}$ , where  $\mathbf{e_i}$  is the *i*-th standard unit vector. For maximum efficiency, we would like S to be as small as possible.

We say S is a  $(\lambda, \mu; q)$  perfect covering set if  $MS = \mathbb{Z}_q$ , |M|(|S|-1) = q-1, and  $0 \in S$ . In other words, S is a perfect covering set if, apart from  $0 \in S$ , the products ms in  $\mathbb{Z}_q$ , where  $m \in M$ ,  $s \in S$ , are all distinct, non-zero, and cover all the non-zero elements of  $\mathbb{Z}_q$ . These are also called abelian group splittings in the terminology of [7].

Similarly, S is a perfect packing set if the products ms in  $\mathbb{Z}_q$ , where  $m \in M$ ,  $s \in S$ , are all distinct, non-zero, and cover all the non-zero elements of  $\mathbb{Z}_q$ . We note that S is a perfect covering if and only if  $S \setminus \{0\}$  is a perfect packing set.

The following functions shall be of interest to us:

$$\begin{split} \nu(q,r) &= \nu_{\lambda,\mu}(q,r) = \max_{S \subseteq \mathbb{Z}_q} \left\{ |MS| \mid |S| = r \right\}, \\ \theta(q) &= \theta_{\lambda,\mu}(q) = \max_{r \in \mathbb{N}} \left\{ r \mid \nu(q,r) = (\mu + \lambda)r \right\}, \\ \omega(q) &= \omega_{\lambda,\mu}(q) = \min_{r \in \mathbb{N}} \left\{ r \mid \nu(q,r) = q \right\}. \end{split}$$

Intuitively speaking,  $\nu(q, r)$  expresses the maximum coverage of sets of size r,  $\theta(q)$  is the maximum size of a packing set, and  $\omega(q)$  is the minimum size of a covering set. If S is a  $(\lambda, \mu; q)$  covering set of minimal size  $\omega_{\lambda,\mu}(q)$ , we call S optimal. We first prove some basic monotonicity properties.

**Theorem 1.** Let  $\mu'$  and  $\lambda'$  be integers such that  $-\mu \leq -\mu' \leq 0 \leq \lambda' \leq \lambda$ . Then

$$\nu_{\lambda',\mu'}(q,r) \le \nu_{\lambda,\mu}(q,r), \quad \theta_{\lambda',\mu'}(q) \ge \theta_{\lambda,\mu}(q), \quad \omega_{\lambda',\mu'}(q) \ge \omega_{\lambda,\mu}(q).$$

*Proof.* If we denote  $M' = [-\mu', \lambda']^*$  then obviously  $M' \subseteq M$  and therefore  $M'S \subseteq MS$ . The claims follow immediately.  $\Box$ 

We now give a simple lower bound.

**Theorem 2.** We have  $\omega_{\lambda,\mu}(q) \ge \left\lceil \frac{q}{\lambda+\mu} \right\rceil$ .

*Proof.* By definition, there exists an optimal covering set S. Therefore,

$$q = |MS| \le (\lambda + \mu)|S| = (\lambda + \mu)\,\omega_{\lambda,\mu}(q),$$

and the theorem follows.

*Example 1.* For  $\mu = 0$  and  $\lambda = 1$  we clearly have MS = S for all sets S. Hence,  $\nu_{1,0}(q, r) = r$  and  $\theta_{1,0}(q) = \omega_{1,0}(q) = q$ .

Example 2. Let  $\mu = \lambda = 1$ . For  $1 \leq r \leq \lfloor q/2 \rfloor$  we have |M[1,r]| = 2r. Hence,  $\nu(q,r) = 2r$ . For  $\lfloor q/2 \rfloor + 1 \leq r \leq q$  we have |M[0,r-1]| = q. Hence,  $\nu(q,r) = q$ . We can conclude that  $\theta_{1,1}(q) = \lfloor q/2 \rfloor$  and  $\omega_{1,1}(q) = \lceil q/2 \rceil$ .

For  $\lambda \geq 2$ , it seems to be quite complicated to determine  $\theta$  and  $\omega$  in many cases. For  $\lambda = 2$ ,  $\theta_{2,0}(q)$  was determined in [4],  $\theta_{2,1}(q)$  in [8], and  $\theta_{2,2}(q)$  in [5]. In the next sections we consider  $\omega_{2,0}(q)$  and  $\omega_{2,1}(q)$ . Because of the page limitations, our results on  $\omega_{2,2}(q)$  is are not given here.

We first give a general BCH-like upper bound.

**Theorem 3.** Let p be a prime, and let g be a primitive element in  $\mathbb{Z}_p$ . If  $[-\mu, \lambda]^*$  contains  $\delta$  consecutive powers of g then  $\omega_{\lambda,\mu}(p) \leq \left\lceil \frac{p-1}{\delta} \right\rceil + 1$ .

Proof. One can easily verify that the set

$$S = \{0\} \cup \left\{ g^{\delta i} \mid 0 \le i \le \left\lceil \frac{p-1}{\delta} \right\rceil - 1 \right\}$$

is indeed a  $(\lambda, \mu; q)$  covering set.

Another upper bound is the following.

**Theorem 4.** If q and r are odd, then  $\omega_{2,\mu}(qr) \leq r(\omega_{2,\mu}(q)-1) + \omega_{2,\mu}(r)$ .

*Proof.* Let S be an optimal  $(2, \mu; q)$  covering set and D an optimal  $(2, \mu; r)$  covering set. We remind that  $\mu \leq \lambda = 2$ . Since q is odd,  $ac \equiv 0 \pmod{q}$  for some  $a \in [-\mu, 2]^*$ , only if c = 0. Therefore, we must have  $0 \in S$ . Similarly,  $0 \in D$ . Let

$$E = \{ cq + s \in \mathbb{Z}_{qr} \mid c \in [0, r-1], s \in S \setminus \{0\} \} \cup \{ qd \in \mathbb{Z}_{qr} \mid d \in D \}.$$

Then  $|E| = r(\omega_{2,\mu}(q) - 1) + \omega_{2,\mu}(r)$ . We will show that E is a  $(2,\mu;qr)$  covering set.

First, consider the case  $b \in \mathbb{Z}_{qr}$ ,  $b \not\equiv 0 \pmod{q}$ . Let  $b_1 \equiv b \pmod{q}$ ,  $b_1 \in [1, q-1]$ , that is  $b = mq + b_1$  for some integer m. Furthermore,  $b_1 \equiv as \pmod{q}$  for some  $a \in [-\mu, 2]^*$  and  $s \in S \setminus \{0\}$ . That is,  $as = m_1q + b_1$  for some integer  $m_1$ . Hence

$$b = mq + (as - m_1q) = (m - m_1)q + as.$$

Since qr is odd, we note that all the elements of  $[-\mu, 2]$  are invertible in  $\mathbb{Z}_{qr}$ . Thus,

$$b = (m - m_1)q + as \equiv a[a^{-1}(m - m_1)q + s] \pmod{qr}.$$

This shows that  $b \in [-\mu, 2]^* E$ .

Next, consider the case  $b \in \mathbb{Z}_{qr}$ ,  $b \equiv 0 \pmod{q}$ . Then  $b = qb_2$ . There exist  $a \in [-\mu, 2]^*$  and  $d \in D$  such that  $b_2 \equiv ad \pmod{r}$ . Hence  $b = qb_2 \equiv a(qd) \pmod{qr}$ , that is  $b \in [-\mu, 2]^* E$  also in this case.

## 2 Determination of $\omega_{2,0}(q)$

For  $S \subseteq \mathbb{Z}_q$  and  $(\lambda, \mu) = (2, 0)$ , we have  $M = [0, 2]^* = \{1, 2\}$  and

$$MS = \bigcup_{s \in S} \{s, 2s\}$$

First, we consider q = 2m + 1. For an integer  $a \in \mathbb{Z}_{2m+1} \setminus \{0\}$ , the corresponding cyclotomic coset is

$$\sigma(a) = \left\{ a2^j \mod (2m+1) \mid j \ge 0 \right\}.$$

If 2m+1 is a prime, then all the cosets have the same size. We see that a packing set can contain at most  $\lfloor |\sigma(a)|/2 \rfloor$  of the elements in  $\sigma(a)$ , and we can find a packing set with this many elements. Let  $\varsigma(2m+1)$  be the number of cyclotomic cosets of odd size. Then we get

$$\theta_{2,0}(2m+1) = m - \varsigma(2m+1)/2.$$

This is Theorem 7 in [3], where a more detailed proof is given.

Similarly, a covering set must contain at least  $\lceil |\sigma(a)|/2 \rceil$  of the elements in  $\sigma(a)$ , and we can find a covering set with this many elements. Moreover, a covering set must contain 0. Hence

$$\omega_{2,0}(2m+1) = m + 1 + \varsigma(2m+1)/2. \tag{1}$$

An explicit expression for  $\varsigma(2m+1)$  is given as Theorem 2 in [4]. Combining this with (1), we get the following theorem where  $\varphi(d)$  is Euler's function and  $\operatorname{ord}_p(2)$  is the multiplicative order of 2 modulo p.

**Theorem 5.** If  $2m + 1 = p_1^{t_1} p_2^{t_2} \cdots p_s^{t_s}$  is the prime factorization of 2m + 1, let  $q_o = \prod_{\substack{1 \leq i \leq s \\ p_i \in P_o}} p_i^{t_i}$ , where  $P_o$  is the set of odd primes p such that  $\operatorname{ord}_p(2)$  is odd. Then

$$\omega_{2,0}(2m+1) = m+1 + \sum_{d|q_o, d>1} \frac{\varphi(d)}{2 \operatorname{ord}_d(2)}$$

In particular, a perfect (2,0;2m+1) set exists if and only if none of the primes dividing 2m+1 belongs to  $P_o$ .

**Theorem 6.** For  $m \ge 0$  we have  $\omega_{2,0}(4m+2) = 2m+1$ .

*Proof.* By Theorem 2,  $\omega_{2,0}(4m+2) \ge 2m+1$ . On the other hand,  $\{1, 3, \dots, 4m+1\}$  is a covering set of size 2m+1.

**Theorem 7.** For all  $m \ge 1$  we have  $\omega_{2,0}(4m) = 2m + \omega_{2,0}(m)$ .

*Proof.* Let D be an optimal (2,0;m) covering set. The set

$$\{2a+1 \mid a \in [0, 2m-1]\} \cup \{4d \mid d \in D\}$$

is easily seen to be a (2,0;4m) set of size  $2m + \omega_{2,1}(m)$ . Hence,

$$\omega_{2,0}(4m) \le 2m + \omega_{2,0}(m). \tag{2}$$

On the other hand, let S be an (2, 0; 4m) covering set. Clearly, S must contain  $E = \{2a + 1 \mid a \in [0, 2m - 1]\}$ . Let X be the set of even elements in S. Let  $s \in X$ . If  $s \equiv 2 \pmod{4}$ , then  $s \in ME$ , where M = [1, 2]. Hence we can replace s by 2s in S and still have a covering set. Therefore, we may assume that all the elements of X are divisible by 4. Define

$$D = \{s/4 \mid s \in X\}.$$

We will show that D is a covering (2,0;m) set. Let  $a \in \mathbb{Z}_m$ . Then  $4a \in \mathbb{Z}_{4m}$ . Hence, we have two possibilities:

$$-4a \in X$$
. Then  $a \in D$ .

 $-4a \notin X$ . Then  $4a = 2 \cdot 4b$ , where  $4b \in X$ , and so a = 2b where  $b \in D$ .

Hence  $MD = \mathbb{Z}_m$ . Therefore we get

$$\omega_{2,0}(4m) = |X| + 2m = |D| + 2m \ge \omega_{2,0}(m) + 2m$$

Combined with (2), this proves the theorem.

## 3 Some results on $\omega_{2,1}(q)$

For  $S \subseteq \mathbb{Z}_q$  and  $(\lambda, \mu) = (2, 1)$ , we have  $M = [-1, 2]^* = \{-1, 1, 2\}$  and

$$MS = \bigcup_{s \in S} \left\{s, -s, 2s\right\}$$

**Theorem 8.** For all  $m \ge 1$  we have  $\omega_{2,1}(2m+1) = m+1$ .

*Proof.* The set [0, m] is clearly a (2, 1; 2m + 1) covering set. Hence

$$\omega_{2,1}(2m+1) \le m+1. \tag{3}$$

Now, let S be a set of minimal size covering  $\mathbb{Z}_{2m+1}$ . We note that for  $x \in [-1,2]^*$  we have  $xs \equiv 0 \pmod{2m+1}$  if and only if s = 0. Hence  $0 \in S$ . Since  $0 \in S$  covers only  $0 \in \mathbb{Z}_{2m+1}$  we shall, for the rest of the proof, only consider non-zero elements in S and the covering of non-zero elements in  $\mathbb{Z}_{2m+1}$ . We partition the elements of  $\mathbb{Z}_{2m+1}^*$  into the "positive" and "negative" elements,

$$P = \{1, 2, \dots, m\}$$
 and  $N = \{-1, -2, \dots, -m\}.$ 

We will determine a particular ordering  $s_0 = 0, s_1, s_2, \ldots$  of the elements of S. We use the notation  $S_i = \{s_1, s_2, \ldots, s_i\}$ . We shall say  $MS_i$  is of configuration (j, k) if

$$|P \cap MS_i| = j$$
 and  $|N \cap MS_i| = k$ .

We shall further say that a configuration (j, k) is balanced if j = k, almost balanced if |j - k| = 1, and imbalanced otherwise. We will show by induction that there is an ordering with the following properties:

1. If  $MS_i$  is balanced then:

(a)  $a \in MS_i$  iff  $-a \in MS_i$ .

- (b)  $|MS_i| \leq 2i$
- 2. If  $MS_i$  is almost balanced then:
  - (a)  $a \in MS_i$  iff  $-a \in MS_i$ , except for exactly one element in  $MS_i$ .
  - (b)  $-2s_i \notin MS_i$ .
  - (c)  $|MS_i| \le 2i+1.$
- 3.  $MS_i$  is never imbalanced.

If 2m+1 is divisible by 3, then we must have  $(2m+1)/3 \in S$  or  $-(2m+1)/3 \in S$  (but not both since S has minimal size). In this case, we choose this as  $s_1$ . Then

$$MS_1 = \left\{\frac{2m+1}{3}, 2\frac{2m+1}{3}\right\}$$

which is a balanced set of size 2. Otherwise, 2m + 1 is not divisible by 3, and we choose any non-zero element of S as  $s_1$  and we get  $MS_1 = \{s_1, -s_1, 2s_1\}$ , an imbalanced set of size 3. Moreover,  $-2s_1 \notin MS_1$ . Thus, the induction basis is proved.

For the induction step, let us assume the hypothesis holds for i, and we show how to pick  $s_{i+1}$ . We consider the following cases:

- 1.  $MS_i$  is balanced: If we choose as  $s_{i+1}$  an element that is already covered, i.e.,  $s_{i+1} \in MS_i$ , then by the induction hypothesis  $-s_{i+1}$  is also covered. Now, if  $2s_{i+1}$  is covered, then again,  $-2s_{i+1}$  is covered and so  $MS_i = MS_{i+1}$  and is balanced. If, on the other hand,  $2s_{i+1}$  is not covered then so is  $-2s_{i+1}$ , but then  $2s_{i+1} \in MS_{i+1}$  and  $-2s_{i+1} \notin MS_{i+1}$  and so  $MS_{i+1}$  is almost balanced. If we choose  $s_{i+1}$  that is not covered, then  $-s_{i+1}$  is also not covered. As before, if  $2s_{i+1}$  is covered, then so is  $-2s_{i+1}$  and  $MS_{i+1}$  is balanced. Otherwise,  $2s_{i+1}$  is not covered and  $MS_{i+1}$  is almost balanced since  $-2s_{i+1} \notin MS_{i+1}$ .
- 2.  $MS_i$  is almost balanced: By the induction hypothesis  $-2s_i \notin MS_i$ . We must have  $-2s_i \in \{s, -s, 2s\}$  for some  $s \in S$ . We choose  $s_{i+1}$  to be one such s. We therefore have three subcases here to consider:
  - (a)  $s_{i+1} = -2s_i$ . In that case  $-s_{i+1}$  is already covered. We note that  $2s_{i+1}$  and  $-2s_{i+1}$  are both covered or both not covered, which results in  $MS_{i+1}$  being balanced or almost balanced (with  $-2s_{i+1} \notin MS_{i+1}$ ) respectively.
  - (b)  $-s_{i+1} = -2s_i$ , that is,  $s_{i+1} = 2s_i$ . This is exactly like the previous case only  $s_{i+1}$  is already covered.

(c)  $2s_{i+1} = -2s_i$ , that is,  $s_{i+1} = -s_i$ . In this case both  $s_{i+1}$  and  $-s_{i+1}$  are already covered, as well as  $-2s_{i+1} = 2s_i$  being covered. We now have  $2s_{i+1} = -2s_i \in MS_{i+1}$  and  $MS_{i+1}$  is balanced.

We note that in all cases we never reach an imbalanced state, and it is a matter of simple bookkeeping to verify the size of  $MS_{i+1}$  does not exceed the claim.

Having proved the claims by induction, assume  $MS_i = \mathbb{Z}_{2m+1}^*$ , i.e., a covering of the non-zero elements of  $\mathbb{Z}_{2m+1}$ . Since  $MS_i$  is obviously balanced, by the claims above  $i \geq m$ . Since we need to add 0 to  $S_i$  to get a covering of  $\mathbb{Z}_{2m+1}$  we get  $\omega_{2,1}(2m+1) \geq m+1$ . Combining this with (3), the theorem follows.  $\Box$ 

**Theorem 9.** For all  $m \ge 1$  we have  $\omega_{2,1}(4m) = m + \omega_{2,1}(m)$ .

*Proof.* Let  $E = \{2a + 1 \mid a \in [0, m - 1]\}$ . Then

$$ME = \{ a \in \mathbb{Z}_{4m} \mid a \not\equiv 0 \pmod{4} \}.$$

Let D be an optimal (2, 1; m) set. Then the set  $E \cup \{4d \mid d \in D\}$  is easily seen to be a (2, 1; 4m) set of size  $m + \omega_{2,1}(m)$ . Hence,

$$\omega_{2,1}(4m) \le m + \omega_{2,1}(m). \tag{4}$$

On the other hand, let S be an optimal (2, 1; 4m) covering set. Let  $S_0$  be the set of even elements in S and  $S_1$  be the set of odd elements in S. First, we see that for an odd integer  $a \in \mathbb{Z}_{4m}$ , we must have  $a \in S_1$  or  $-a \in S_1$ . Hence,  $S_1$  contains at least m elements. Let  $S' = S_0 \cup E$ . Then  $MS_1 \subseteq ME$  and so  $MS' = \mathbb{Z}_{4m}$ . Also

$$\omega_{2,1}(4m) \le |S'| = m + |S_0| \le |S_1| + |S_0| = \omega_{2,1}(4m)$$

and so S' is an optimal covering set.

Next, if  $S_0$  contains an element  $s \equiv 2 \pmod{4}$ , this covers s, 4m - s, and  $s' = (2s \mod 4m)$ . The first two are also covered by E. Therefore, if we replace s by s', the set is still a covering set for  $\mathbb{Z}_{4m}$ . Repeating the process with for all elements in  $S_0$  that er congruent to 2 modulo 4m, we get a set  $S'_0$  where all elements are divisible by 4, and such that  $E \cup S'_0$  is a covering set, of size  $\omega_{2,1}(4m)$ . Let  $D = \{s/4 \mid s \in S'_0\}$ . Then it is easy to see that D is a set covering  $\mathbb{Z}_m$ . Hence,  $|S'_0| \ge \omega_{2,1}(m)$  and so

$$\omega_{2,1}(4m) = |S| = |E| + |S'_0| \ge m + \omega_{2,1}(m).$$

Combined with (4), the theorem follows.

The determination of  $\omega_{2,1}(4m+2)$  seems to be more tricky. We start with a lower bound.

**Theorem 10.** For all  $m \ge 1$  we have  $\omega_{2,1}(4m+2) \ge 3m/2 + 1$ .

*Proof.* Let S be an optimal (2, 1; 4m + 2) covering set. We first note that the only way to cover  $2m + 1 \in \mathbb{Z}_{4m+2}$  is by having  $2m + 1 \in S$ . Thus, 0 is also covered since  $2(2m + 1) \equiv 0 \pmod{4m + 2}$ . We now use an argument similar to that used in the proof of Theorem 9. The odd elements of  $\mathbb{Z}_{4m+2}$  can only be covered by odd elements in S. Since  $s \in S$  covers both s and -s, in order to cover the 2m remaining odd elements of  $\mathbb{Z}_{4m+2}$  we need at least m odd elements in S in addition to our initial choice of  $2m + 1 \in S$ . Furthermore, this implies that of the 2m even non-zero elements of  $\mathbb{Z}_{4m+2}$ , m are already covered. We are therefore left with m even non-zero elements in  $\mathbb{Z}_{4m+2}$  which we still need to cover. Adding an odd element to S can cover at most another single even element in  $\mathbb{Z}_{4m+2}$ . In contrast, adding an even element s to S can cover at most two more elements of  $\mathbb{Z}_{4m+2}$  since at least one of s and -s is already covered. Thus, we need to add at least  $\frac{m}{2}$  more elements to S. □

We turn to prove upper bounds on  $\omega_{2,1}(4m+2)$ . Let  $v_2$  denote the 2-ary evaluation, that is  $n = 2^{v_2(n)}n_1$ , where  $n_1$  is odd. By an explicit construction, we can find an upper bound on  $\omega_{2,1}(4m+2)$ .

**Construction 1.** For  $m \ge 0$ , let  $S = X \cup Y \cup Z$ , where

$$X = \{2a+1 \mid a \in [0,m]\},\$$
  

$$Y = \left\{c \in \left[1, 4 \left\lfloor \frac{m}{3} \right\rfloor + 2\right] \mid v_2(c) = 1\right\},\$$
  

$$Z = \left\{c \in \left[1, 8 \left\lfloor \frac{m}{3} \right\rfloor\right] \mid v_2(c) \text{ is odd and } v_2(c) \ge 3\right\}$$

**Proposition 1.** For all  $m \ge 0$ , S of Construction 1 is a (2, 1; 4m + 2) covering set.

*Proof.* Let  $b \in [0, 4m + 1]$ .

- Case b = 0. We have  $0 \equiv 4m + 2 = 2(2m + 1) \pmod{4m + 2}$ .
- Case  $b \in [1, 4m+1]$  and  $v_2(b) = 0$ . If  $b \le 2m+1$ , then  $b \in X$ . If  $b \ge 2m+3$ , then  $q b \in X$ .
- Case  $b \in [1, 4m + 1]$  and  $v_2(b) = 1$ . In this case, b = 2c, where  $c \in X$ .
- Case  $b \in [1, 8\lfloor \frac{m}{3} \rfloor + 4]$  and  $v_2(b) = 2$ . In this case, b = 2c, where  $c \in Y$ .
- Case  $b \in [1, 8 \lfloor \frac{m}{3} \rfloor + 4]$ ,  $v_2(b) \ge 3$ , and  $v_2(b)$  is odd. In this case,  $b \in \mathbb{Z}$ .
- Case  $b \in [1, 8\lfloor \frac{m}{3} \rfloor + 4]$ ,  $v_2(b) \ge 4$ , and  $v_2(b)$  is even. In this case, b = 2c, where  $c \in \mathbb{Z}$ .
- Case  $b \in [8\lfloor \frac{m}{3} \rfloor + 8, 4m]$  and  $v_2(b) \ge 2$ . Let  $b = 4\beta$ , where now  $\beta$  is an integer. Then

$$4m + 2 - b = 4(m - \beta) + 2.$$

In particular,  $v_2(4m+2-b) = 1$ . Furthermore,

$$4m+2-b \le 4m+2-8\left\lfloor\frac{m}{3}\right\rfloor-8 \le 4\left\lfloor\frac{m}{3}\right\rfloor+2,$$

and so  $4m + 2 - b \in Y$ .

**Corollary 1.** For all  $m \ge 0$  we have

$$\frac{3m+2}{2} \le \omega_{2,1}(4m+2) < \frac{14m+18}{9} + \left\lceil \frac{1}{2}\log_2\left(\left\lfloor \frac{m}{3} \right\rfloor + 1\right) \right\rceil$$

*Proof.* The lower bound is from Theorem 9. We will show that the upper bound follows from Proposition 1. We have

$$\begin{split} |X| &= m+1, \\ |Y| &= \left\lfloor \frac{m}{3} \right\rfloor + 1, \\ |Z| &= \sum_{j \ge 1} \left\lfloor 2^{1-2j} \left\lfloor \frac{m}{3} \right\rfloor + \frac{1}{2} \right\rfloor < \frac{2}{3} \left\lfloor \frac{m}{3} \right\rfloor + \left\lceil \frac{1}{2} \log_2 \left( \left\lfloor \frac{m}{3} \right\rfloor + 1 \right) \right\rceil. \end{split}$$

The first two of these are immediate.

For |Z|, we see that  $b \in Z$  if  $b = 2^{2j+1}(2\delta + 1)$  where  $\delta \ge 0, j \ge 1$ , and

$$2\delta + 1 \le 2^{3-2j-1} \left\lfloor \frac{m}{3} \right\rfloor.$$

Hence, we must have  $2^{2-2j} \lfloor \frac{m}{3} \rfloor \geq 1$ , that is  $2^{2j-2} \leq \lfloor \frac{m}{3} \rfloor$  and s  $2j - 2 \leq \log_2(\lfloor \frac{m}{3} \rfloor)$ , that is  $j \leq 1 + \frac{\log_2(\lfloor m/3 \rfloor)}{2}$ . Further, for a given j,

$$0 \le \delta \le -2^{-1} + 2^{1-2j} \left\lfloor \frac{m}{3} \right\rfloor$$

that is, the number of  $\delta$  is  $\lfloor 2^{1-2j} \lfloor \frac{m}{3} \rfloor + \frac{1}{2} \rfloor$ . By Proposition 1,

$$\begin{split} \omega_{2,1}(4m+2) &< |X| + |Y| + |Z| \\ &\leq m+1 + \left\lfloor \frac{m}{3} \right\rfloor + 1 + \frac{2}{3} \left\lfloor \frac{m}{3} \right\rfloor + \left\lceil \frac{1}{2} \log_2\left( \left\lfloor \frac{m}{3} \right\rfloor + 1 \right) \right\rceil \\ &\leq \frac{14m+18}{9} + \left\lceil \frac{1}{2} \log_2\left( \left\lfloor \frac{m}{3} \right\rfloor + 1 \right) \right\rceil. \end{split}$$

Another recursive construction is described next.

**Construction 2.** Let  $S' \subseteq \mathbb{Z}_{2m+1}$  be a (2,2;2m+1) covering set such that  $S' \subseteq [0,m]$ . Let  $S = X \cup Y$ , where the sets  $X, Y \subseteq \mathbb{Z}_{4m+2}$  are defined by

$$X = \{2a + 1 \mid a \in [0, m]\}, \qquad Y = \{2s' \mid s' \in S'\} \setminus \{0\}.$$

**Proposition 2.** For all  $m \ge 0$ , S of Construction 2 is a (2, 1; 4m + 2) covering set.

*Proof.* First, we see that X covers 0 and all the odd elements of  $\mathbb{Z}_{4m+2}$ . Next, we note that the even elements of  $\mathbb{Z}_{4m+2}$  are isomorphic to  $\mathbb{Z}_{2m+1}$ . Thus, the elements of Y cover all the even non-zero elements of  $\mathbb{Z}_{4m+2}$  except perhaps elements of the form -4s' for  $s' \in S'$ . However

$$-4s' \equiv 2(2(m-s')+1) \pmod{4m+2},$$

and so -4s' is covered by X since  $2(m - s') + 1 \in X$ .

**Corollary 2.** For all  $m \ge 0$ ,  $\omega_{2,1}(4m+2) \le m + \omega_{2,2}(2m+1)$ .

*Proof.* Let  $S' \subseteq \mathbb{Z}_{2m+1}$  be a (2,2;2m+1) optimal covering set. Without loss of generality, we may assume that  $S' \subseteq [0,m]$ , since s and  $-s \equiv 2m+1-s$  (mod 2m+1) cover the same elements of  $\mathbb{Z}_{2m+1}$ . From Construction 2 we get

$$\omega_{2,1}(4m+2) \le |S| = |X| + |Y| = (m+1) + (\omega_{2,2}(2m+1) - 1).$$

Corollary 3 in [5] states that a (2, 2; 2m + 1) perfect packing set exists if and only if  $v_2(\operatorname{ord}_p(2)) \ge 2$  for any prime p dividing 2m + 1.

**Corollary 3.** If  $v_2(\operatorname{ord}_p(2)) \ge 2$  for any prime p dividing 2m + 1, then  $\omega_{2,1}(4m+2) = 3m/2 + 1$ , and Construction 2 produces an optimal (2, 1; 4m+2) covering set.

*Proof.* A simple counting argument shows that if a (2, 2; 2m+1) perfect covering set exists, then  $\omega_{2,2}(2m+1) = m/2 + 1$ . We then combine Theorem 10 with Corollary 2 to obtain the desired result.

*Example 3.* Of the first 1000 even m, 390 satisfy the condition of Corollary 3, the first ten are 2, 6, 8, 12, 14, 18, 20, 26, 30, 32. Of the 5000 even m below 10000, 1745 satisfy the condition of Corollary 3.

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